

Lecture 4

Battery Materials, Diffusion, Ionic Mobility, Voltage Calculation

Batteries

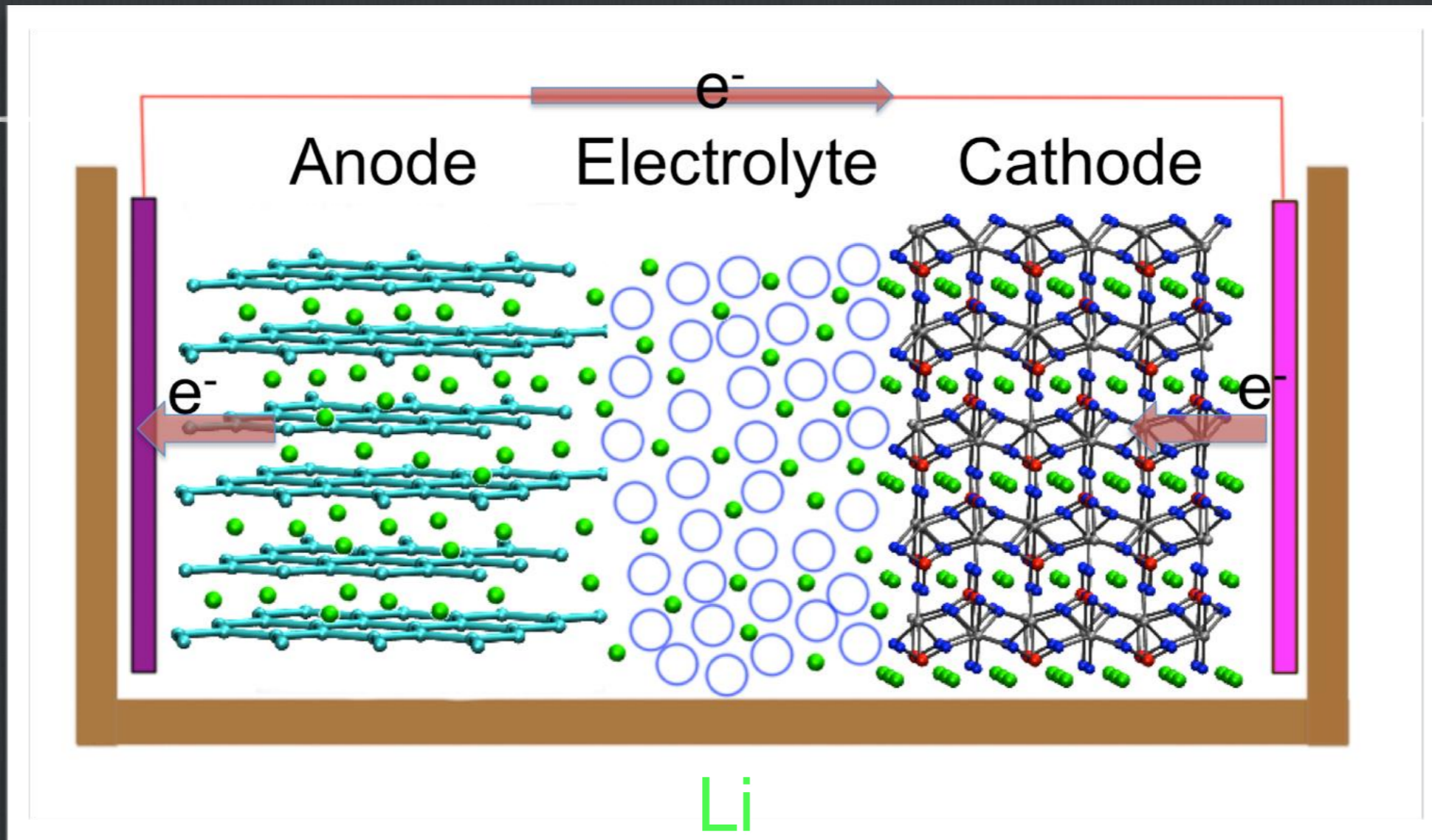
- Are key elements for energy storage
- The current state of the art are Li-batteries, while Na-batteries may represent the next viable technology (other mobile species possible, Mg, K, Sn, Al, ...)
- There are many parameters that affect material choice.
- Relevant quantity are Voltage, Capacity, and Energy Density (Voltage x Capacity).
- Optimisation entails discovery/improvement of solid state materials.

Batteries: The Challenge



Capacity
Voltage
Efficiency

General Scheme



Si, C

LiMPO₄, M=Fe, Mn
LiMBO₃
MoO₂, MoO₃
V, Nb, Ti, ... oxides

Battery Elements

- There are three essential constituent of a battery material:
 - A cathode (reduction reaction)
 - An electrolyte (electron transport)
 - An anode (oxidation reaction)
 - ...and their interfaces!
 - $\text{Li} \rightarrow \text{Li}^+ + \text{e}^-$
 - System must allow both species (Li cations and electrons) to flow - must be a ionic conductor as well as an electronic conductor – maximize interface contact!
 - Anode is the source of Lithium Ions, cathode is the sink

Battery elements

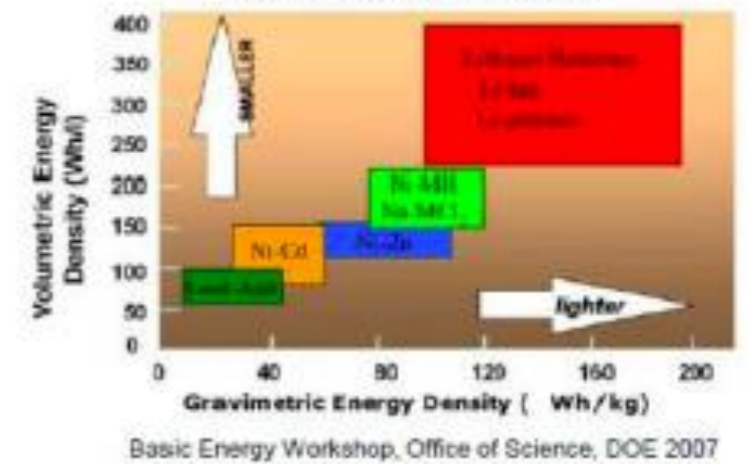
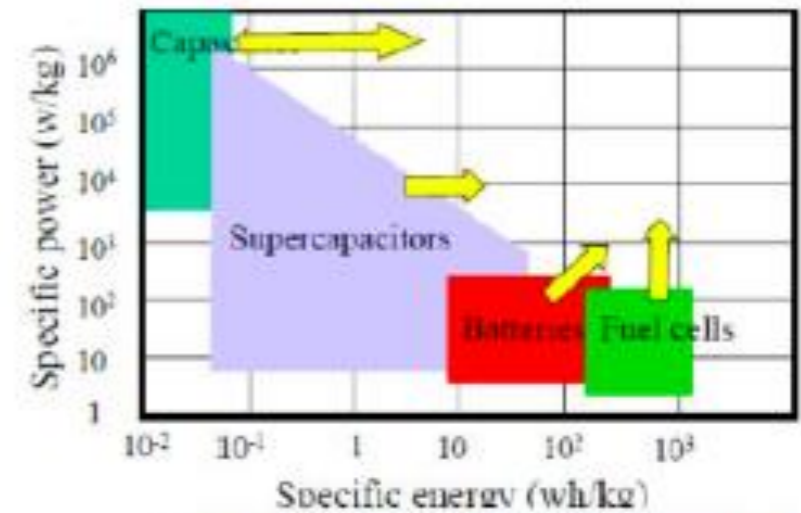
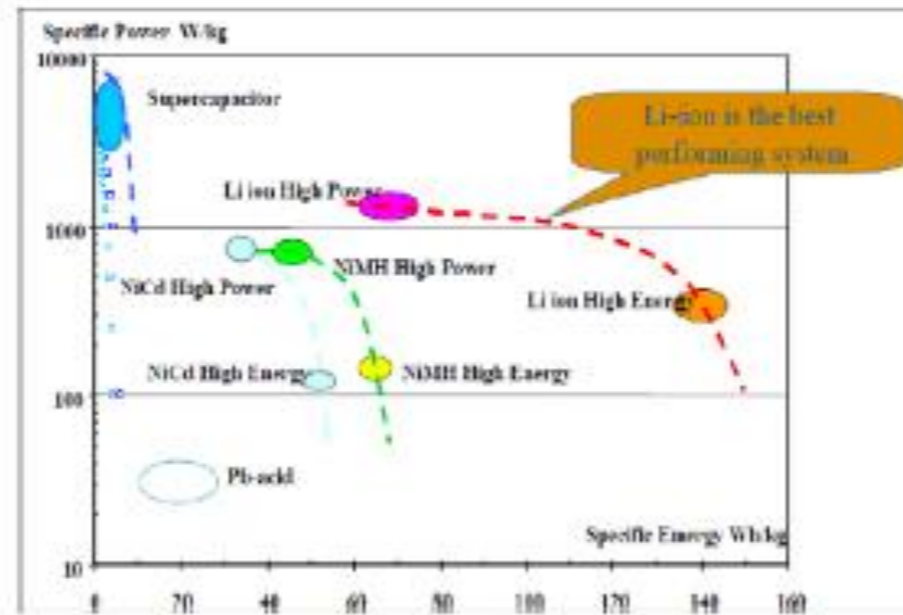
- The anode is commonly simply graphitic carbon, or silicon (recently). If Si, then solid state reactions occur.
- The electrolyte contains a lithium salt dissolved into a mixture of organic solvents (LiPF_6 for example, ionic liquids are also a possibility, imidazolate salts)
- The cathode is the part enjoying most attention recently, and is the one, which is being optimised & tuned for improved voltage and capacity (this is of less concern on the anodic side, where voltage can be low and capacity is already granted by the material itself (porosity, including the use of “molecular” C)

Properties

- Battery material contain a readily reducible/oxidisable element, normally a transition metal.
- The reaction with lithium is reversible (intercalation type of reaction)
- High free energy of reaction
 - high capacity - means that the number of lithiums per transition metal needs to be high (at least one!)
 - high intercalation voltage
 - high energy storage (voltage x capacity)
- Rapid reaction with lithium
- Good electronic conductor
- Stable
 - no degradation, no over-discharge/over-charge
 - can afford many charge/discharge types

Battery Requirements

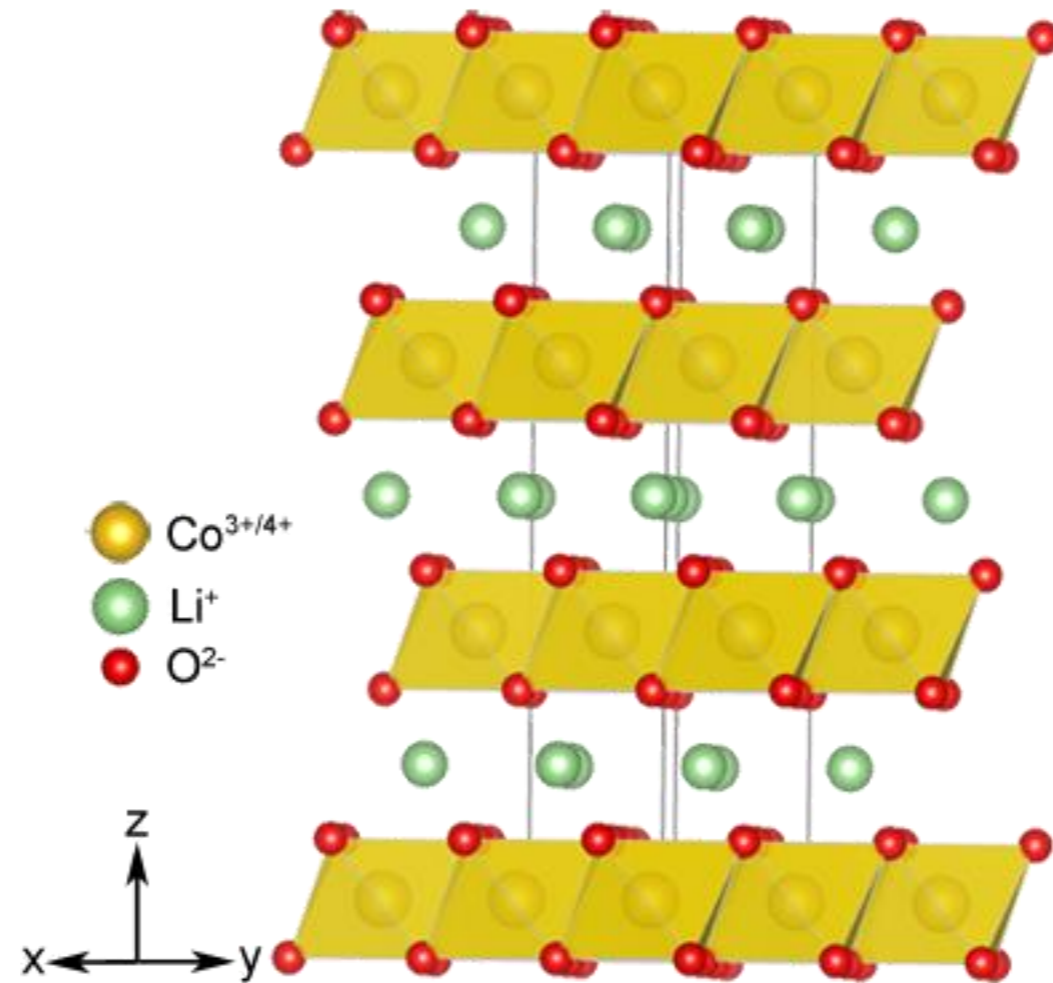
- Energy Density
- Power density
- Cycle life, Lifetime
- Charging rate
- Temperature stability
- Safety, Cost
- Manufacturability



How this problem is solved...

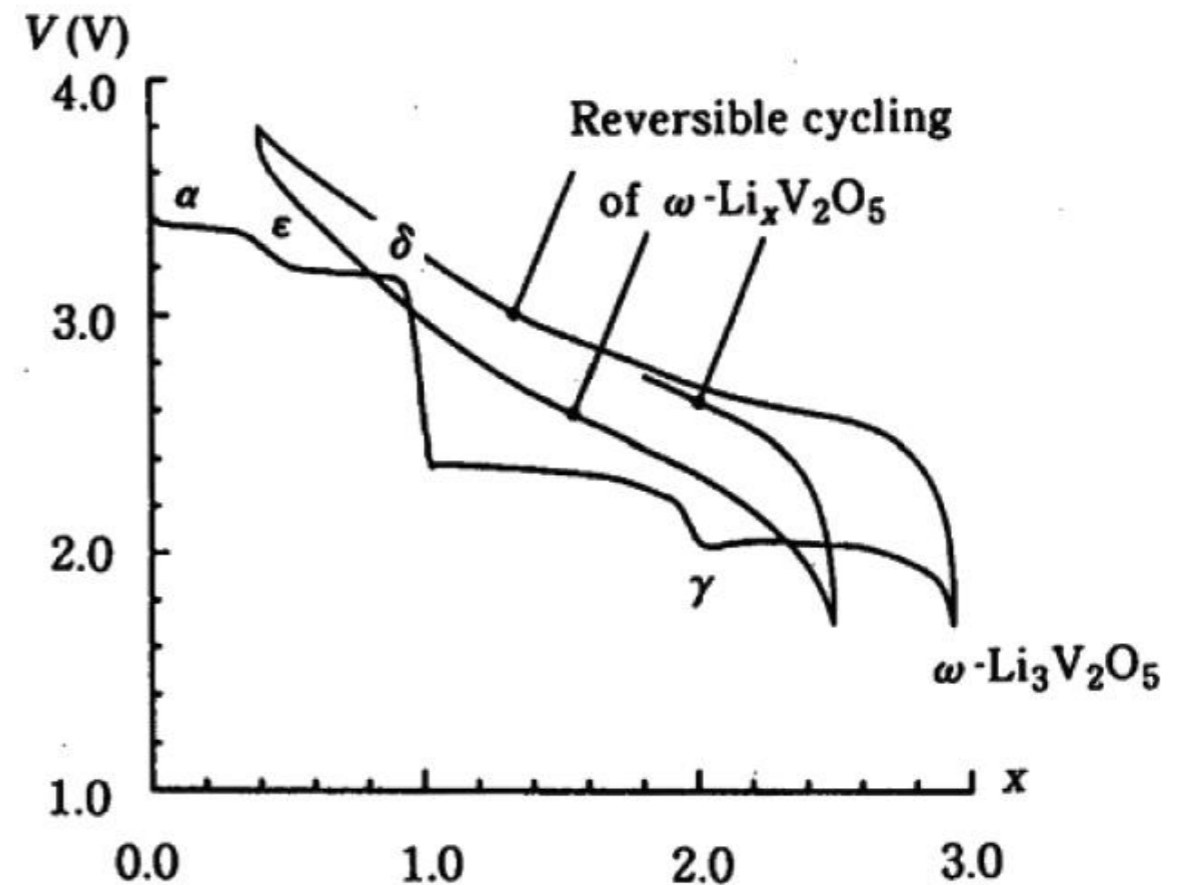
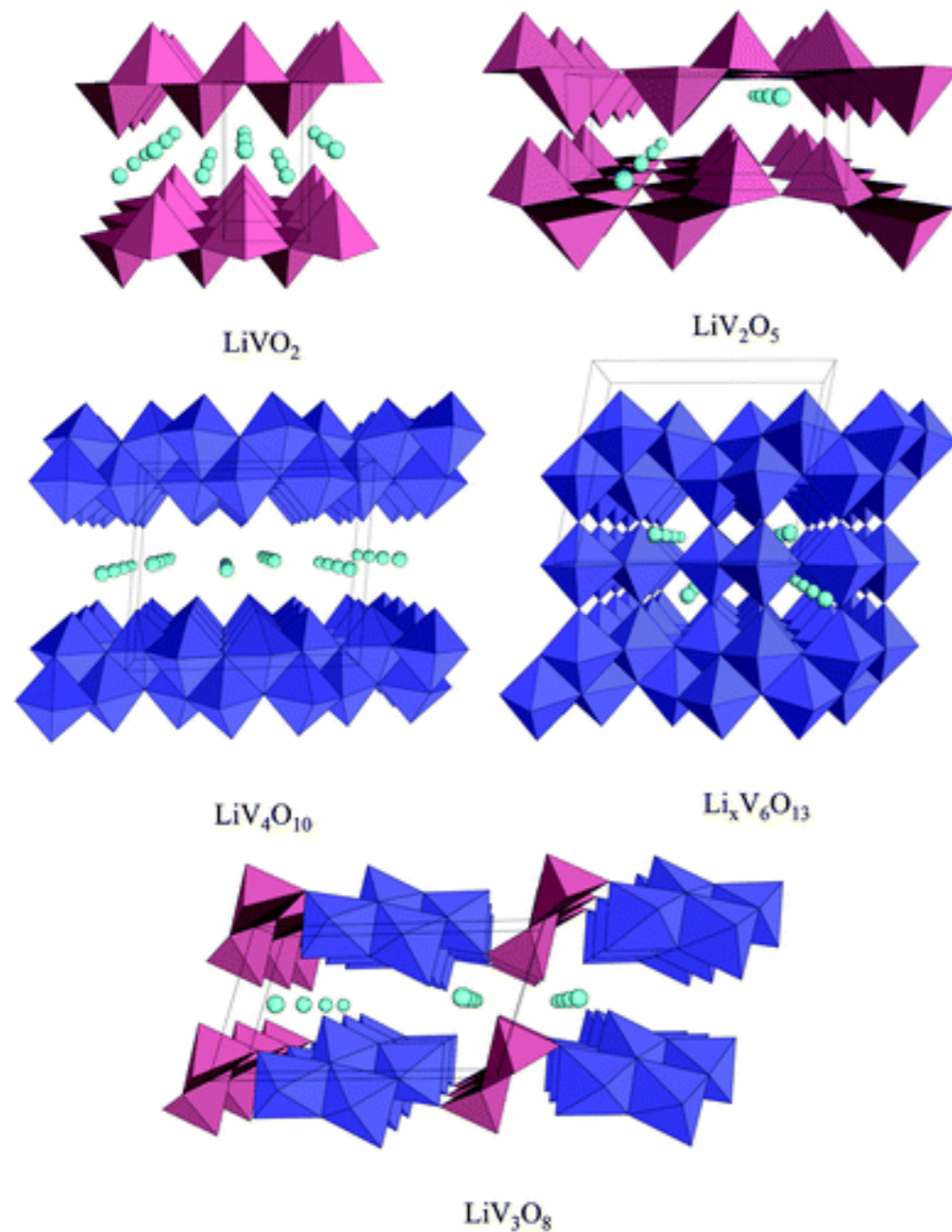
- Use transition metals like Fe, Mn, V, Mo,...(Ti, Ni, Co,...)
- Use **layered or channel compounds**, such that there is an obvious means to accommodate/intercalate Li ions.
- Oxides are a good choice, also phosphates, silicates, borates.
- Normally higher Li mobility due to structural features, electrical conductivity often a problem (too low)
- Little is known about mechanisms, both for ionic and electronic conduction, interfaces are an emerging challenge.
- Relatively difficult to truly innovate in this field, advancements rather slow & cumulative.

Layered structures



Layers of octahedrally coordinated Co atoms, Li intercalated

layered vanadates



(c) In certain compounds, increasing Li concentration leads to the formation of different structures.

The cell (half-cell) voltage is measured against a reference cell (set to zero), as a function of Li concentration (or capacity).

Spinel, LiMn_2O_4

- Class of solid state structures of composition AB_2O_4 - compositionally versatile
- $\text{LiMn}_2\text{O}_4 \rightarrow \text{Mn}_2\text{O}_4 + \text{Li}$

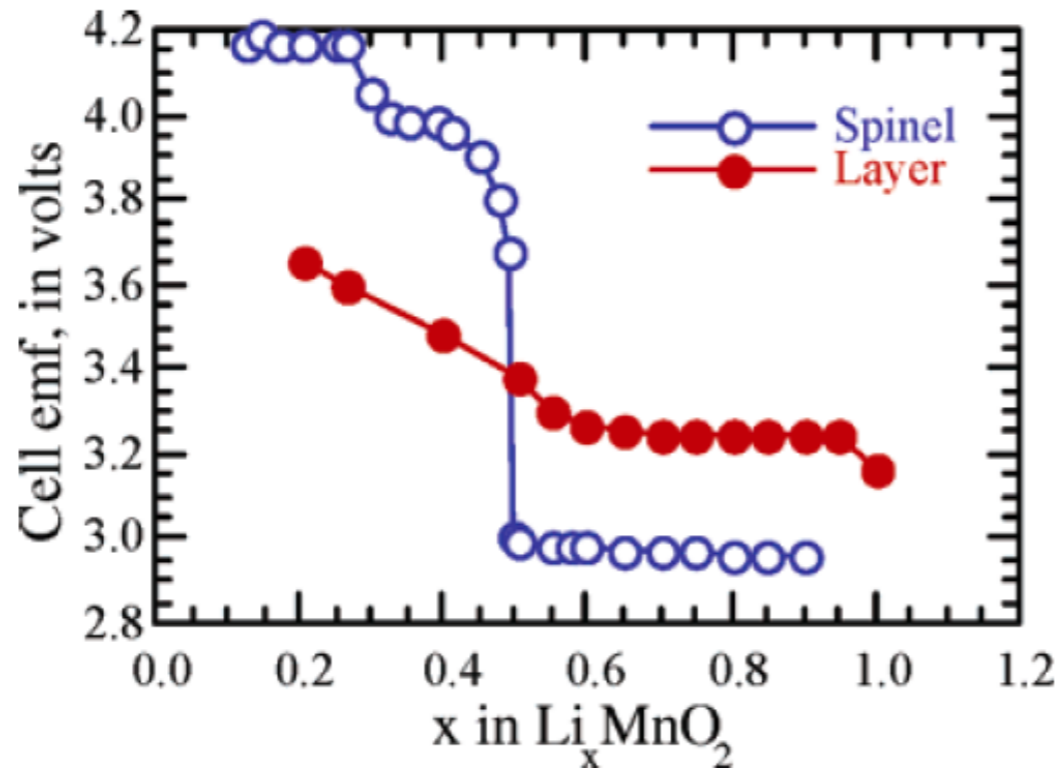


Figure 11. Potential profile of LiMnO_2 and spinel LiMn_2O_4 (data from Bruce and Whittingham).

Useful composition and voltage ranges depend on structure - also the state in which the battery material is prepared is critical

For Mn-based batteries, Jahn-Teller distortion may impair reversibility, so sensible electronic configurations shall be avoided.

Structural distortions

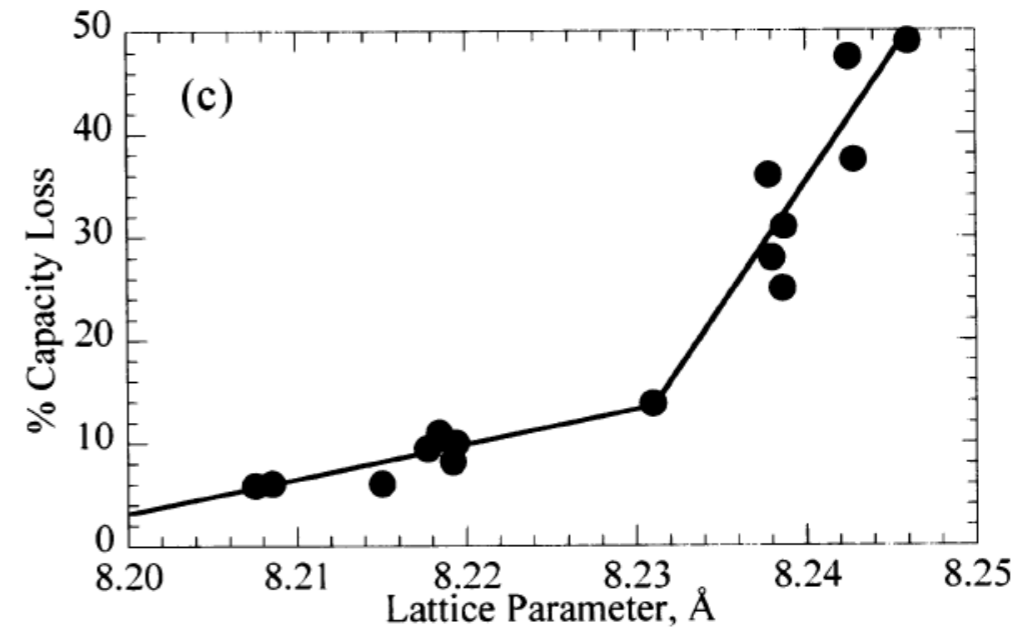
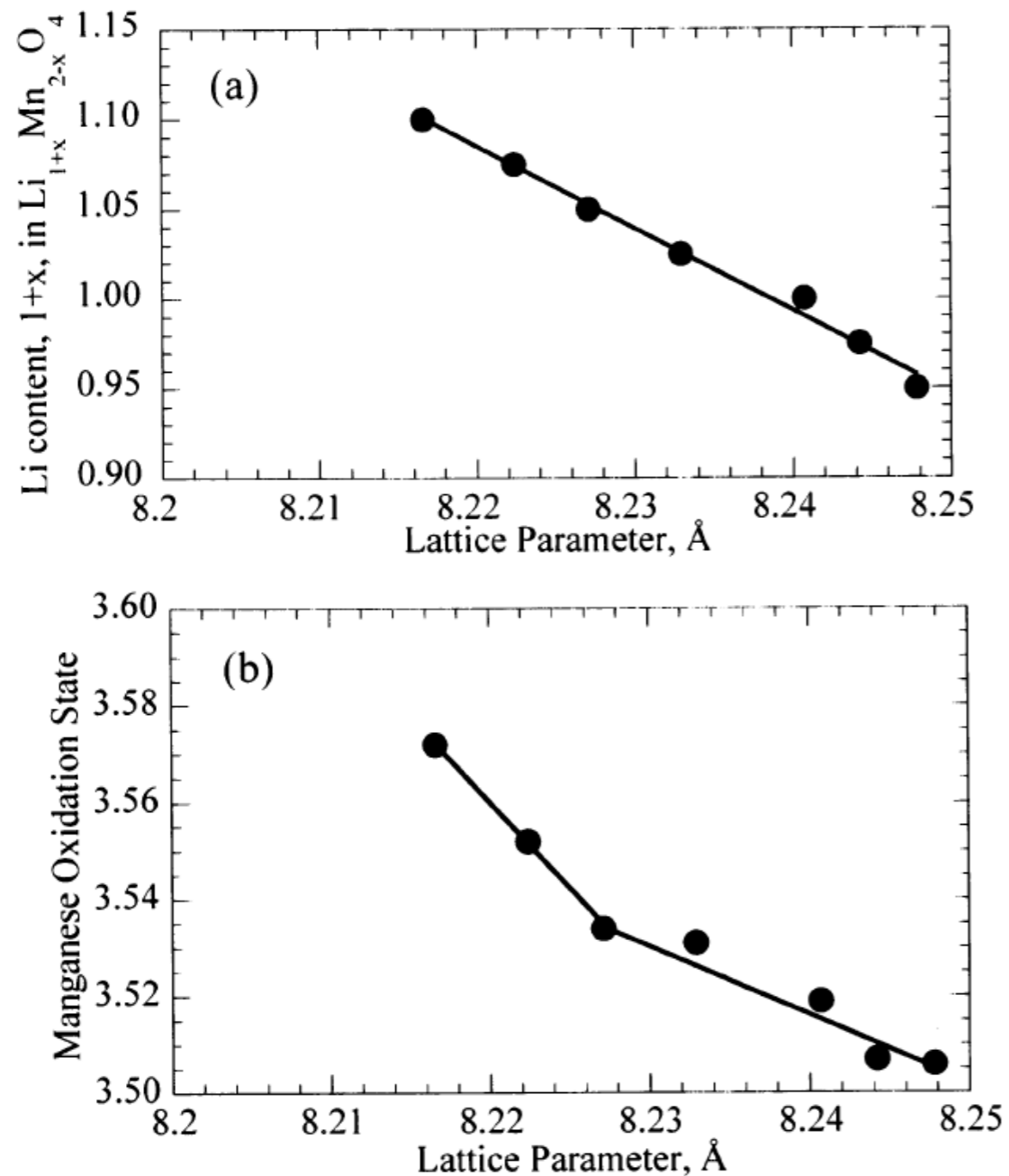


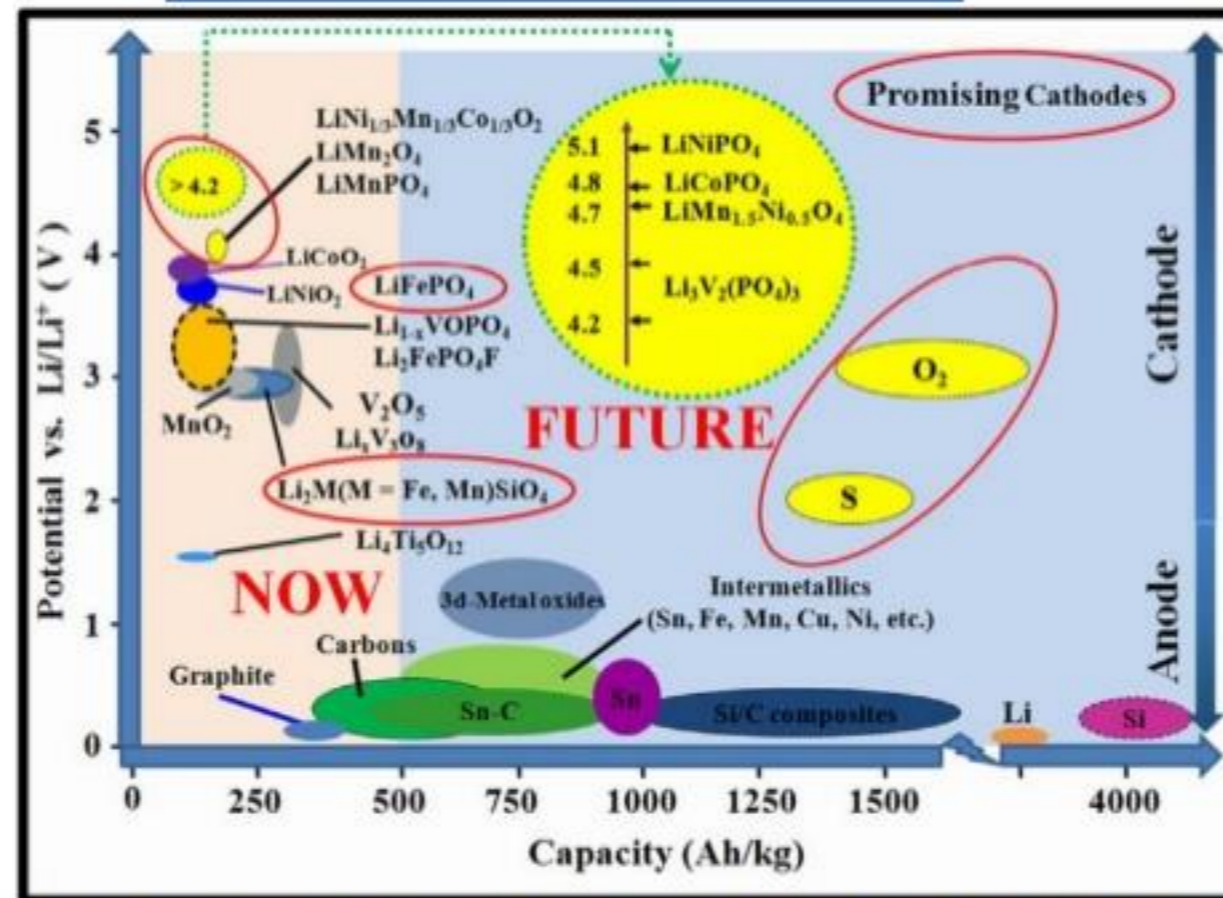
Figure 12. Correlation of the lattice parameter of the spinel $\text{Li}_{1+x}\text{Mn}_{2-x}\text{O}_4$ with (a) the lithium content, (b) manganese oxidation state, and (c) capacity loss of the cell over the first 120 cycles, after ref 157.

Li content structurally impacts structure, oxidation number of the active center, and capacity (loss). This in turn constrains the range over which a battery can operate.

Battery Type Overview

The voltage difference between the anode and cathode determines the cell power, while the anode's lithium content determines its energy density. About a million other things affect lifespan and performance

Anode and Cathode Materials⁽¹⁾

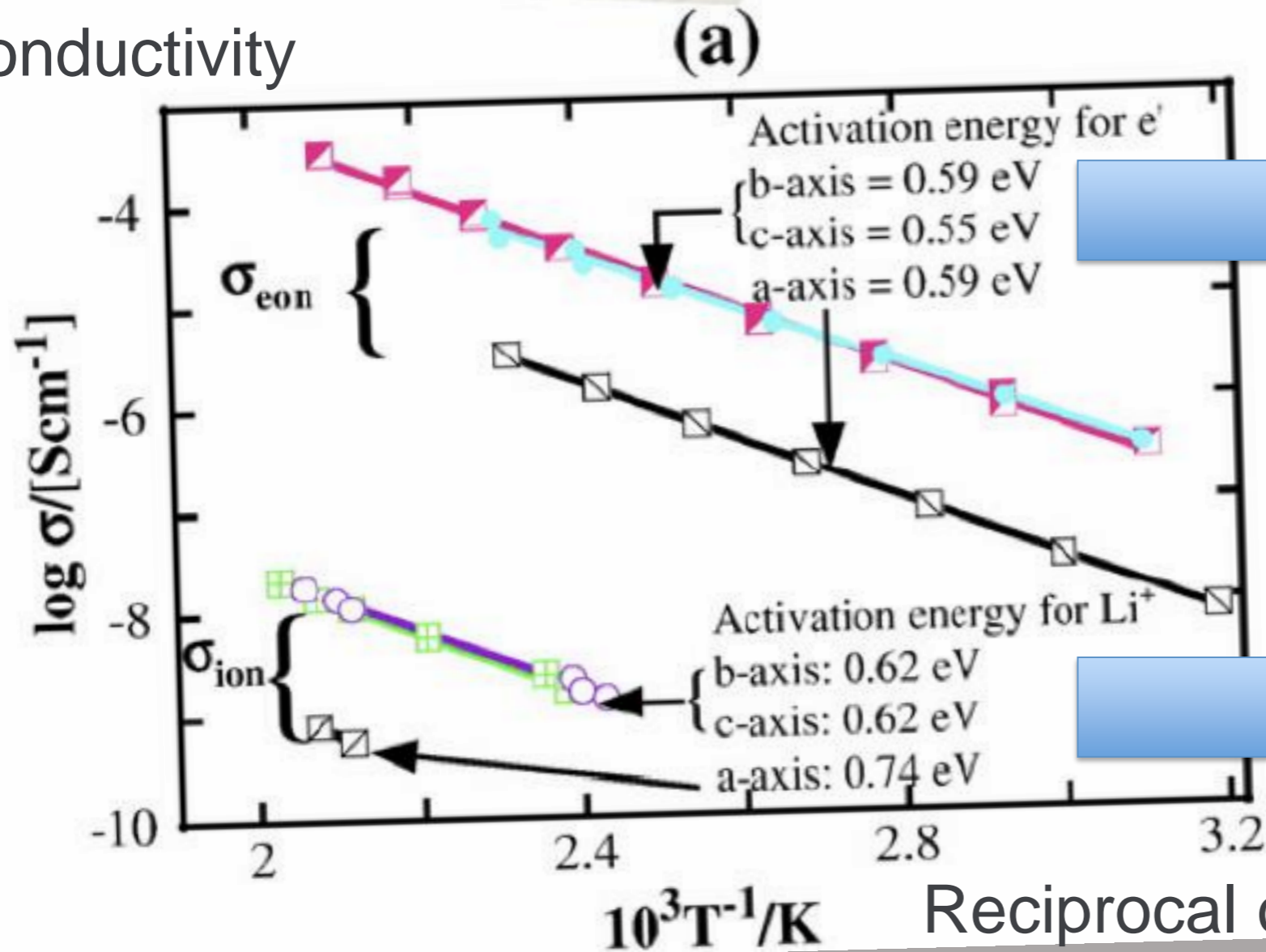


Source: Cathode materials for next generation lithium ion batteries. May 2013. Jiantie Xu, Shixue Dou, Huakun Liu, Liming Dai. Case Western.

1) [Source File](#)

Transport processes (ions/electrons) Often coupled events

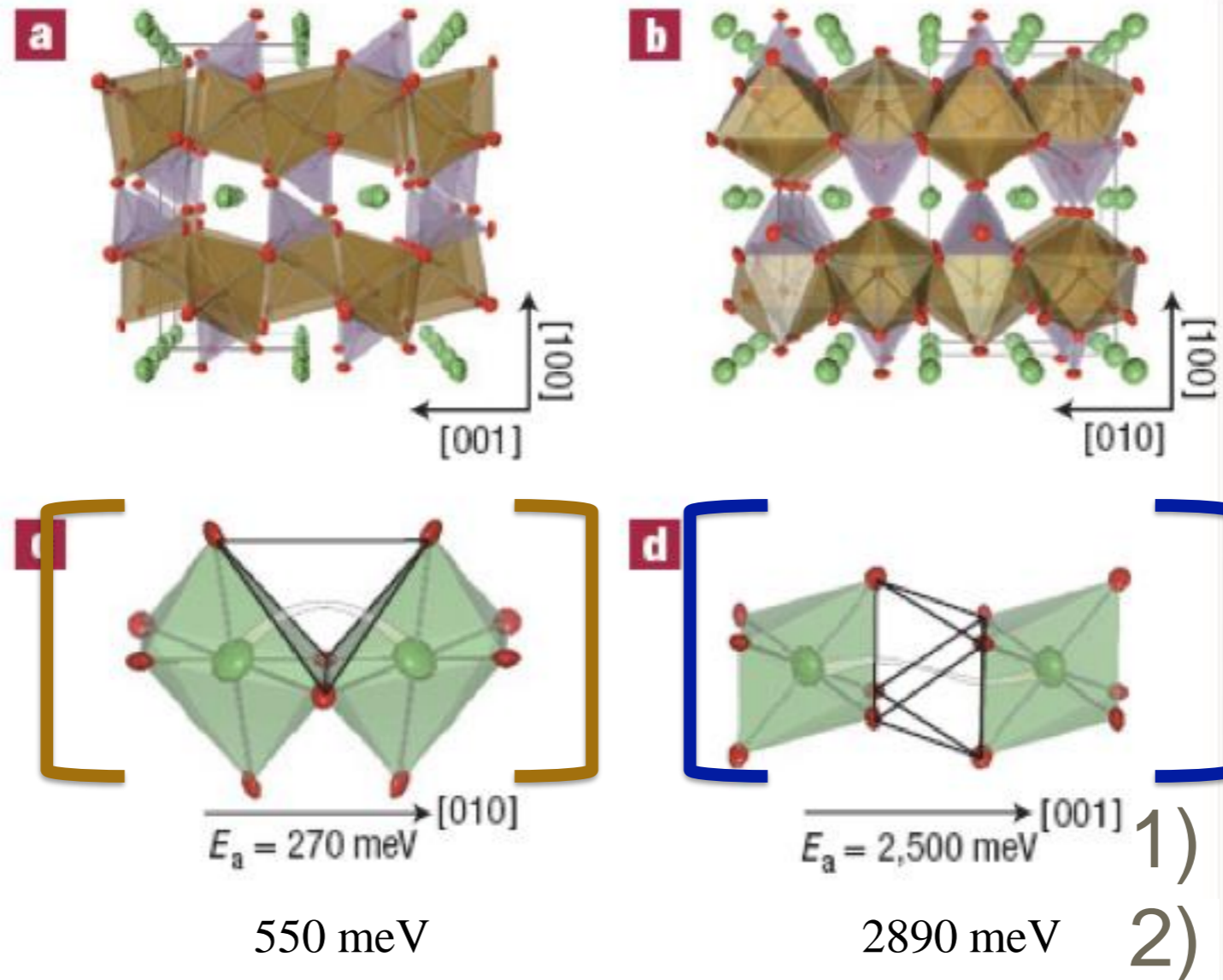
Conductivity



Reciprocal of Temperature

LiFePO₄: Channels as Li Pathways

[010], easy



[001], no go

Figure 1 Crystal structure of LiFePO₄ and possible lithium pathways. a.b.

1) Yamada *et al.*, Nat. Mater. 7, 707 (2008)

2) M. S. Islam *et al.*, Chem. Mater. 17, 5085 (2005)

LiFePO₄ - Olivine materials

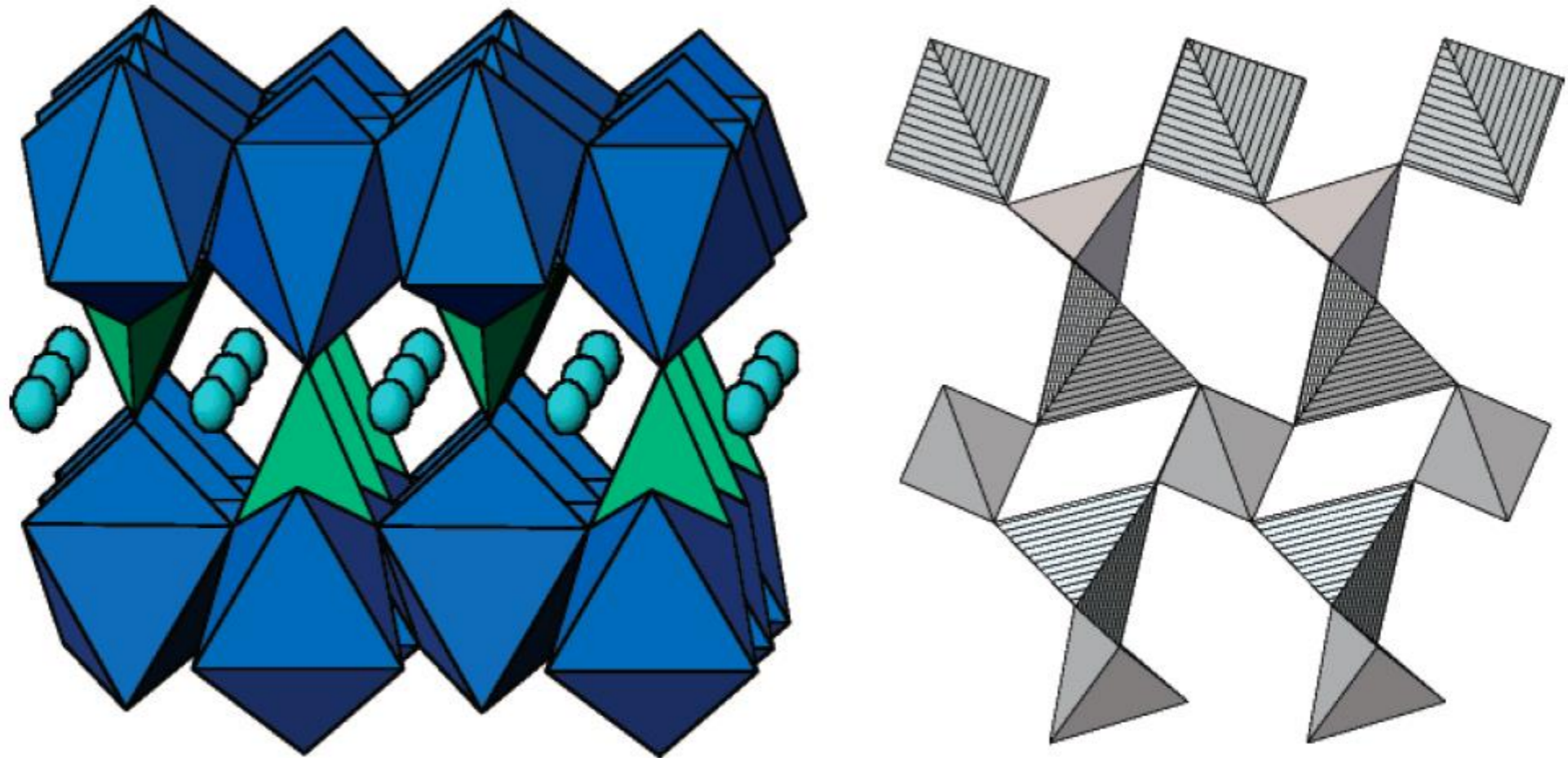
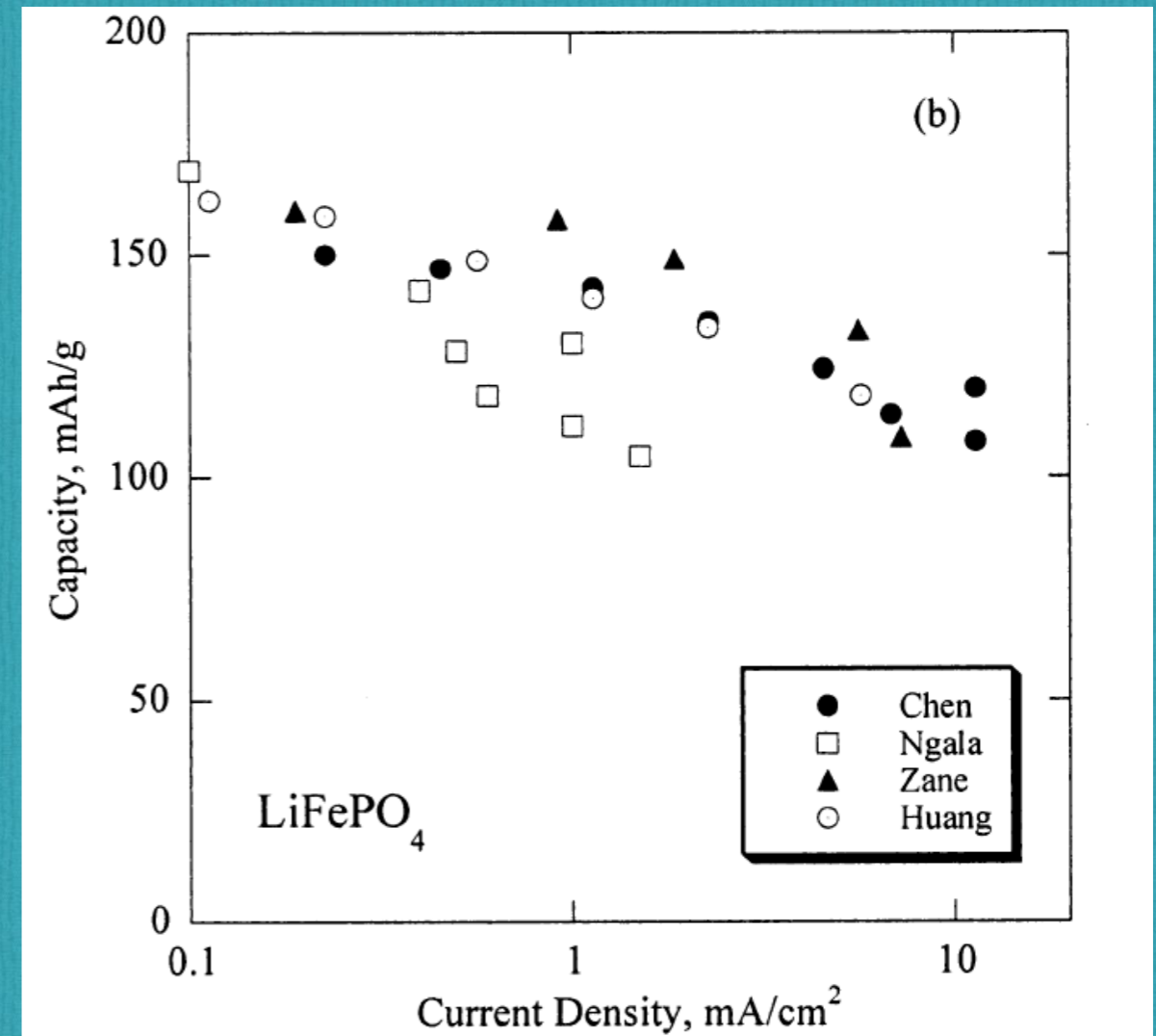
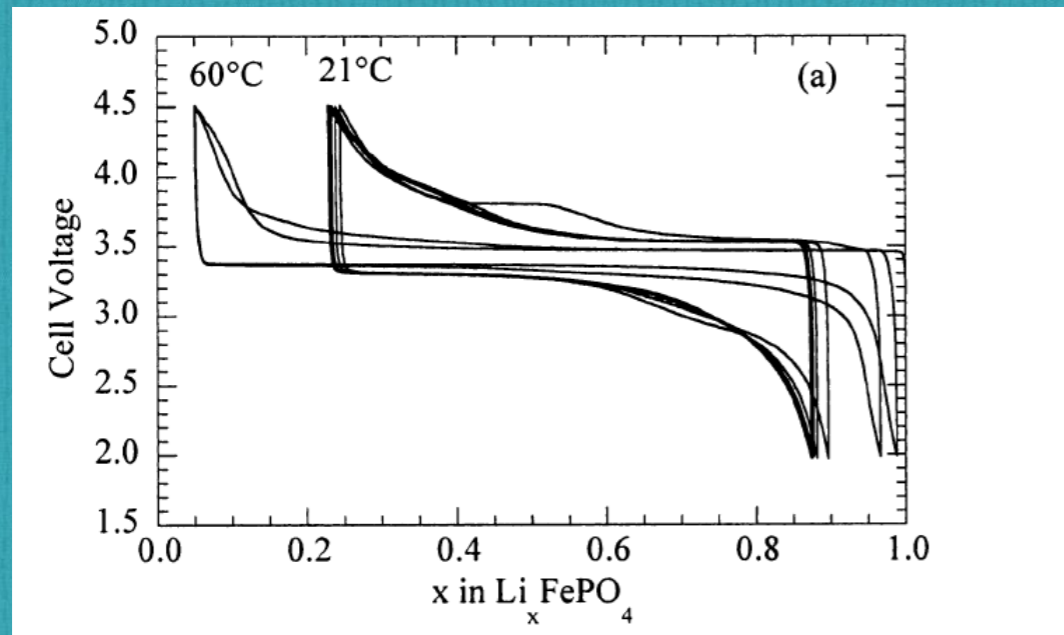


Figure 22. Structures of orthorhombic LiFePO₄ and trigonal quartz-like FePO₄.

Li_xFePO_4



Capacity (theoretical)

Calculate the theoretical specific capacity in mAh/g of lithium iron phosphate, LiFePO₄, if the molecular weight is 158 g/mol.
(3.6 C = 1 mAh)

theoretical specific capacity = #molLi x #electroncharge /
#molmass
(in C/g)
(divide by 3.6 for mAh/g)

LiFePO₄ (LFP) intercalates one mole of lithium per mole LFP.
(1 mol Li/mol LFP) * (96487 C/mol Li) / (158 g/mol LFP) / (3.6
C/ mAh) = 170 mAh/g

<https://www.unitjuggler.com/convert-electriccharge-from-C-to-mAh.html?val=3.6>

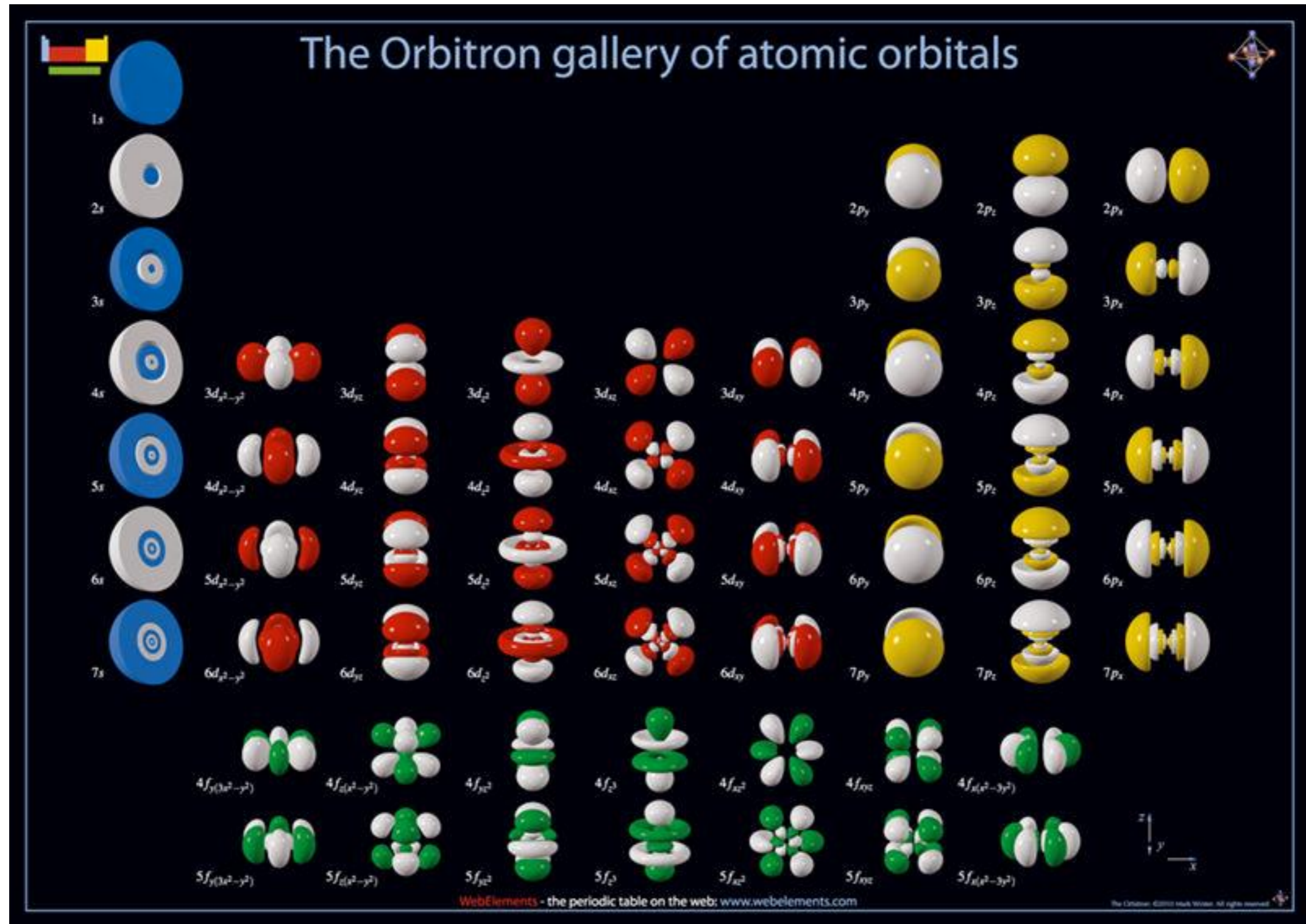
<http://battery.berkeley.edu/>

Candidate redox active centres

Periodic Table of the Elements

1 1IA 11A	Periodic Table of the Elements																18 VIII 8A
1 H Hydrogen 1.0079											13 IIIA 3A	14 IVA 4A	15 VA 5A	16 VIA 6A	17 VIIA 7A	2 He Helium 4.00260	
3 Li Lithium 6.941	4 Be Beryllium 9.01218											5 B Boron 10.811	6 C Carbon 12.011	7 N Nitrogen 14.00674	8 O Oxygen 15.9994	9 F Fluorine 18.998403	10 Ne Neon 20.1797
11 Na Sodium 22.989768	12 Mg Magnesium 24.305	3 IIIB 3B	4 IVB 4B	5 VB 5B	6 VIB 6B	7 VIIB 7B	8 VIII 8	9 VIII 8	10 VIII 8	11 IB 1B	12 IIB 2B	13 Al Aluminum 26.981539	14 Si Silicon 28.0855	15 P Phosphorus 30.973762	16 S Sulfur 32.066	17 Cl Chlorine 35.4527	18 Ar Argon 39.948
19 K Potassium 39.0983	20 Ca Calcium 40.078	21 Sc Scandium 44.95591	22 Ti Titanium 47.88	23 V Vanadium 50.9415	24 Cr Chromium 51.9961	25 Mn Manganese 54.938	26 Fe Iron 55.847	27 Co Cobalt 58.9332	28 Ni Nickel 58.6934	29 Cu Copper 63.546	30 Zn Zinc 65.39	31 Ga Gallium 69.732	32 Ge Germanium 72.64	33 As Arsenic 74.92159	34 Se Selenium 78.96	35 Br Bromine 79.904	36 Kr Krypton 83.80
37 Rb Rubidium 85.4678	38 Sr Strontium 87.62	39 Y Yttrium 88.90585	40 Zr Zirconium 91.224	41 Nb Niobium 92.90638	42 Mo Molybdenum 95.94	43 Tc Technetium 98.9072	44 Ru Ruthenium 101.07	45 Rh Rhodium 102.9055	46 Pd Palladium 106.42	47 Ag Silver 107.8682	48 Cd Cadmium 112.411	49 In Indium 114.818	50 Sn Tin 118.71	51 Sb Antimony 121.760	52 Te Tellurium 127.6	53 I Iodine 126.90447	54 Xe Xenon 131.29
55 Cs Cesium 132.90543	56 Ba Barium 137.327	57-71 Lanthanide Series	72 Hf Hafnium 178.49	73 Ta Tantalum 180.9479	74 W Tungsten 183.85	75 Re Rhenium 186.207	76 Os Osmium 190.23	77 Ir Iridium 192.22	78 Pt Platinum 195.08	79 Au Gold 196.9665	80 Hg Mercury 200.59	81 Tl Thallium 204.3833	82 Pb Lead 207.2	83 Bi Bismuth 208.98037	84 Po Polonium [208.9824]	85 At Astatine 209.9871	86 Rn Radon 222.0176
87 Fr Francium 223.0197	88 Ra Radium 226.0254	89-103 Actinide Series	104 Rf Rutherfordium [261]	105 Db Dubnium [262]	106 Sg Seaborgium [266]	107 Bh Bohrium [264]	108 Hs Hassium [269]	109 Mt Meitnerium [268]	110 Ds Darmstadtium [269]	111 Rg Roentgenium [272]	112 Cn Copernicium [277]	113 Uut Ununtrium unknown	114 Uuq Ununquadium [289]	115 Uup Ununpentium unknown	116 Uuh Ununhexium [298]	117 Uus Ununseptium unknown	118 Uuo Ununoctium unknown
			57 La Lanthanum 138.9055	58 Ce Cerium 140.115	59 Pr Praseodymium 140.90765	60 Nd Neodymium 144.24	61 Pm Promethium 144.9127	62 Sm Samarium 150.36	63 Eu Europium 151.9655	64 Gd Gadolinium 157.25	65 Tb Terbium 158.92534	66 Dy Dysprosium 162.50	67 Ho Holmium 164.93032	68 Er Erbium 167.26	69 Tm Thulium 168.93421	70 Yb Ytterbium 173.04	71 Lu Lutetium 174.967
			89 Ac Actinium 227.0278	90 Th Thorium 232.0381	91 Pa Protactinium 231.03588	92 U Uranium 238.0289	93 Np Neptunium 237.0482	94 Pu Plutonium 244.0642	95 Am Americium 243.0614	96 Cm Curium 247.0703	97 Bk Berkelium 247.0703	98 Cf Californium 251.0796	99 Es Einsteinium [254]	100 Fm Fermium 257.0951	101 Md Mendelevium 258.1	102 No Nobelium 259.1009	103 Lr Lawrencium [262]

Orbitals



Cathode Material

Voltage

Capacity

LiCoO_2

4.2 V

272 mAh/g

LiFePO_4

3.2 V

170 mAh/g

LiMn_2O_4

4.3 V

140 mAh/g

LiV_2O_5

3.6 V

142 mAh/g

LiFePO₄: Proposed domino-cascade model

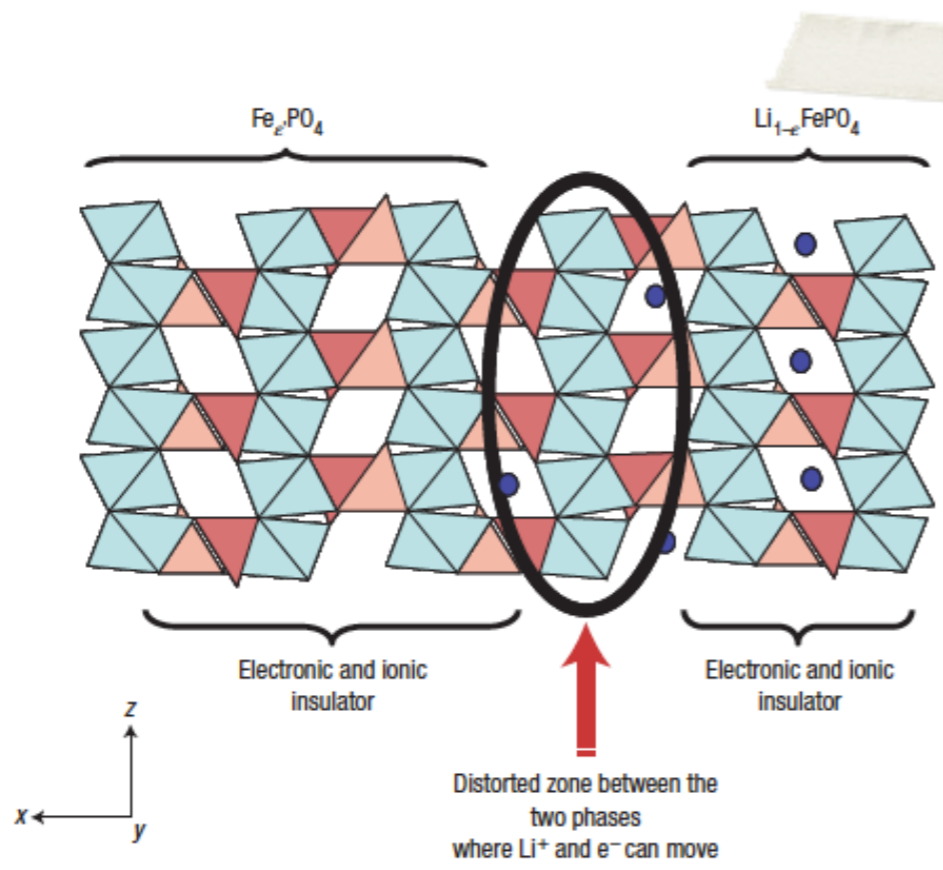


Figure 4 Distorted zone in the ac plane between the lithiated ($\text{Li}_{1-x}\text{FePO}_4$) and delithiated (Li_xFePO_4) phases during the lithium deintercalation/intercalation process in LiFePO_4 olivine-type material.

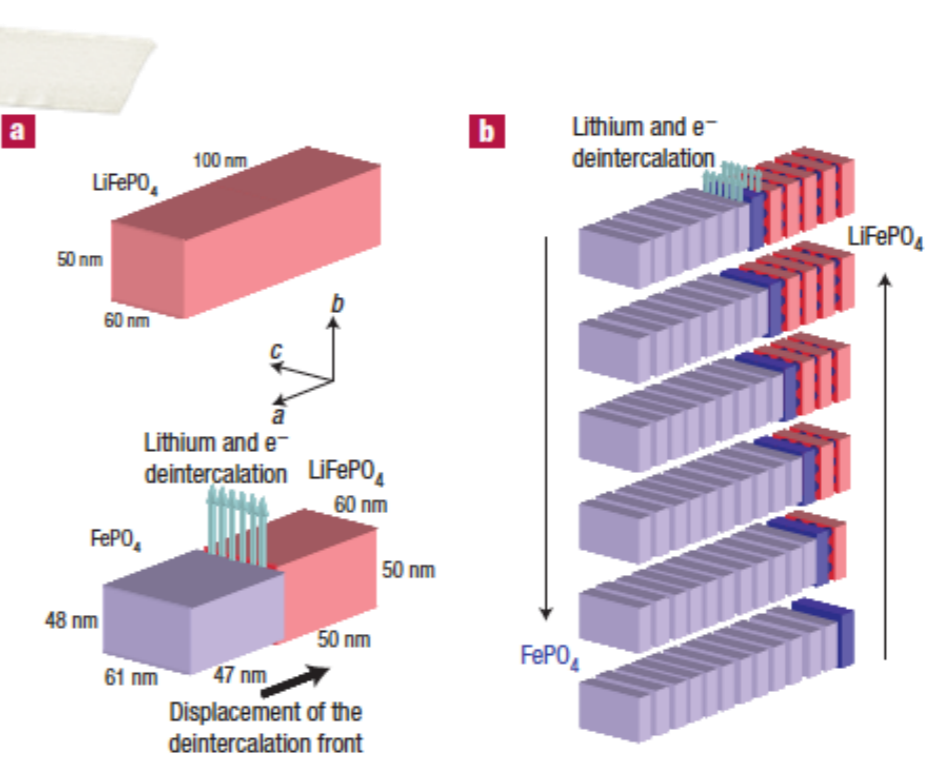
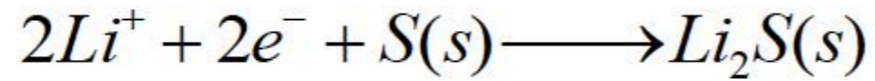


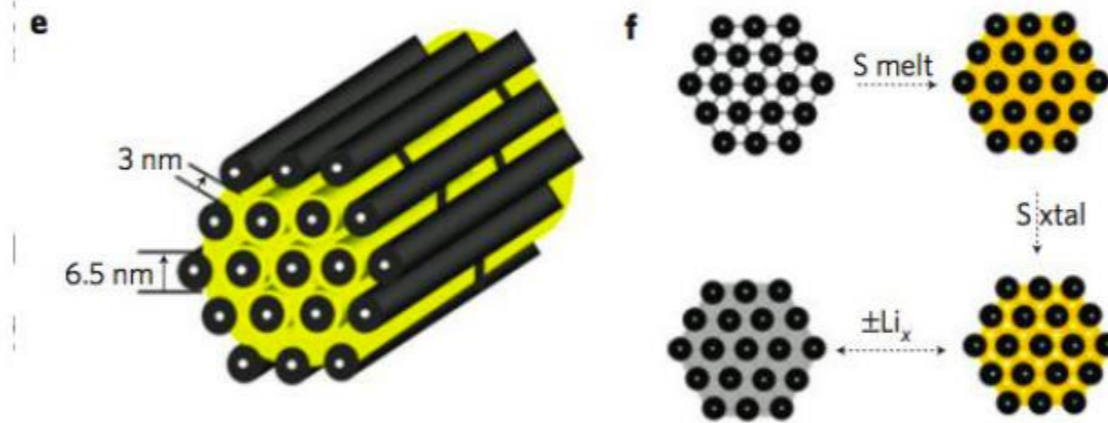
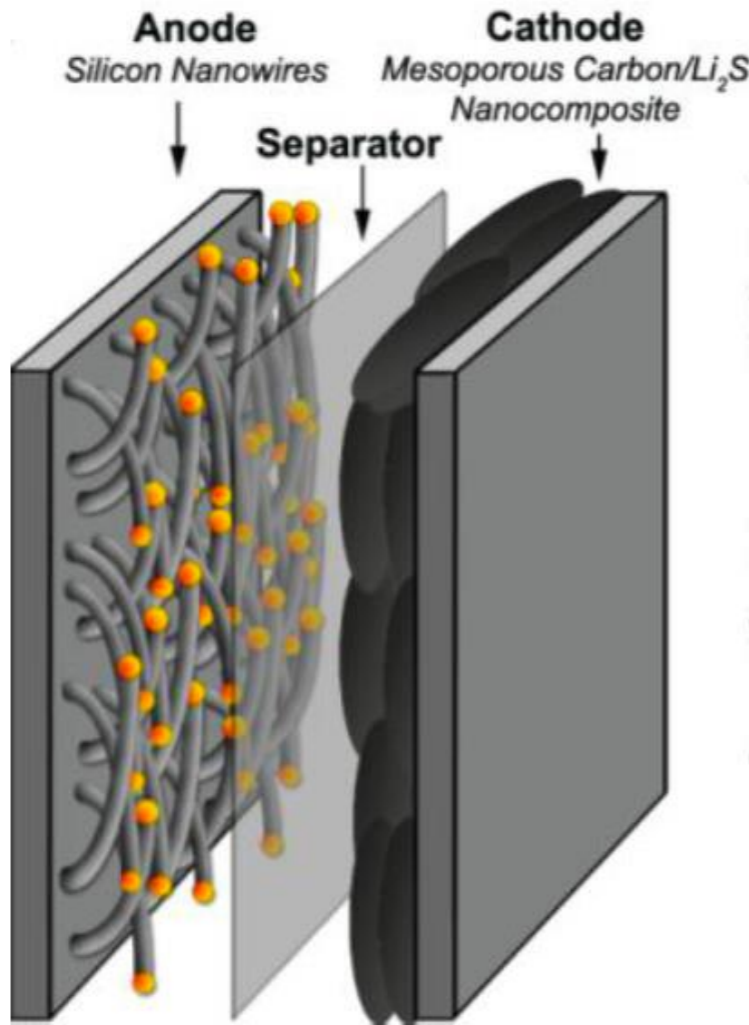
Figure 5 Schematic view of the 'domino-cascade' mechanism for the lithium deintercalation/intercalation mechanism in a LiFePO_4 crystallite. Distances are not accurate because studied crystallites are rather isotropic. **a**, Scheme showing a view of the strains occurring during lithium deintercalation. **b**, Layered view of the lithium deintercalation/intercalation mechanism in a LiFePO_4 crystallite.

C. Delmas et al., Nat. Mat. 7 (2008), 665

Sulfur



1670 mAh/g, 2.2V.



Yuan Yang, Matt McDowell, Ariel Jackson and Y. Cui (Nano Letters, 2010)
(L. Nazar, Nature Materials, 2009)

<https://energy.stanford.edu/sites/default/files/YiCui.pdf>

Na-Batteries

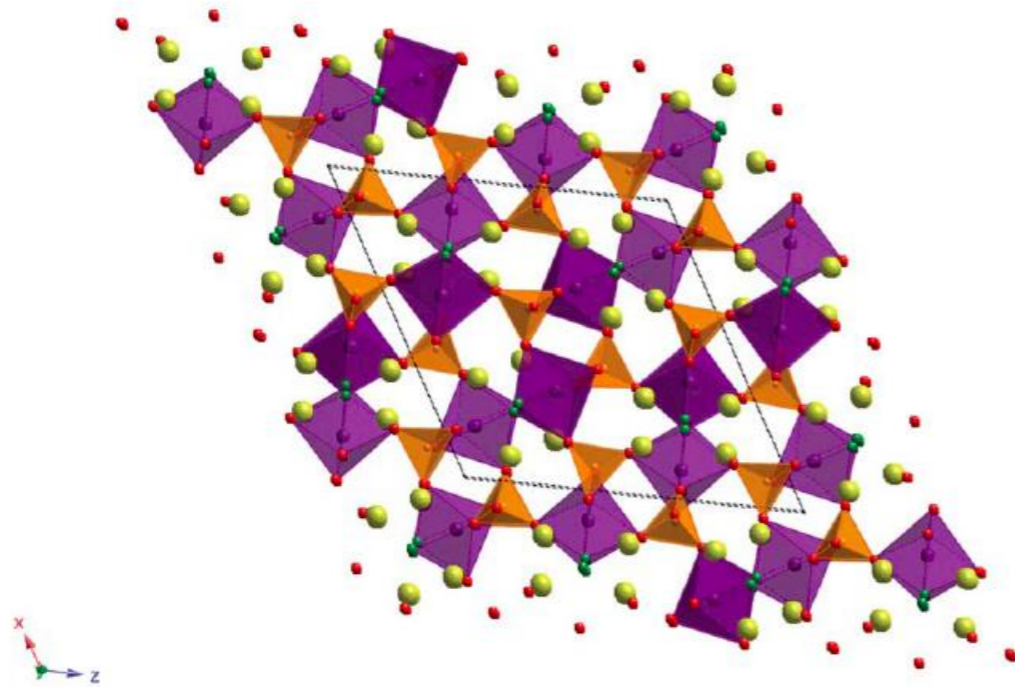


Fig. 18 Crystal structure of $\text{Na}_2\text{MnPO}_4\text{F}$. The manganese octahedra are plotted in purple, phosphate tetrahedra are shown in orange, fluorine atoms in green and Na ions in yellow.

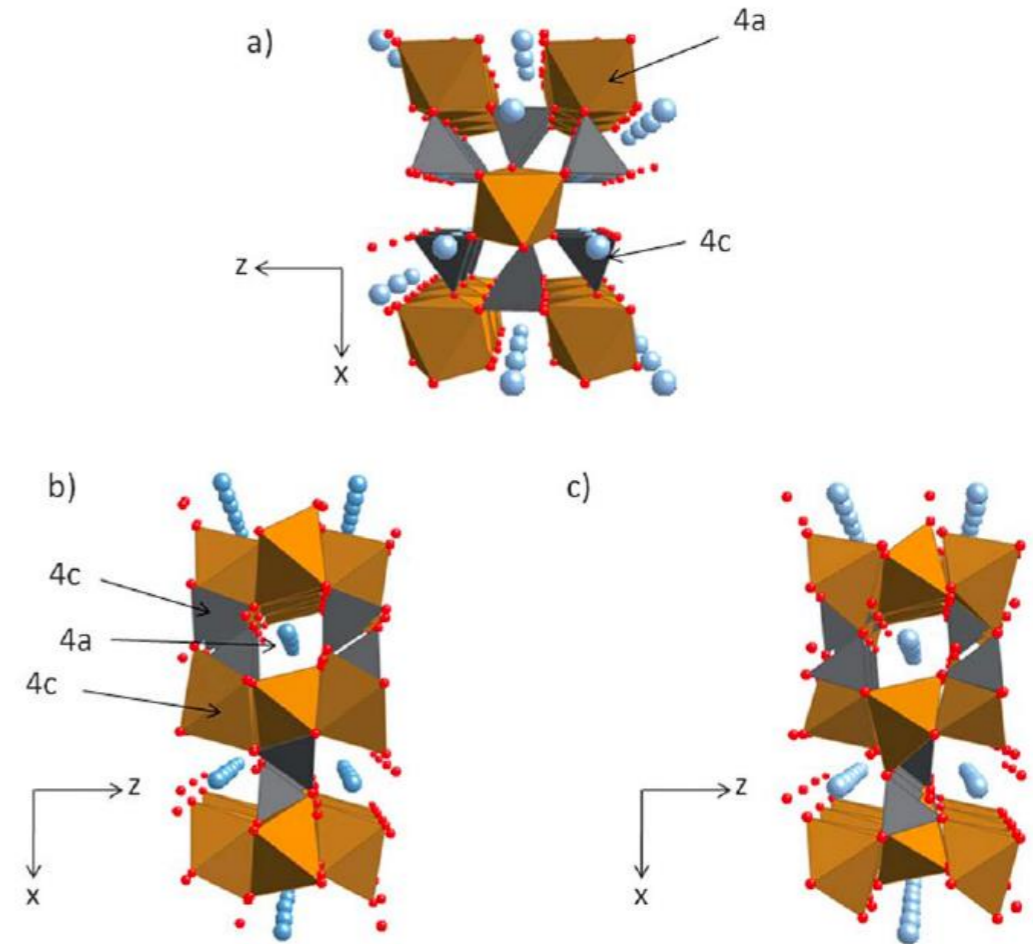


Fig. 6 Structure of (a) maricite NaFePO_4 , (b) olivine LiFePO_4 , and (c) olivine NaFePO_4 . 4(a) and 4(c) crystallographic sites are marked.

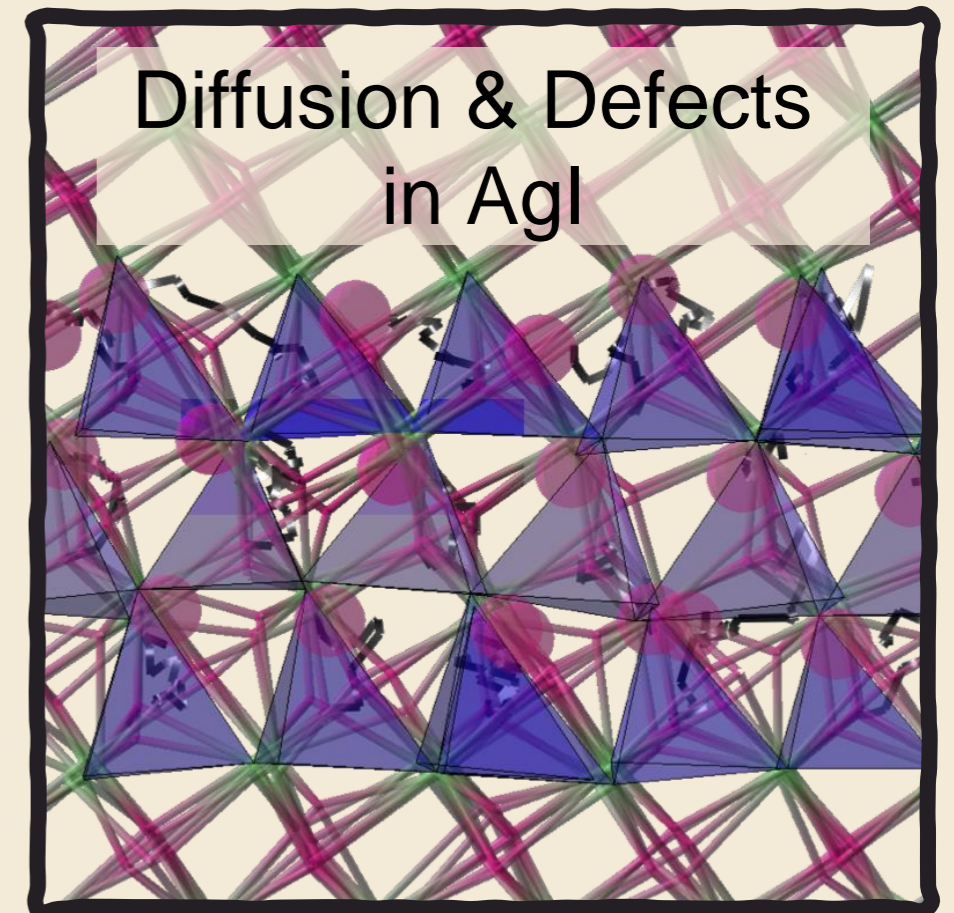
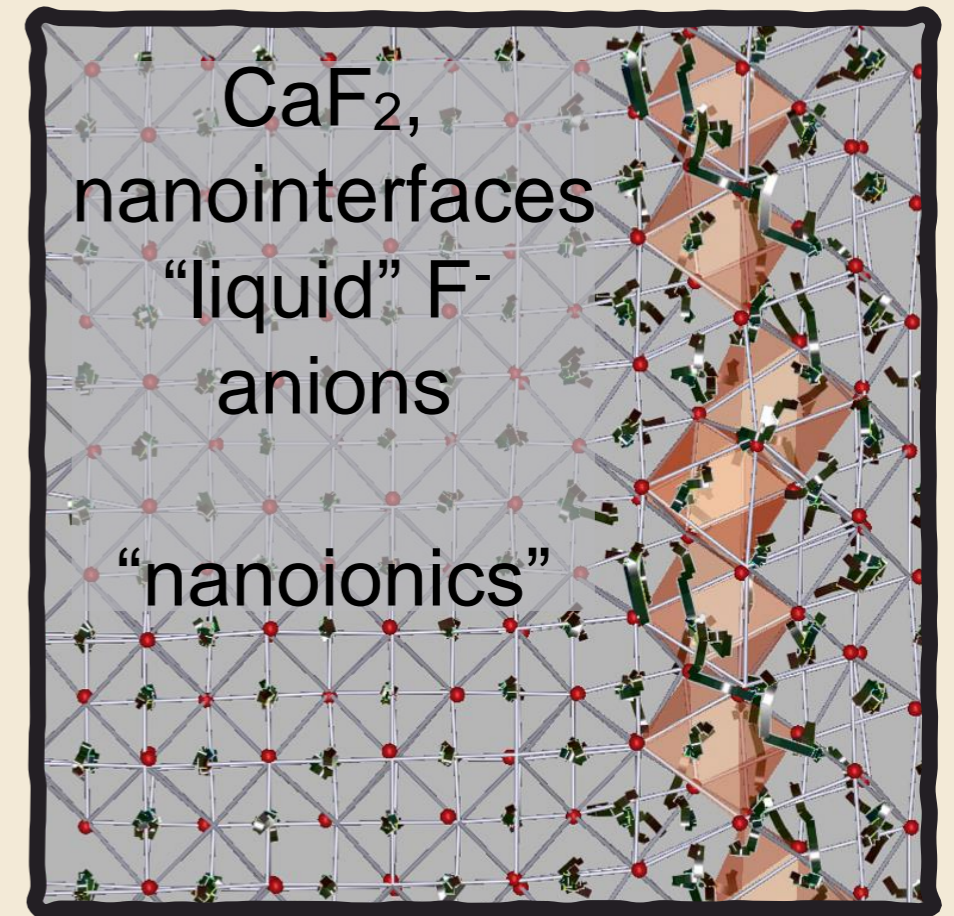
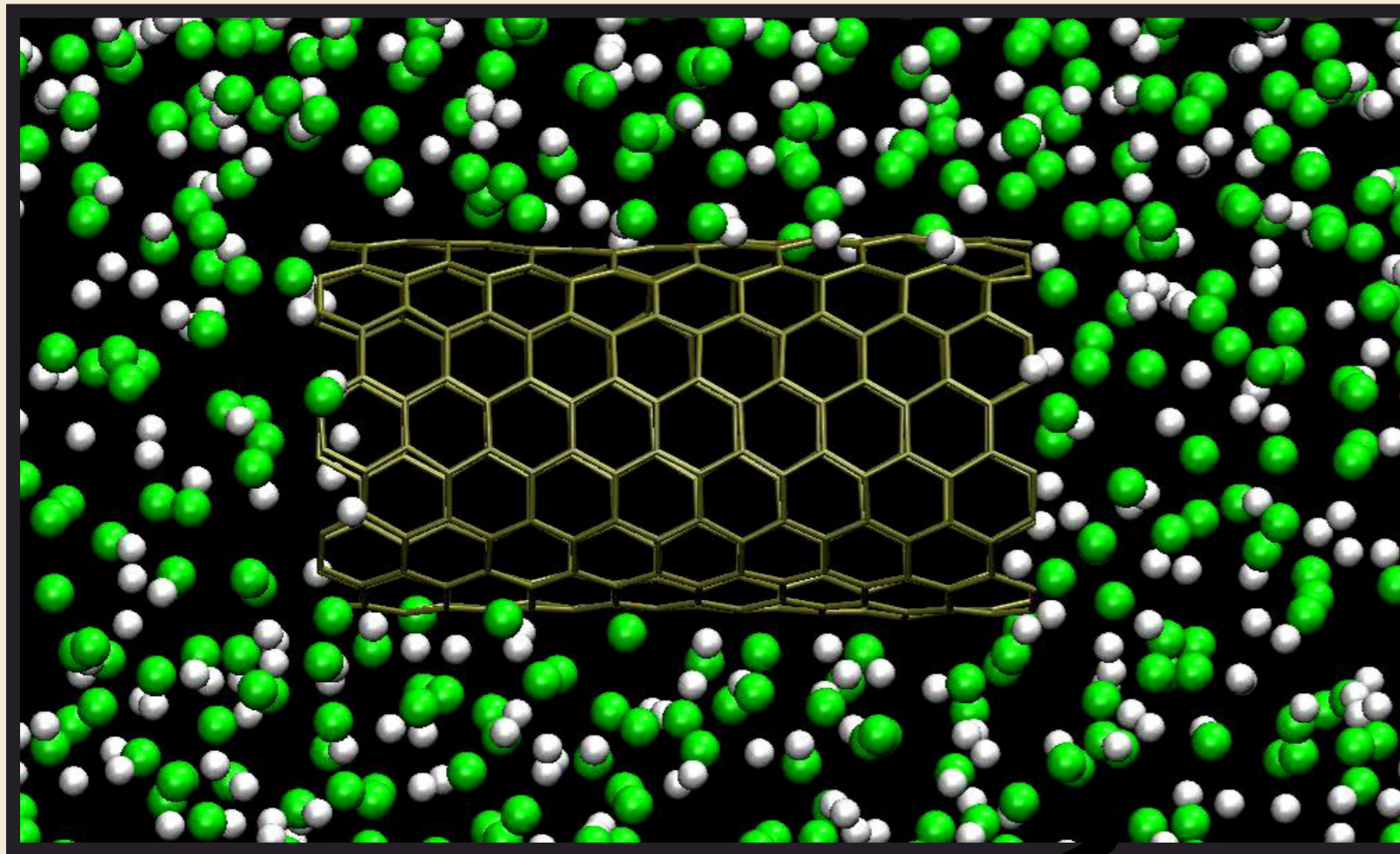
V. Palomares, Energy Environ. Sci., 2012, 5, 5884

Mass Transport

“entropy CV”

“structure factor SF CV”
Batteries

Confined Crystallisation

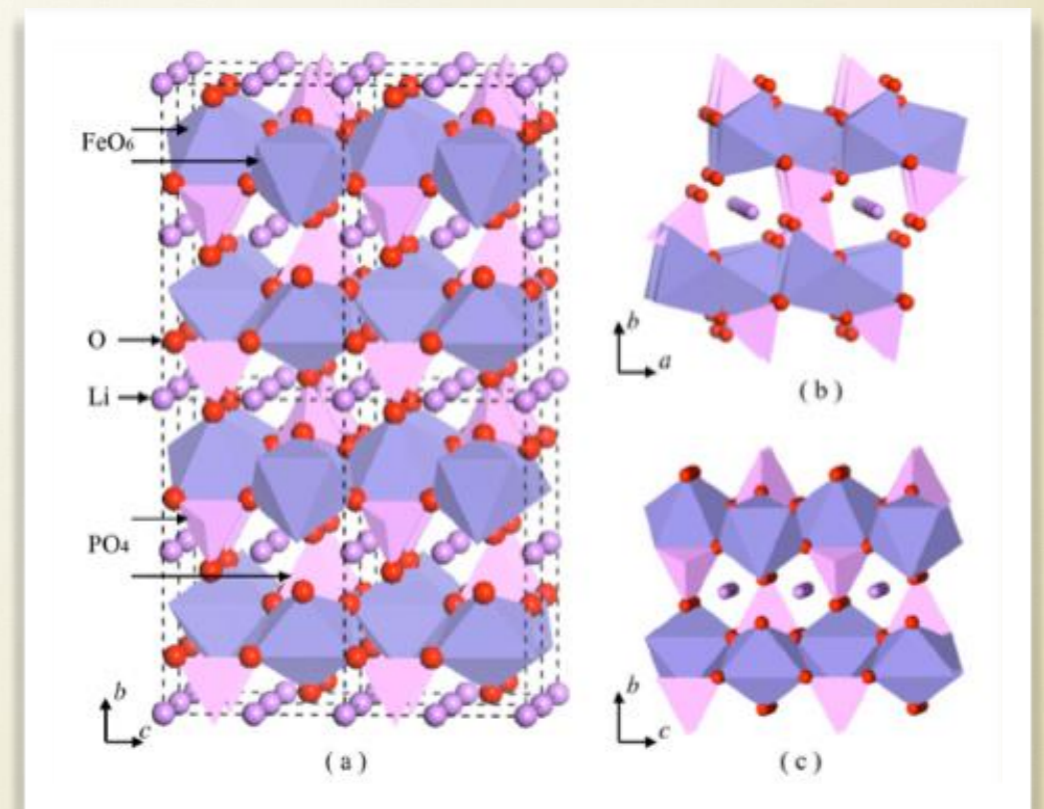
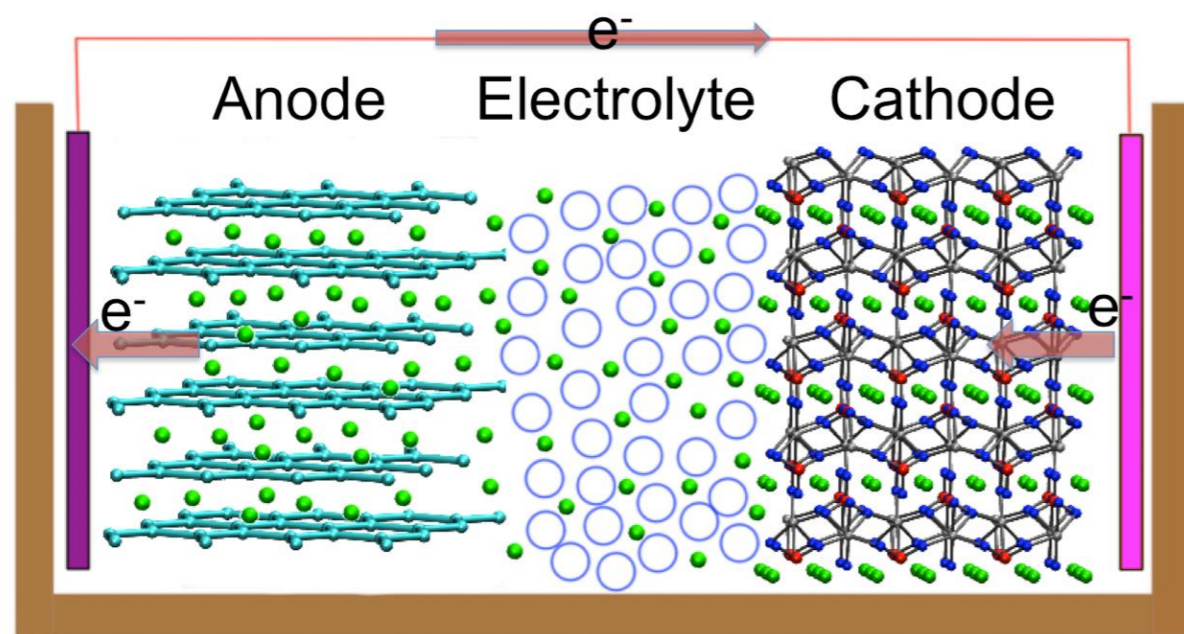


**Diffusion,
Crystallisation, Ionic
Conduction**

Lithium-ion batteries

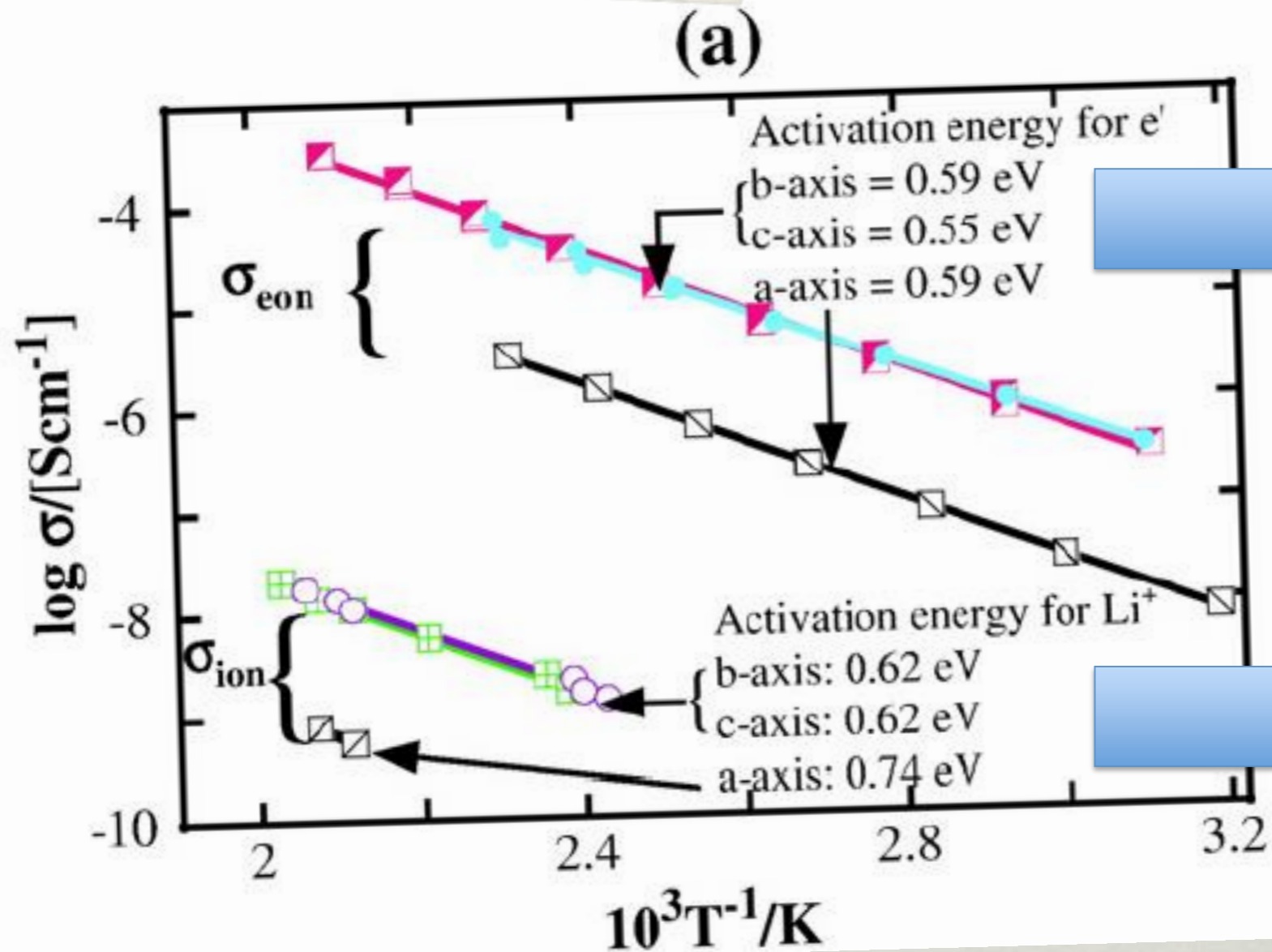
DFT & Molecular Dynamics

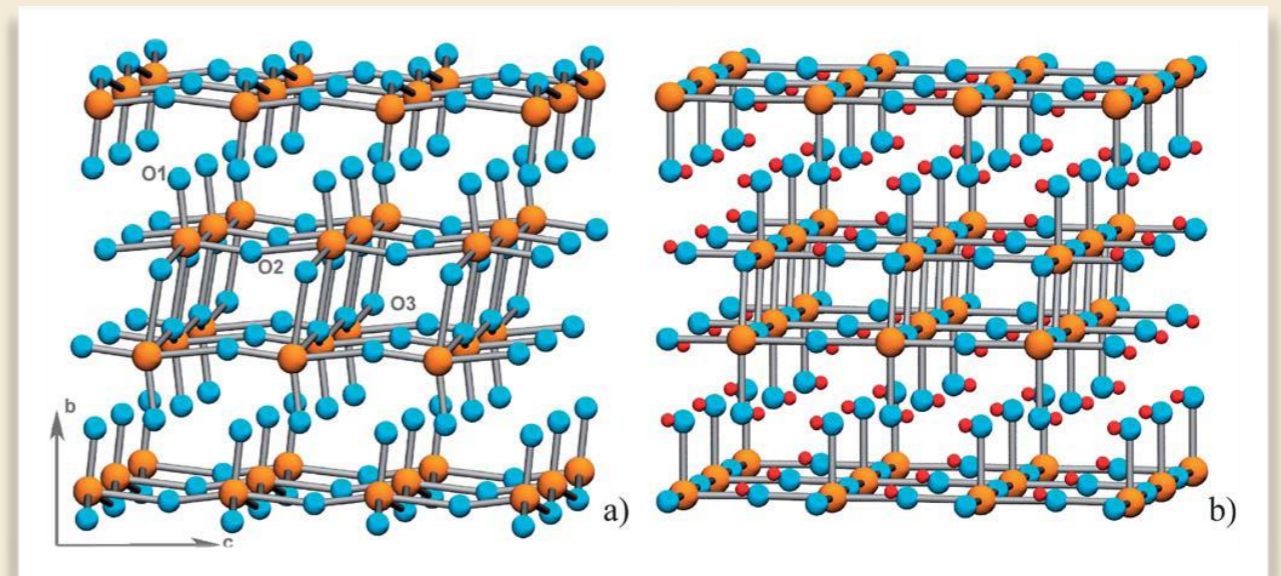
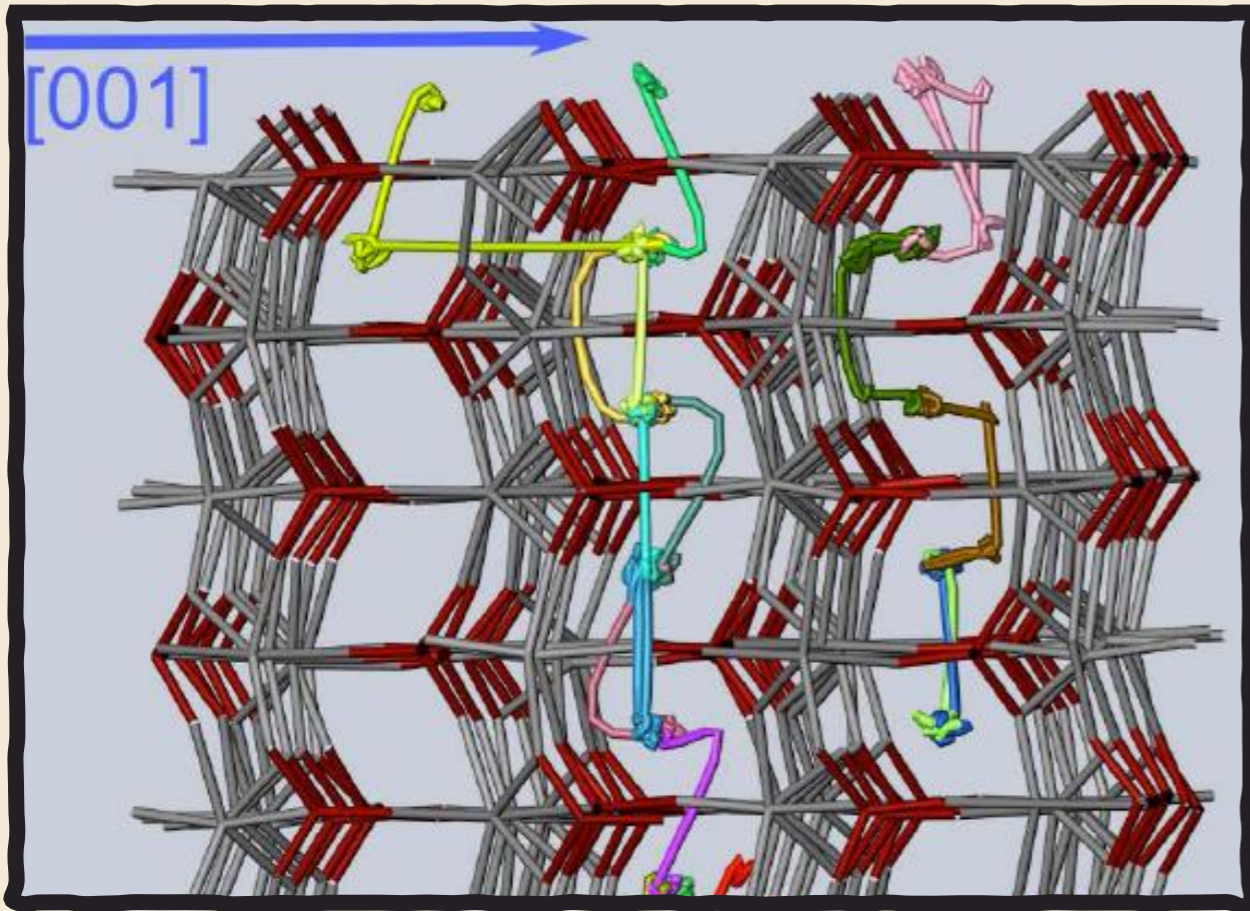
- Stabilities of different cathode materials
- Lithium uptake
- **Mobility of lithium ions**
- Intercalation / de-intercalation process(es)



- LiFePO_4 crystal structure
Ouyang et al., Phys. Rev. B 69
(2004), 104303.

Which directions are relevant ?

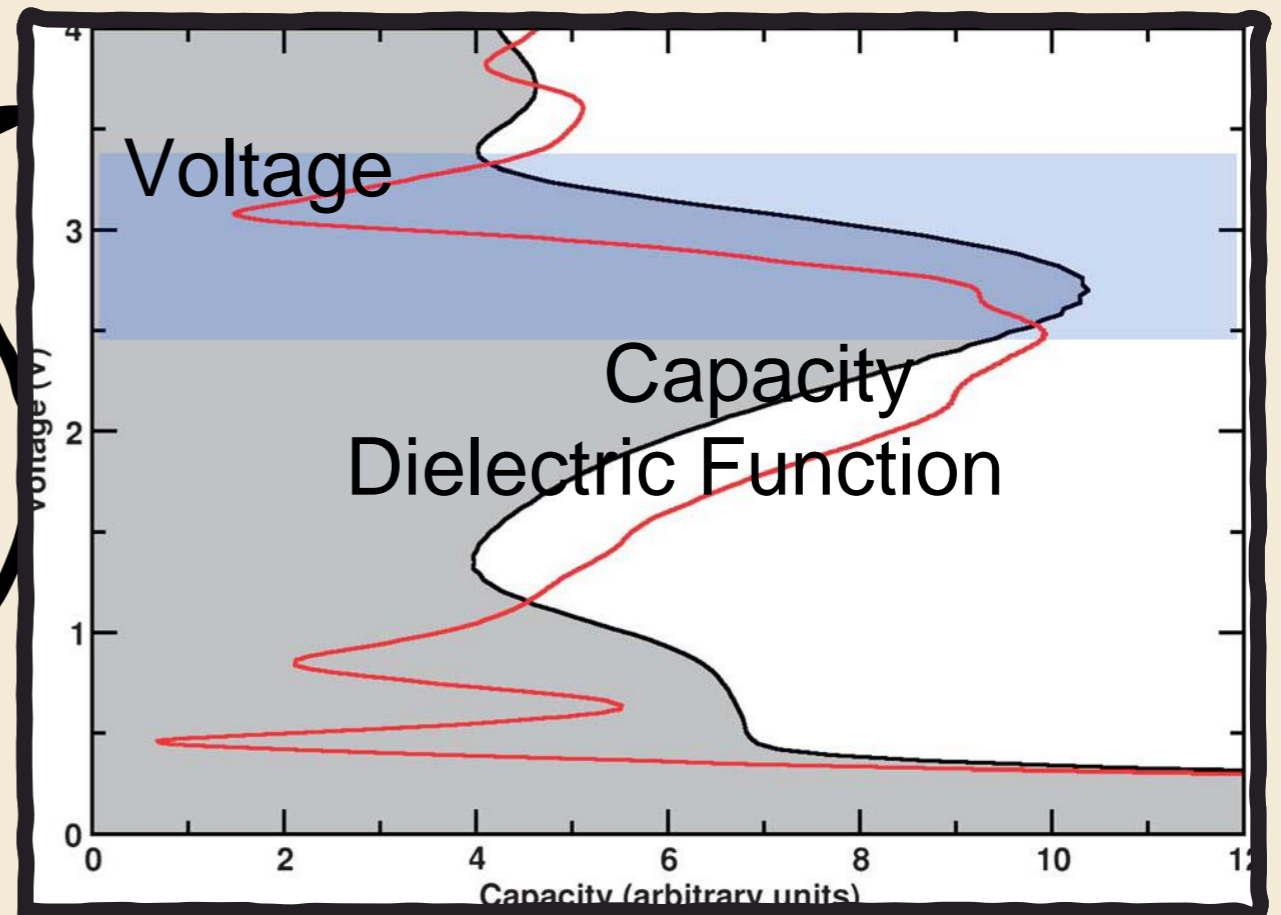


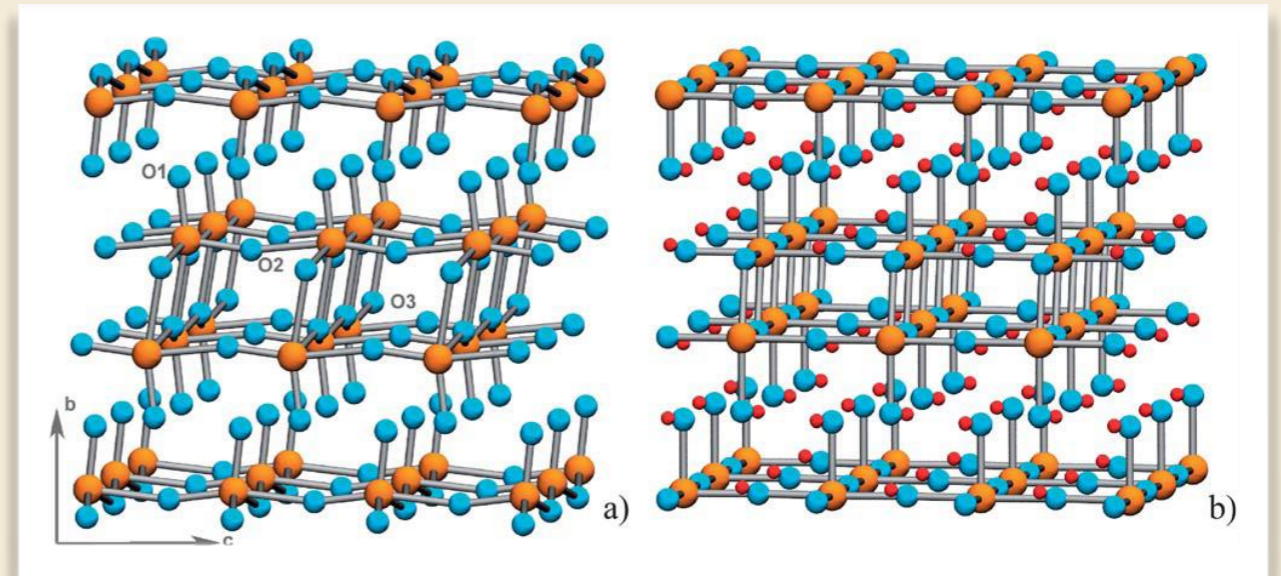
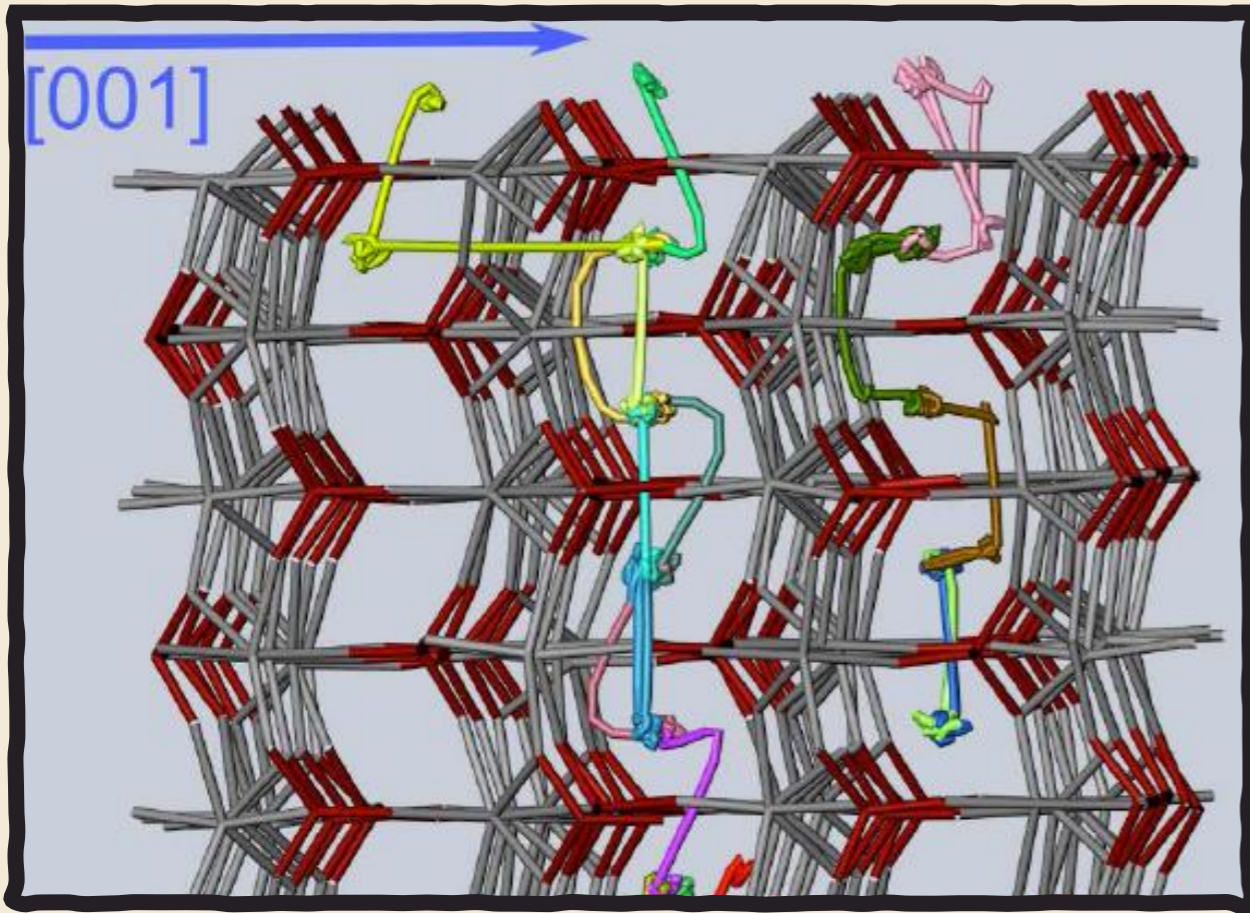


Ionic transport & Intercalation in FePO_4 and MoO_3

L. Craco, M. Laad, S. Leoni, *Europhys. Lett* 91 (2010)
 L. Craco, S. Leoni, *JAP* 111-112 (2012)
 L. Craco, S. Leoni, *APL*, 99, 192103 (2011).
 S. Leoni et al., *ZPC*, 226, 95 (2012)
 S.E. Bouffelle, *J. Mat. Chem* (2012)
 M. Baldoni et al., *J. Mat Chem. A*, (2013)
 T. Flack et al, in preparation (2018)

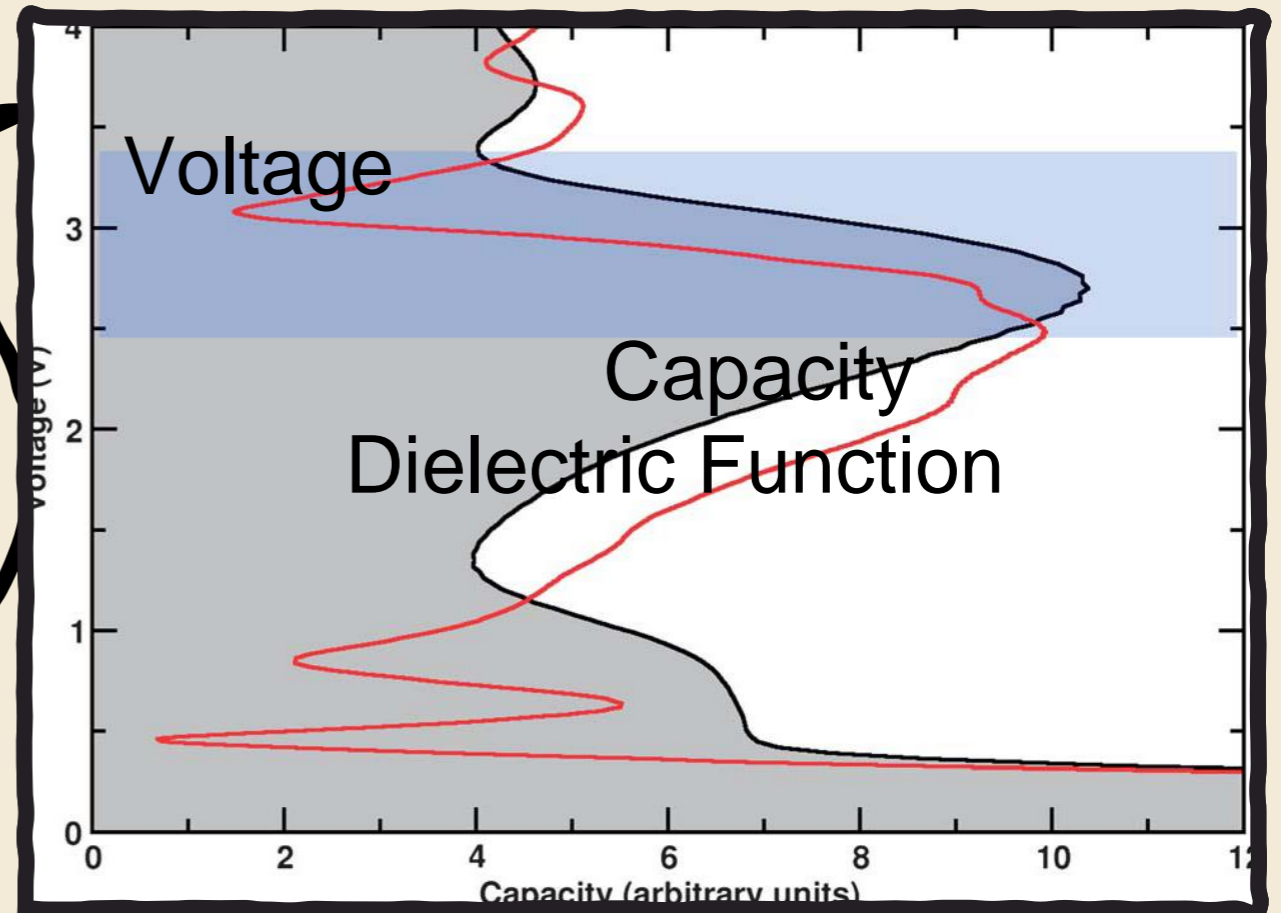
Batteries: Ionic Transport, Voltage, Capacity, Electronic Transport





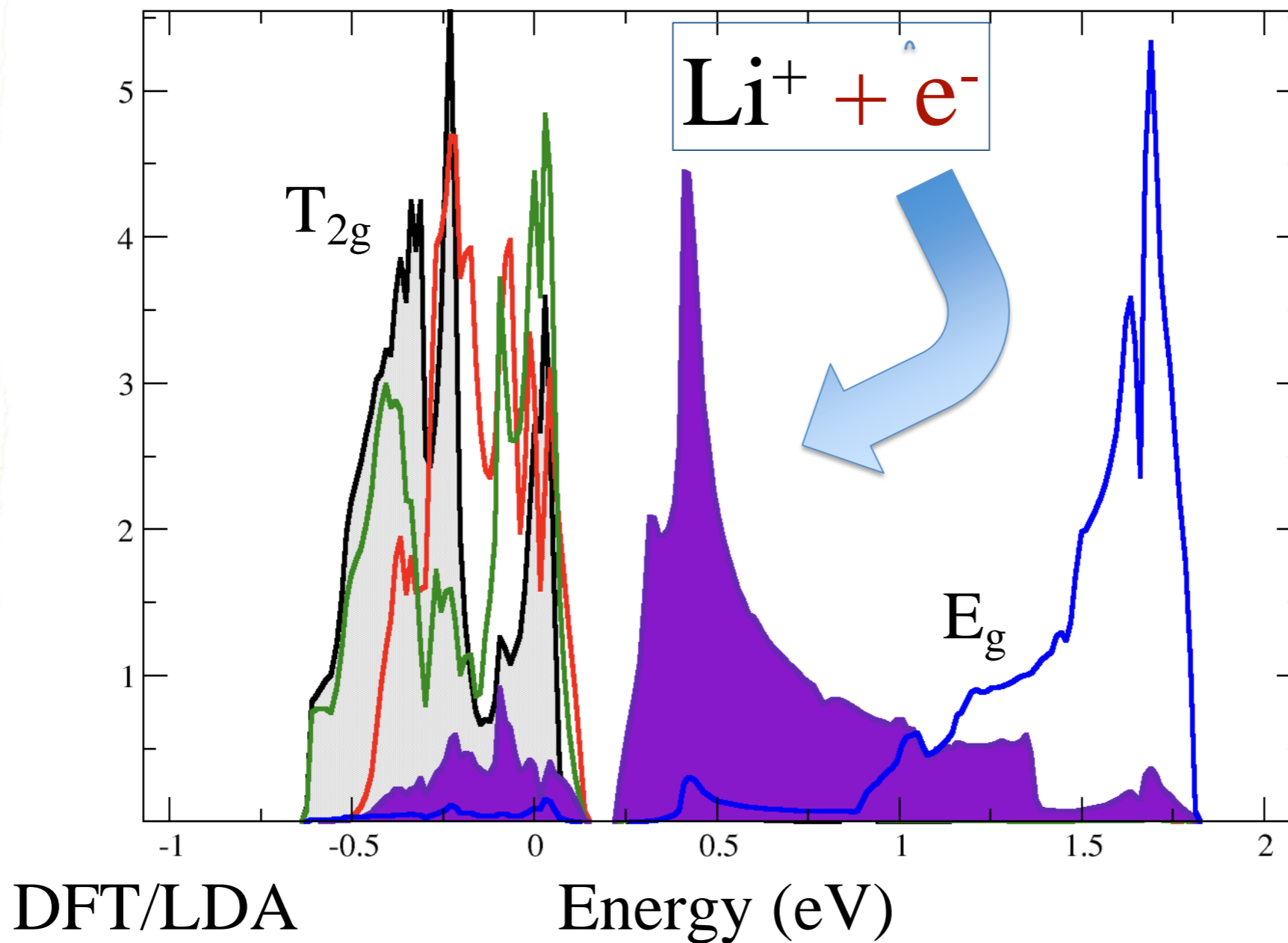
Ionic transport & Intercalation
Charge/Discharge

Ionic Transport,
Voltage, Capacity,
Electronic Transport

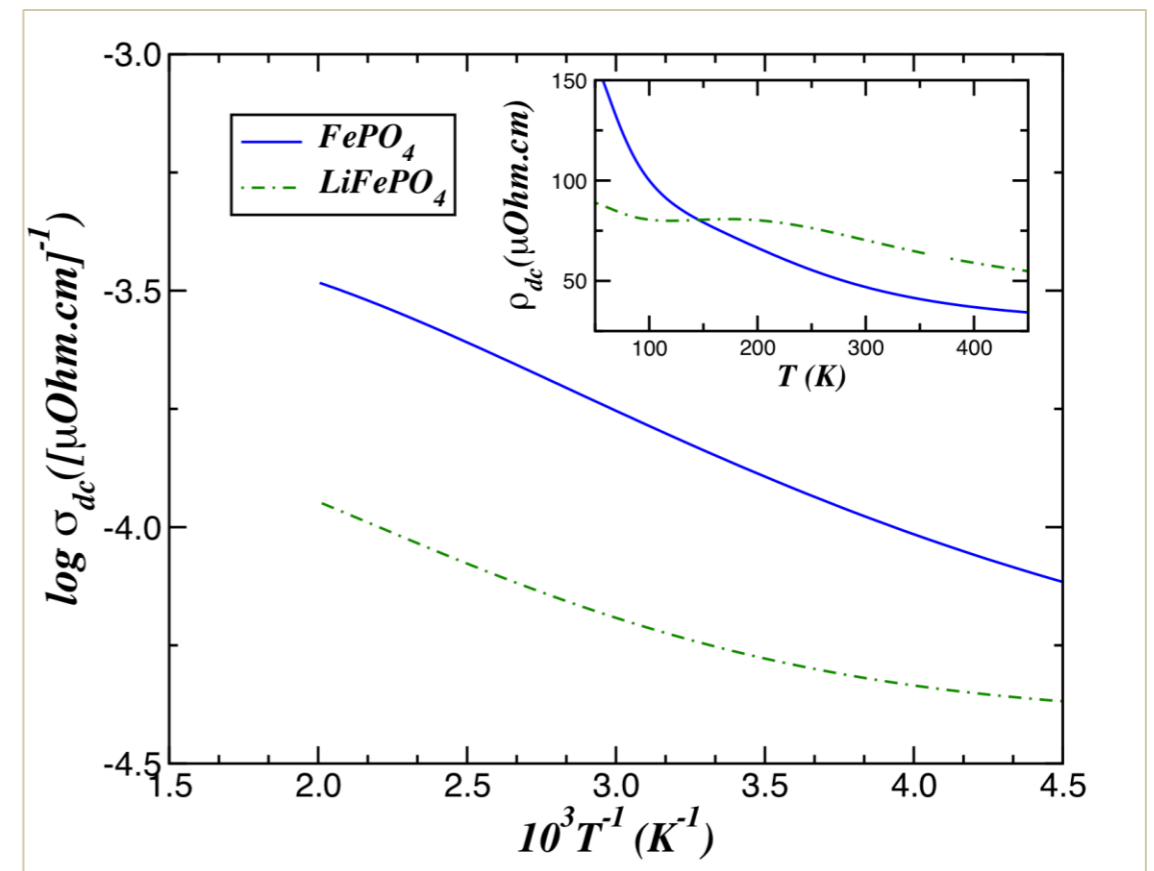
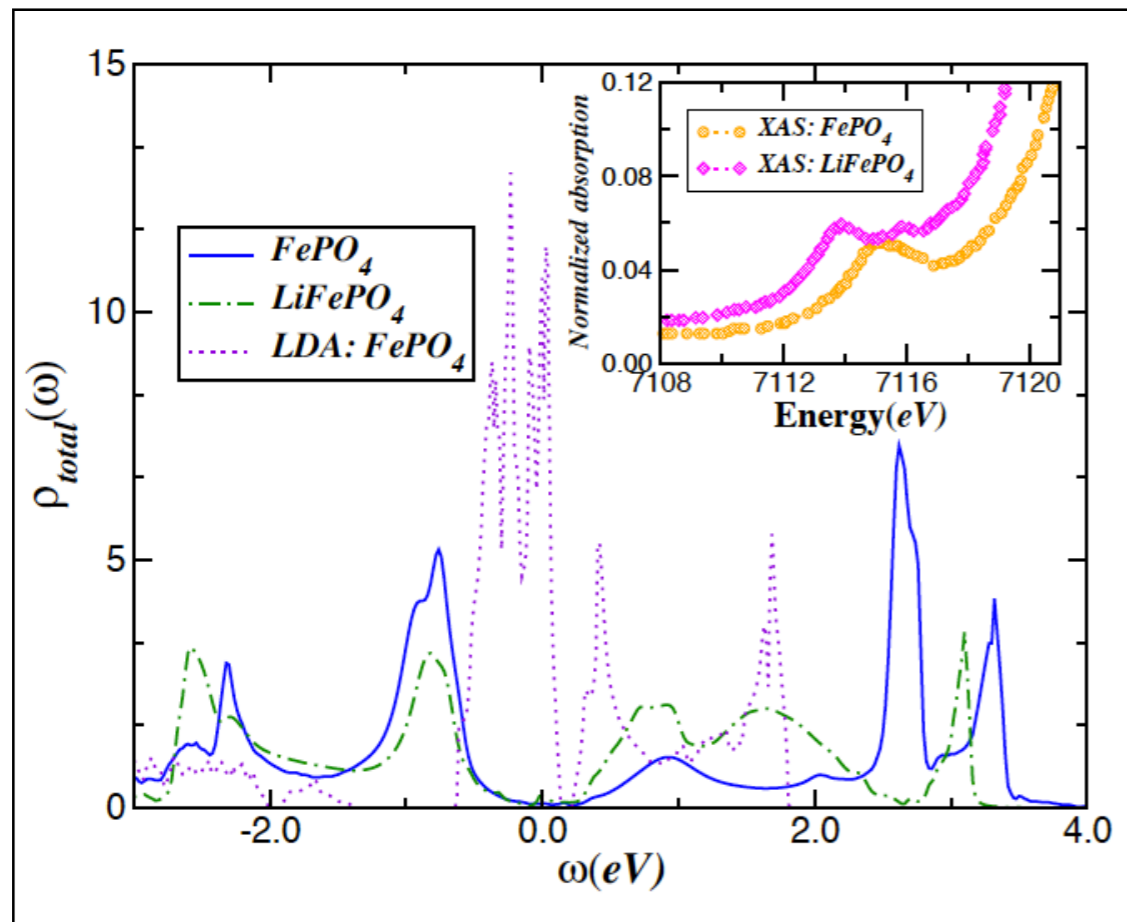


Ansatz: e-doped FePO₄

FePO₄, Fe 3d states



Electronic Structure and Transport



LDA+DMFT

L. Craco, M. Laad, S. Leoni, Europhys. Lett 91 (2010), 27001

L. Craco, S. Leoni, JAP 111-112 (2012).

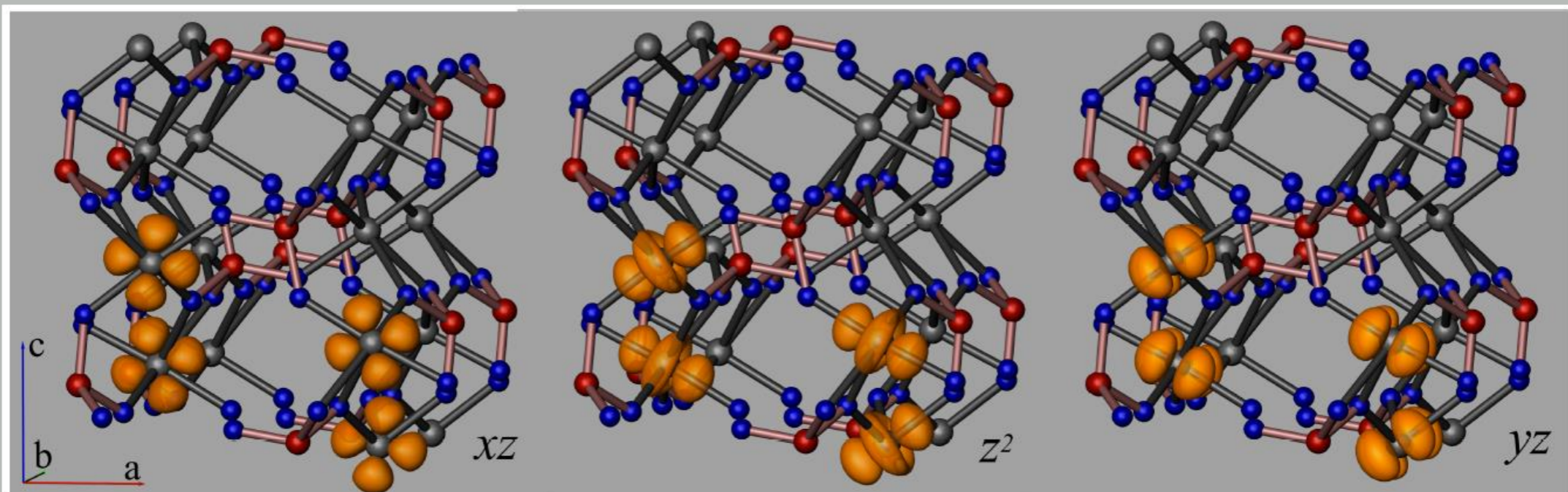
L. Craco, S. Leoni, APL, 99, 192103 (2011).

S. Leoni et al., ZPC, 226, 95 (2012).

M. Baldoni et al., J. Mat Chem. A, (2013)

L. Craco, S. Leoni (2020)

Orbital Switch on Charging, Discharging



2 Orbital "States"

LDA

LDA+DMFT

FePO₄

FePO₄

LiFePO₄

Dielectric Constant

$$\varepsilon^a(\omega) = 1 + \frac{4\pi i\sigma^a(\omega)}{\omega}$$

dielectric function

$$R^a(\omega) = \left| \frac{\sqrt{\varepsilon^a(\omega)} - 1}{\sqrt{\varepsilon^a(\omega)} + 1} \right|^2$$

optical reflectivity

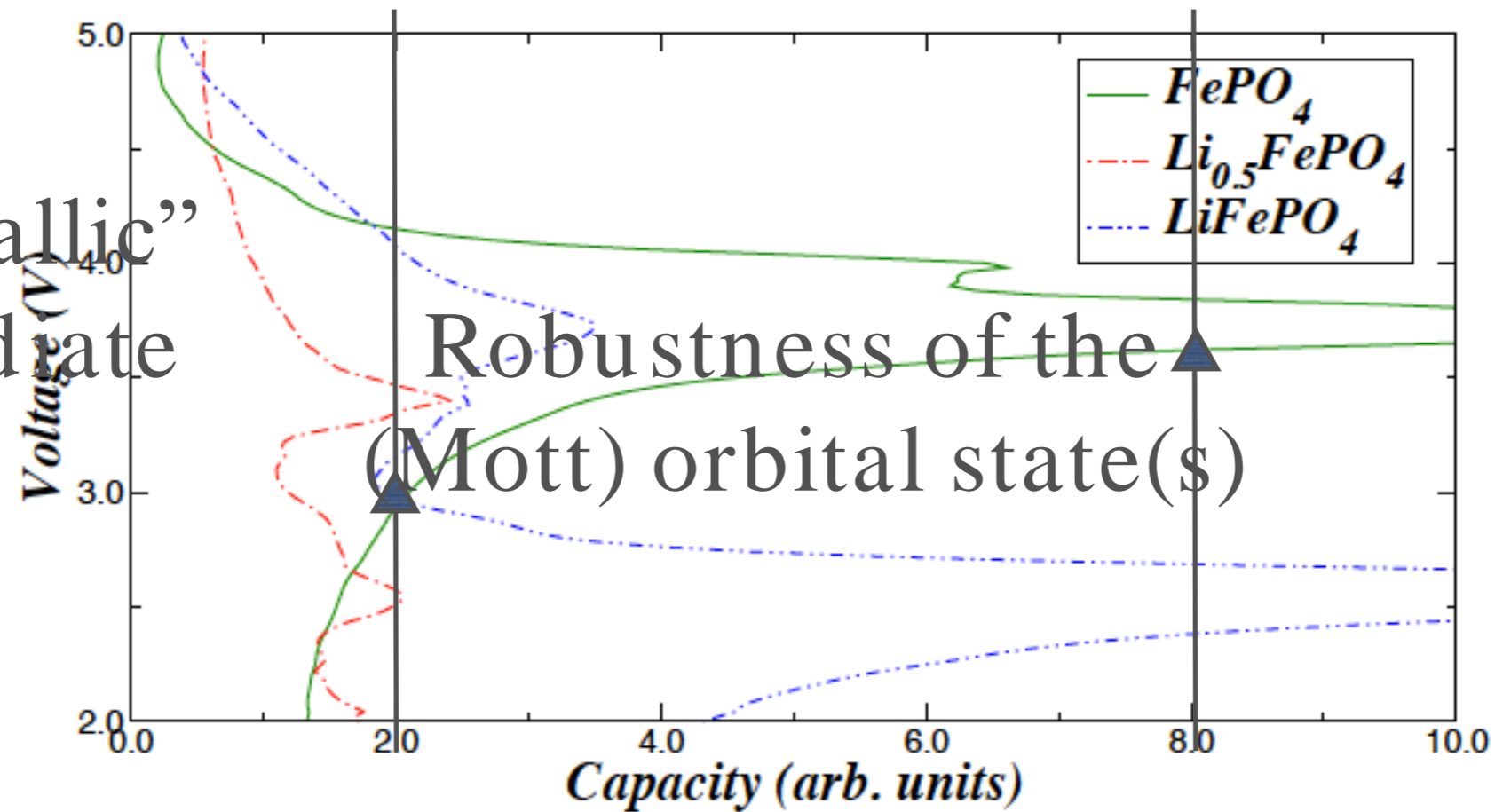
$$\sigma^a(\omega) = \sigma_1^a(\omega) + i\sigma_2^a(\omega)$$

optical conductivity
of orbital a

Voltage/Capacity

$$C(V) \cong |\epsilon(\omega)|$$

No “metallic”
intermediate

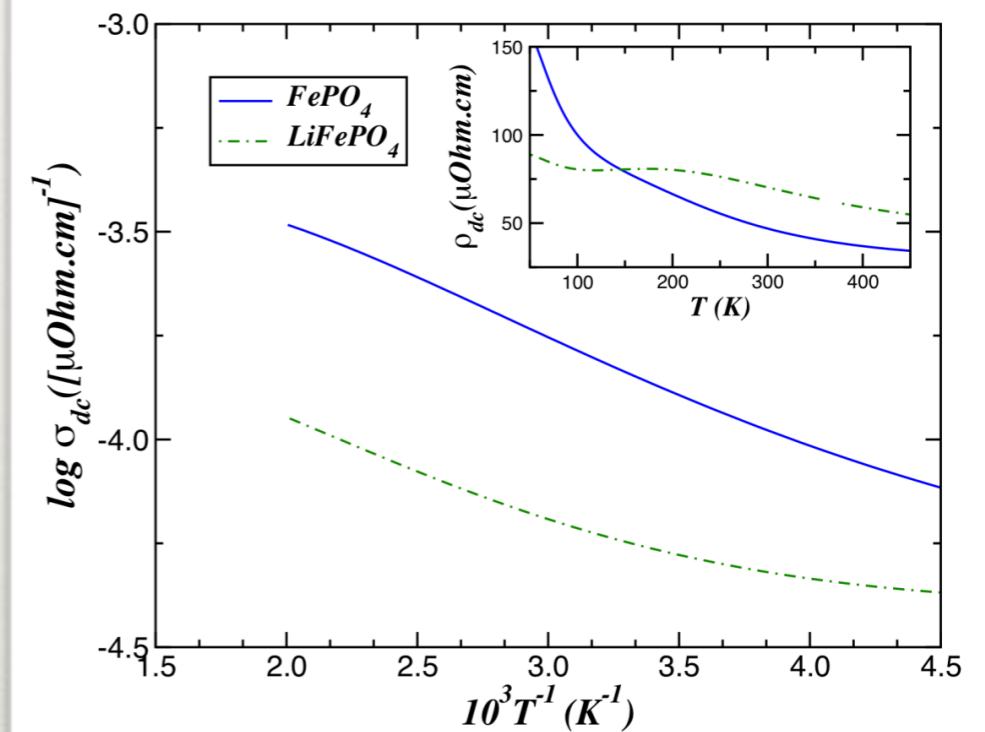
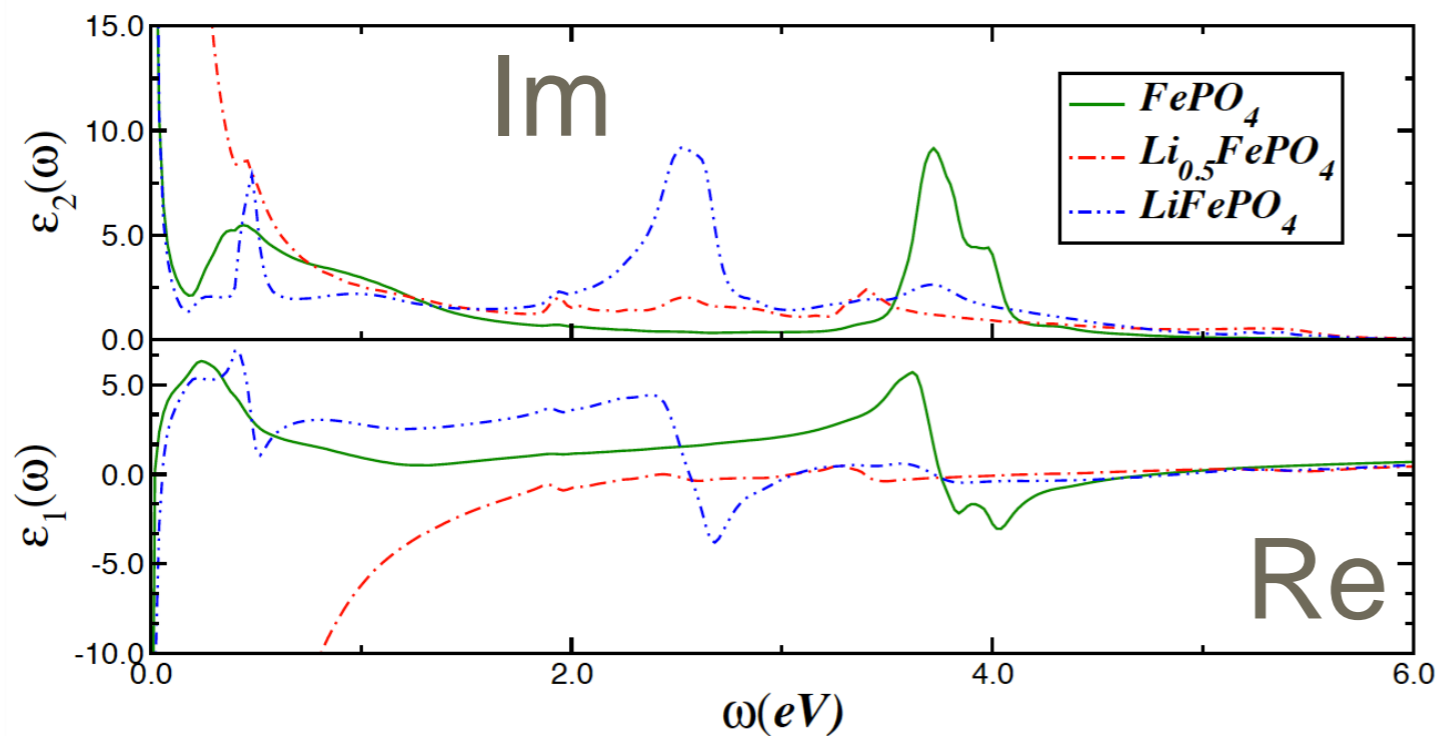


L. Craco, S. Leoni, APL, 99, 192103 (2011).

S. Leoni et al., ZPC, 226, 95 (2012).

M. Baldoni et al., J. Mater. Chem. A, 1, 1778 (2013).

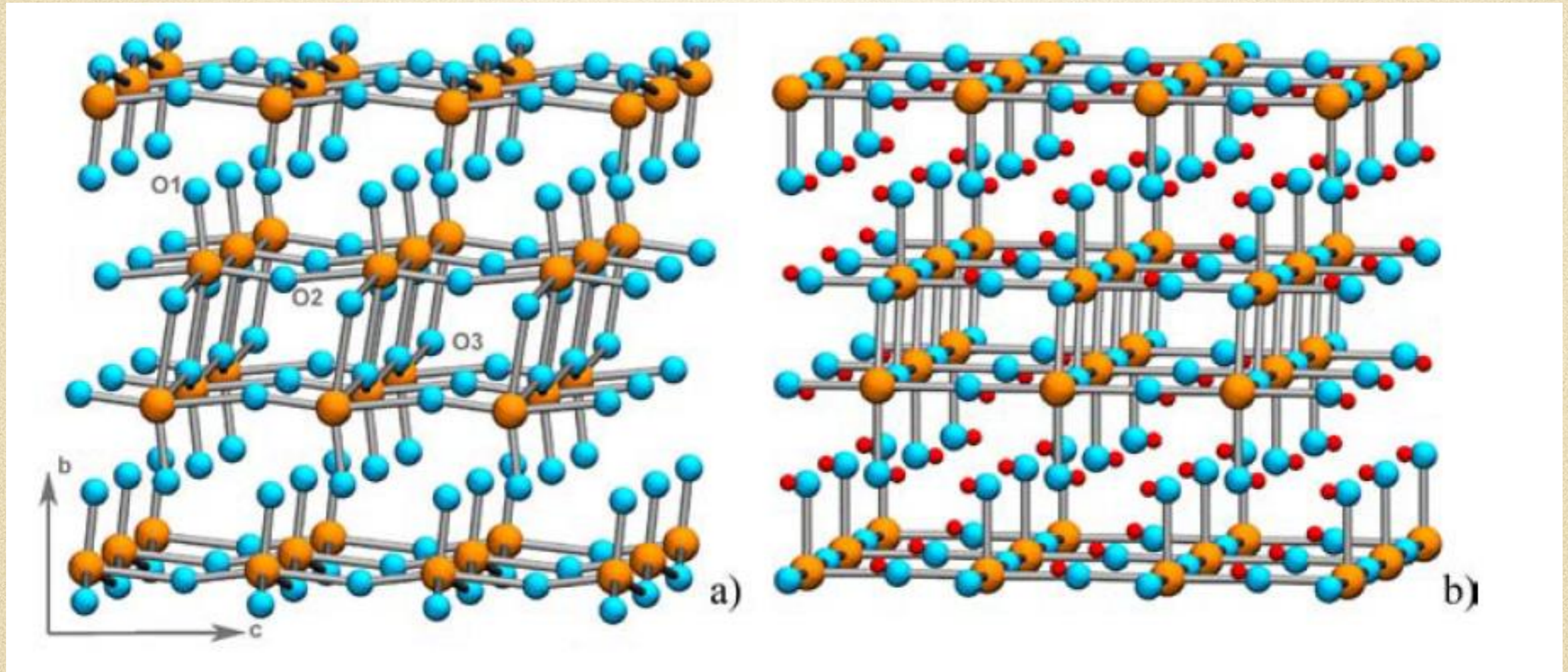
Dielectric Function & Transport



- L. Craco, M. Laad, S. Leoni, Europhys. Lett 91 (2010)
- L. Craco, S. Leoni, JAP, 111-112, (2012)
- L. Craco, S. Leoni, APL, 99, 192103 (2011)
- S. Leoni et al., ZPC, 226, 95 (2012)
- M. Baldoni et. al, J. Mater. Chem. A (2013)

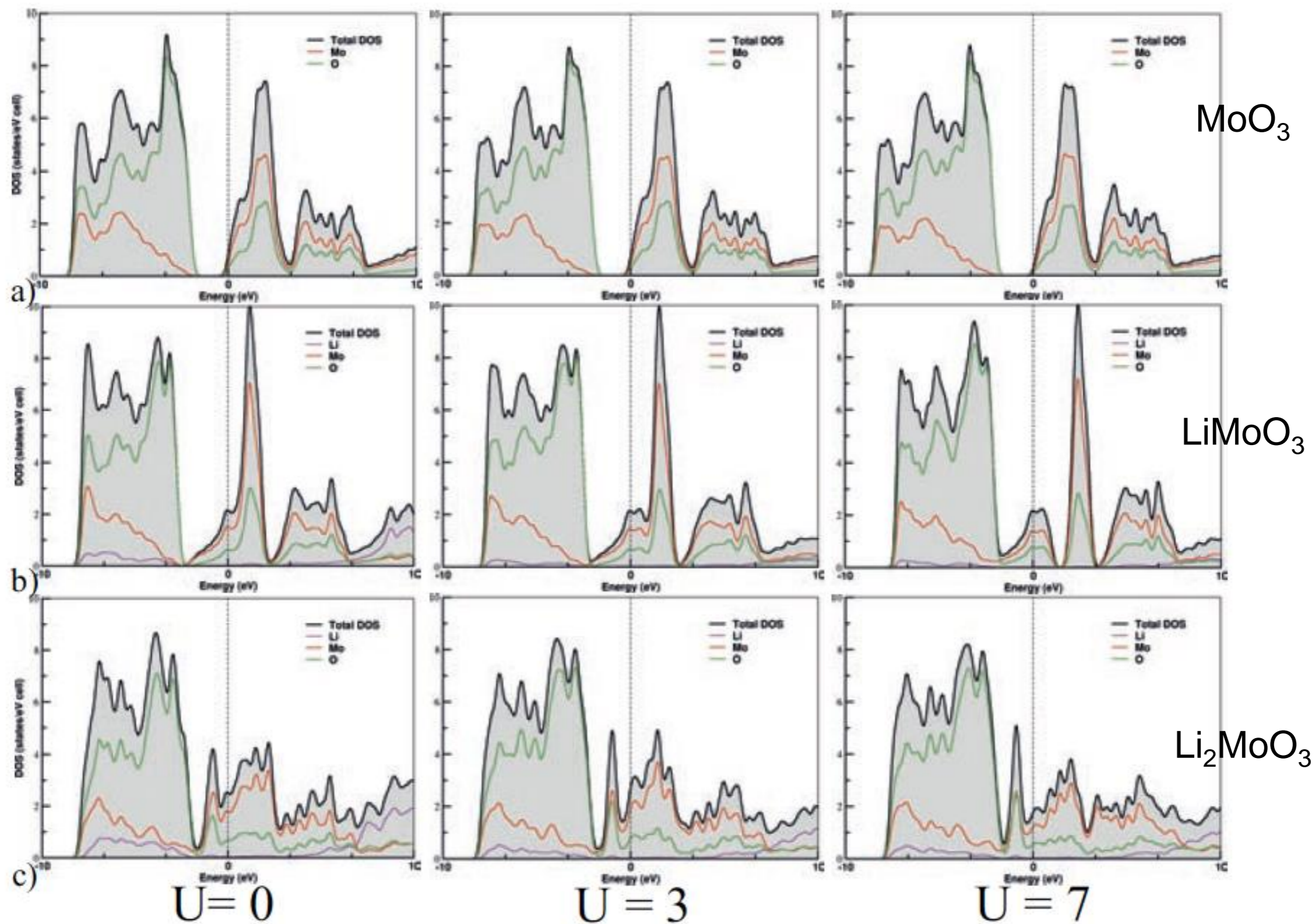
**LDA+DMFT
(GGA+U)**

Li_xMoO_3



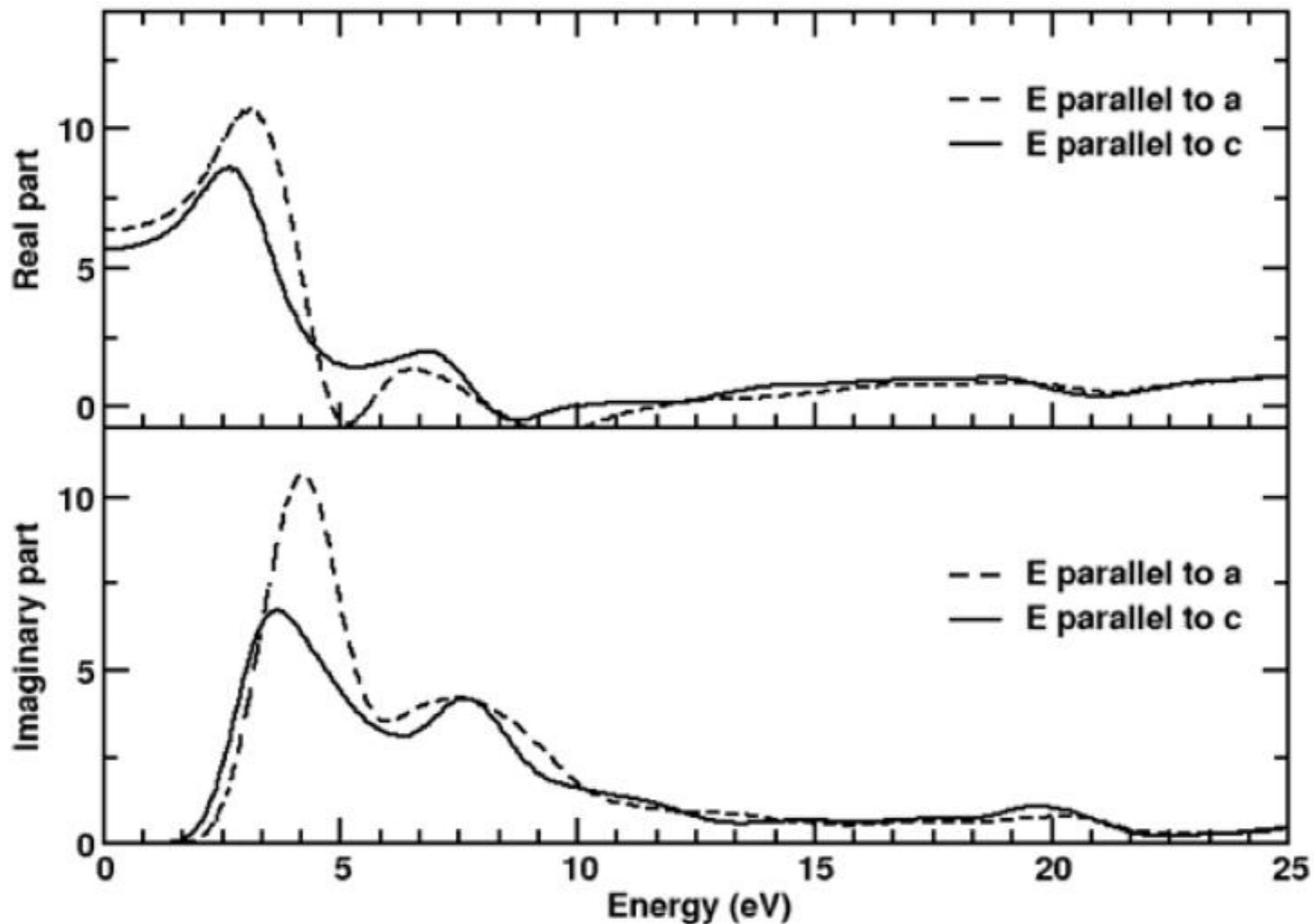
$\alpha\text{-MoO}_3$ and Li_2MoO_3

GGA+U Analysis

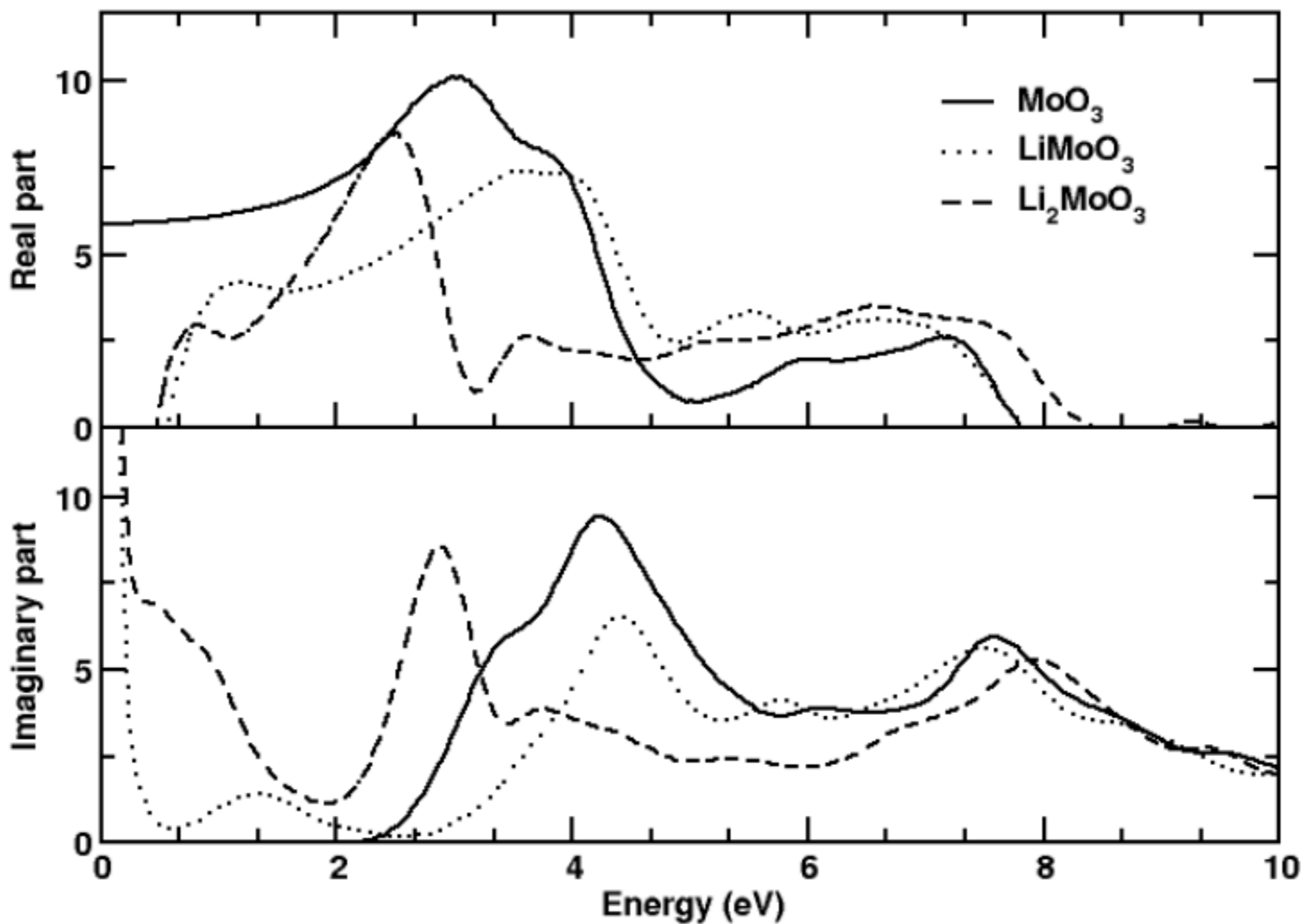


Dielectric Function ϵ

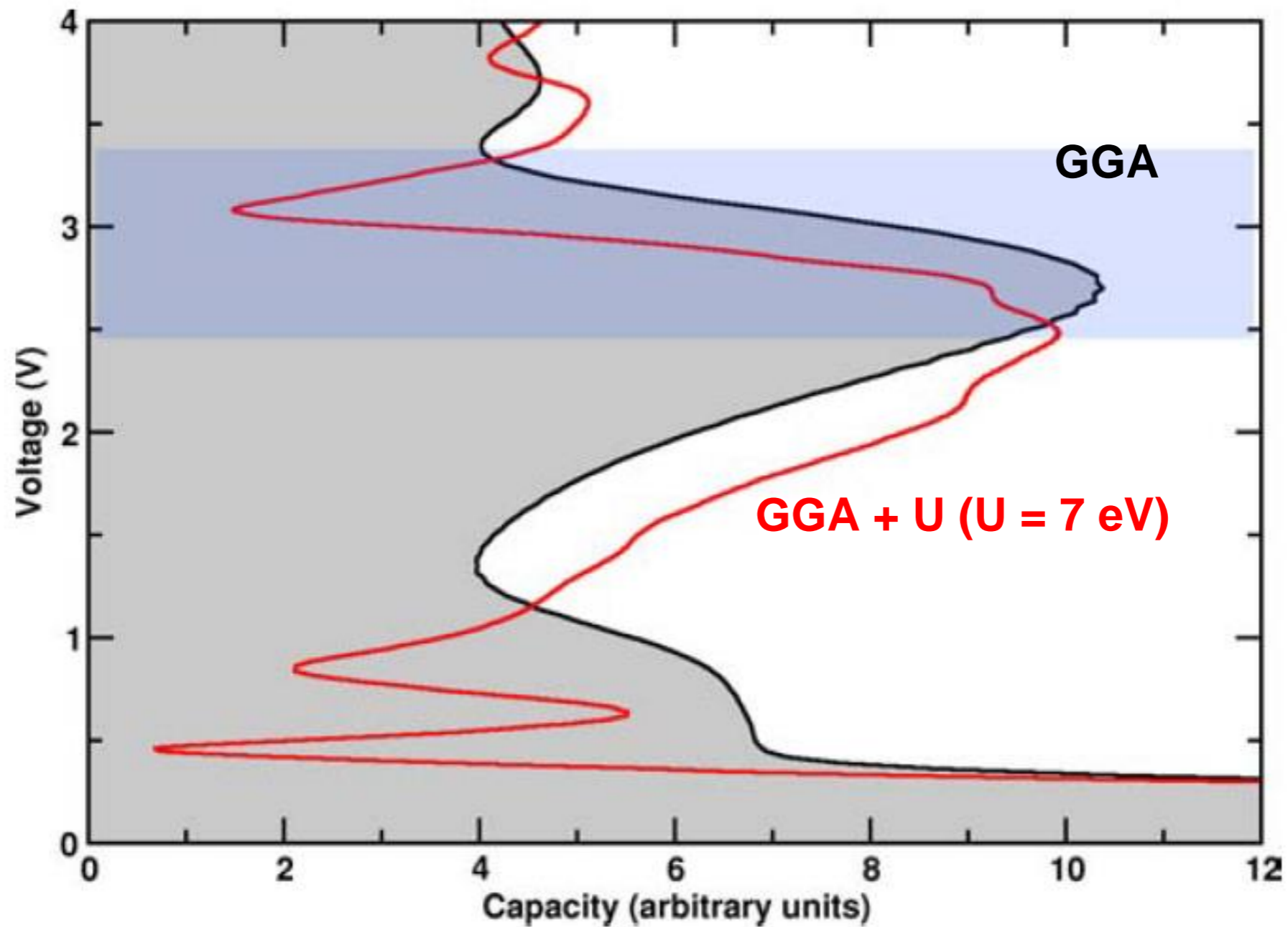
$\alpha\text{-MoO}_3$



Dielectric Function ϵ

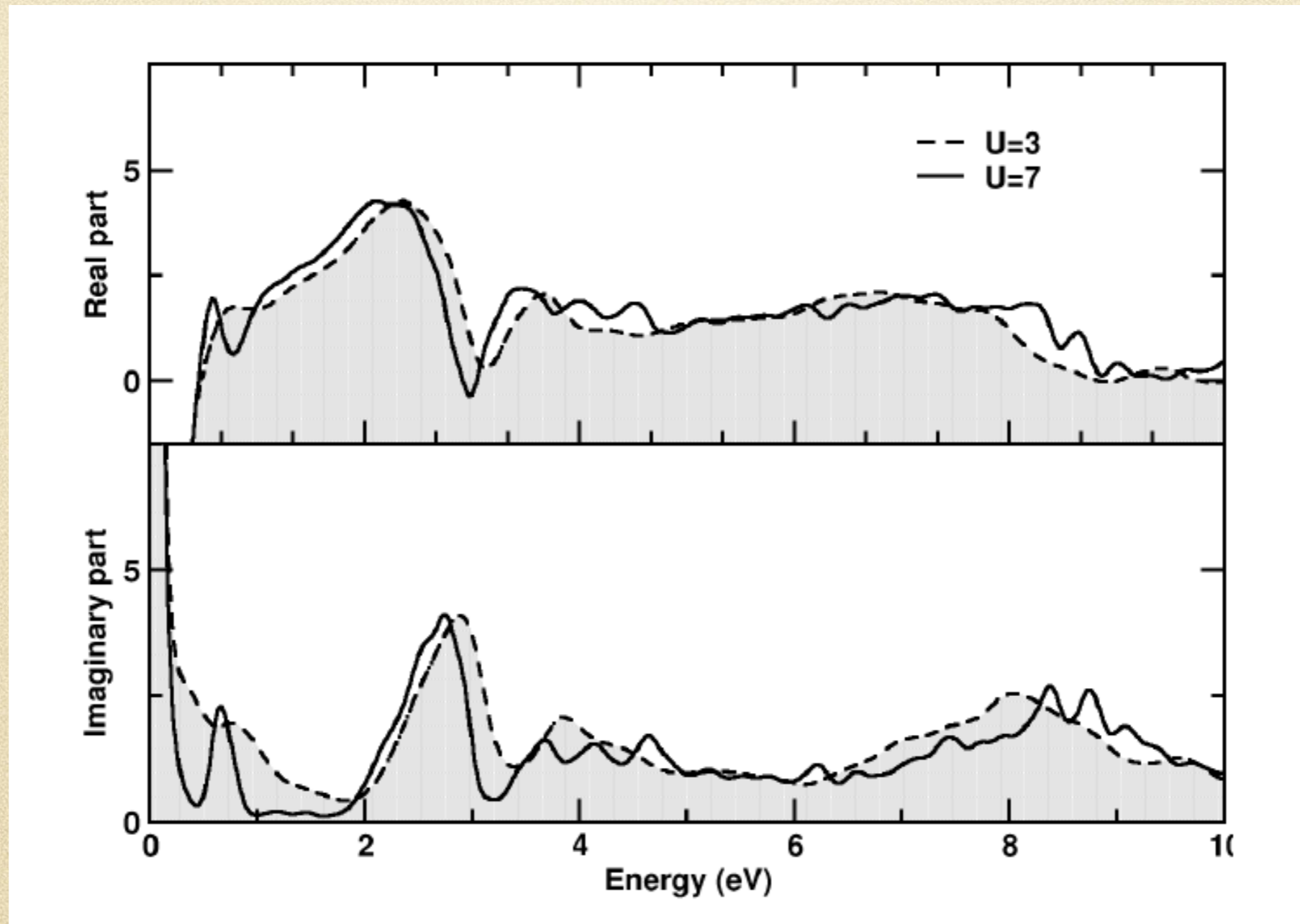


Voltage/Capacity

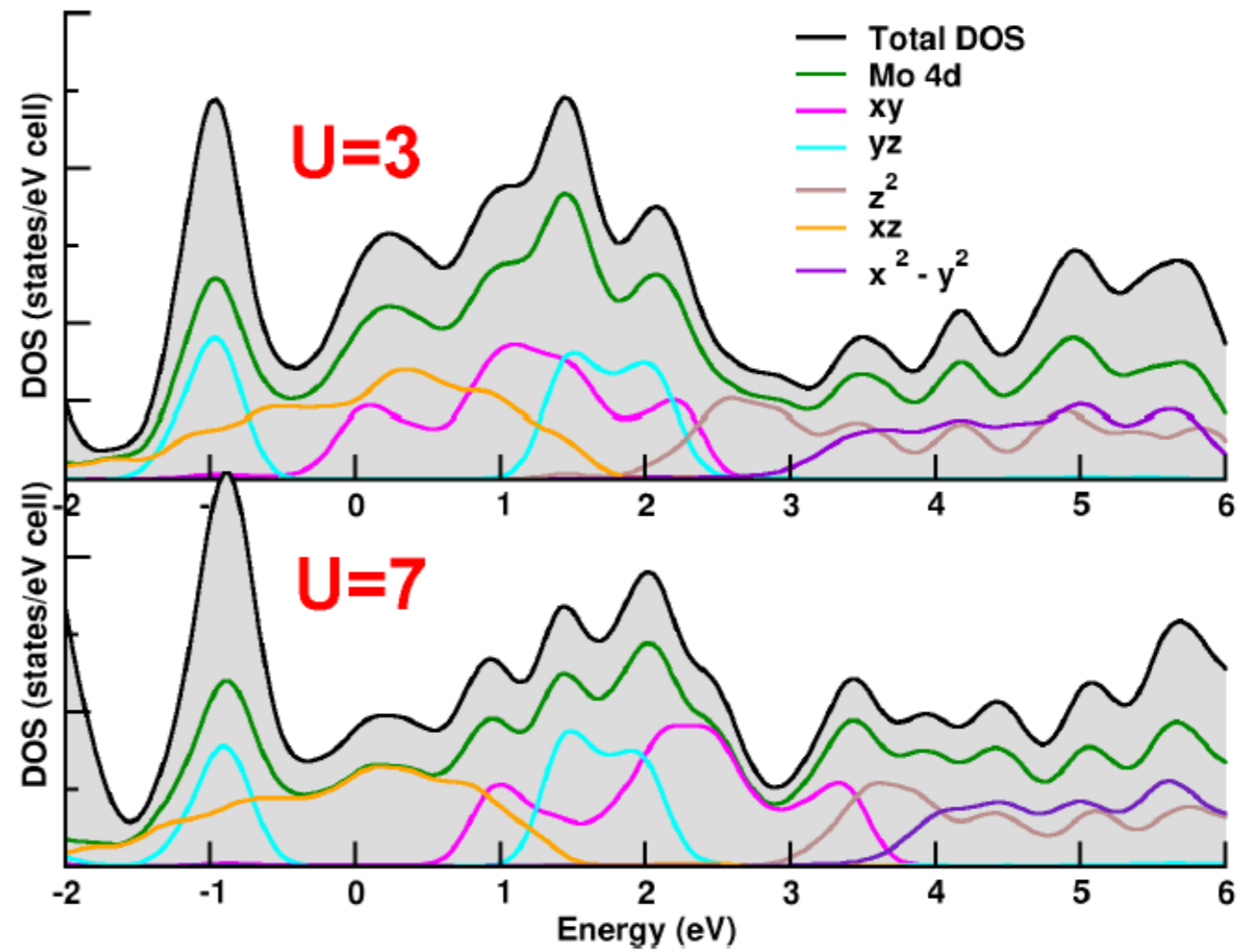
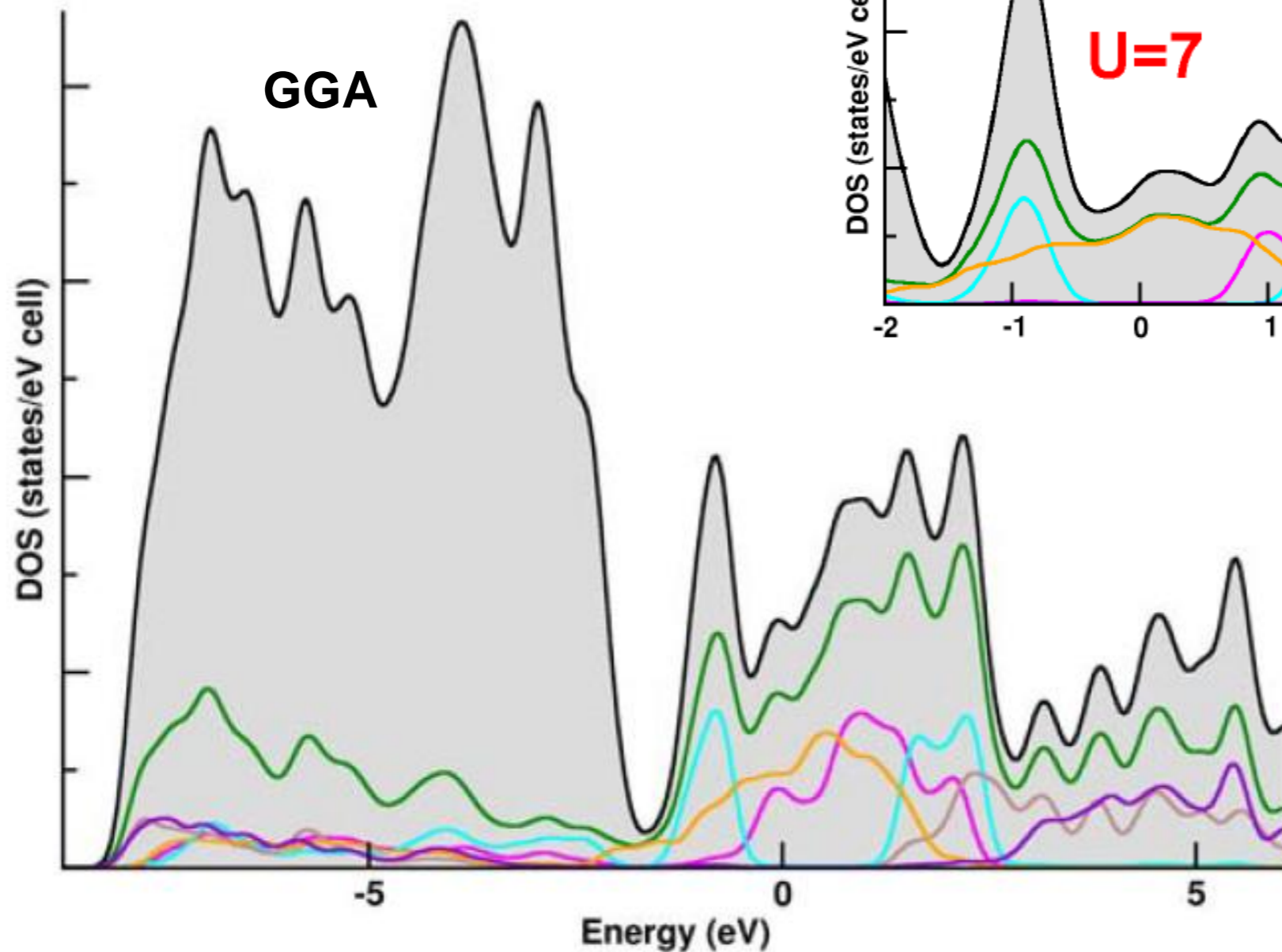


Dielectric Function ϵ

Li_2MoO_3

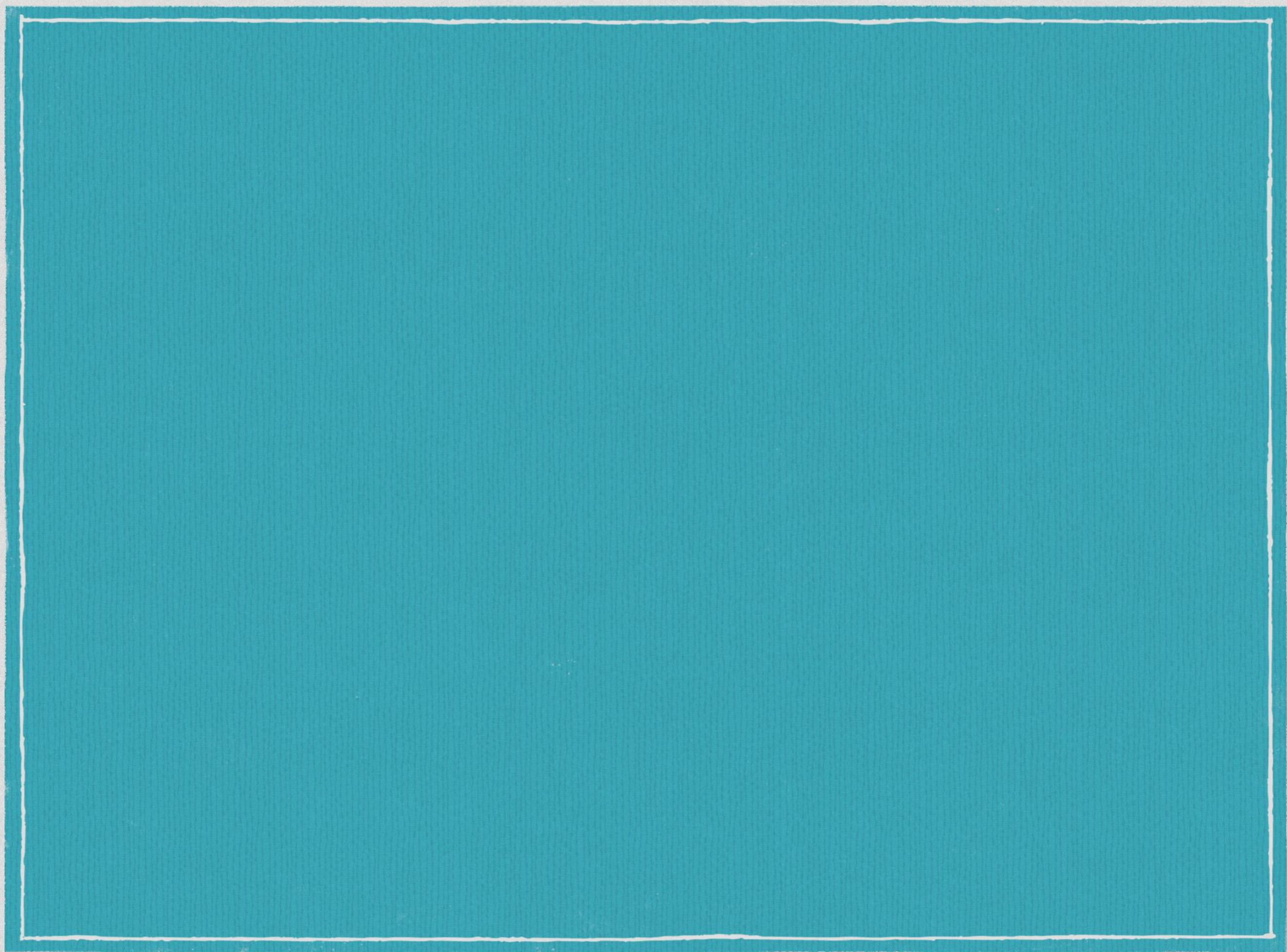


PDOS (4d)



Comments

- Total dielectric function is characterized by peaks in the relevant EAM region, consistent with experiments.
- Peaks originate from intraband transitions within Mo 4d.
- Two electron transfer stabilizes the bonding-nonbonding gap between electronic states.
- This induces the stable voltage peak seen in galvanostatic experiments.
- Routes of divalent intercalated cations seems plausible.



Determination of Hubbard U

$$\hat{H} = - \sum_{I \neq J, \sigma} t_{IJ} \hat{c}_{I\sigma}^\dagger \hat{c}_{J\sigma} + U \sum_I \hat{n}_{I\uparrow} \hat{n}_{I\downarrow}$$

hopping term

on-site Coulomb interaction

Hubbard Model

I and J are the atomic site indices

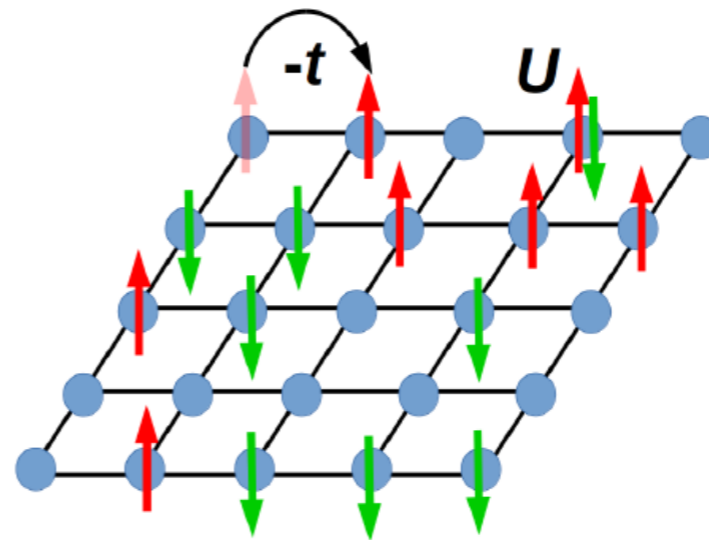
σ is the spin index

$\hat{c}_{I\sigma}^\dagger$ and $\hat{c}_{J\sigma}$ are the creation and annihilation operators

$\hat{n}_{I\sigma} = \hat{c}_{I\sigma}^\dagger \hat{c}_{I\sigma}$ is the particle-number operator

t_{IJ} is the hopping energy between sites I and J

U is the on-site Coulomb repulsion energy

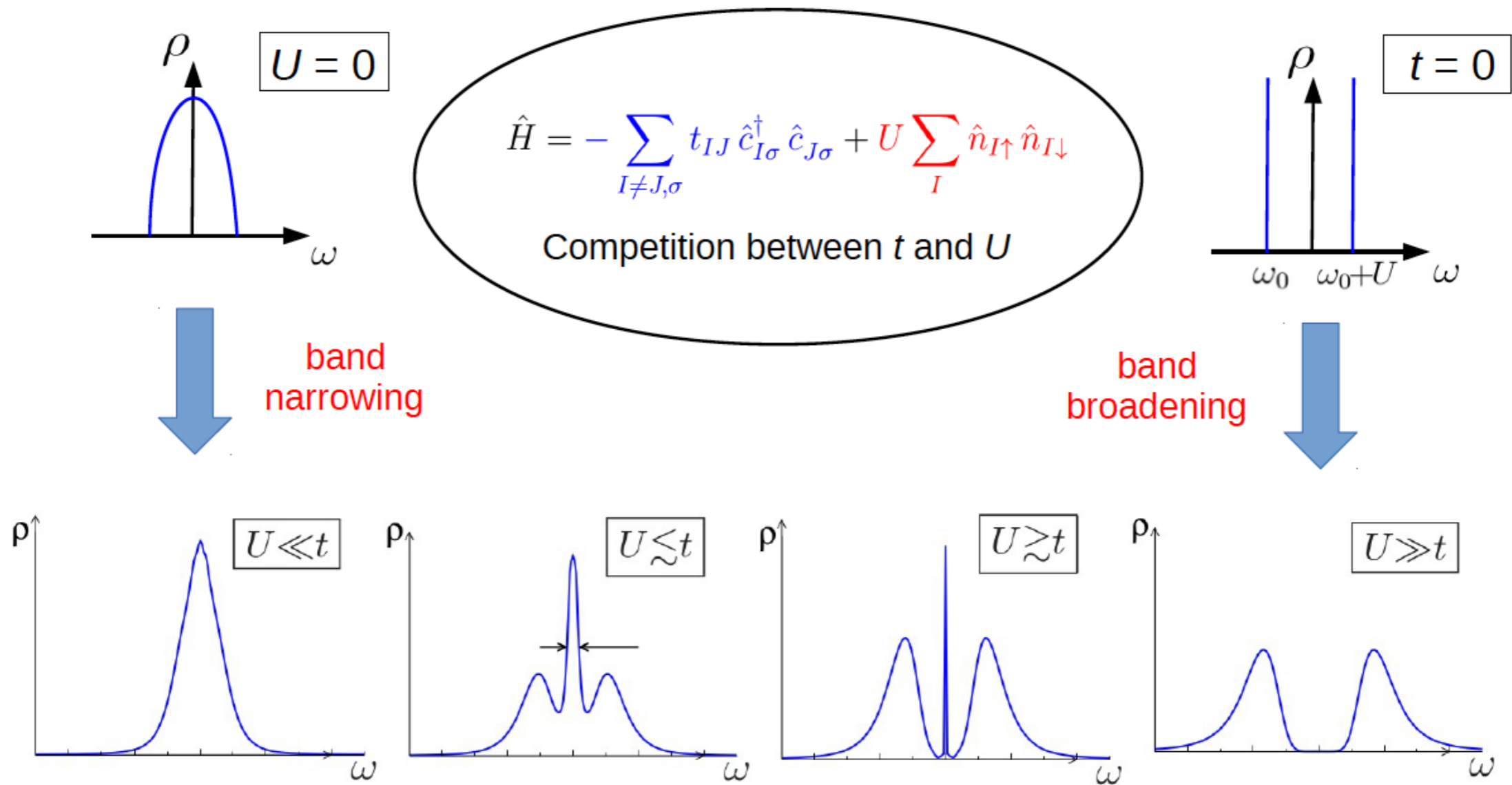


F. Lechermann, "Model Hamiltonians and Basic Techniques" (2011)

[https://www.cond-](https://www.cond-mat.de/events/correl11/manuscripts/lechermann.pdf)

[mat.de/events/correl11/manuscripts/lechermann.pdf](https://www.cond-mat.de/events/correl11/manuscripts/lechermann.pdf)

Hubbard Model



F. Lechermann, "Model Hamiltonians and Basic Techniques" (2011)

<https://www.cond-mat.de/events/correl11/manuscripts/lechermann.pdf>

DFT+U

Original formulation:

V.I. Anisimov, J. Zaanen, O.K. Andersen, Phys. Rev. B **44**, 943 (1991)

Following papers:

V.I. Anisimov, I.V. Solovyev, M.A. Korotin, M.T. Czyzyk, G.A. Sawatzky, Phys. Rev. B **48**, 16929 (1993)

I.V. Solovyev, P.H. Dederichs, V.I. Anisimov, Phys. Rev. B **50**, 16861 (1994)

A.I. Liechtenstein, V.I. Anisimov, J. Zaanen, Phys. Rev. B **52**, R5467 (1995)

V.I. Anisimov, F. Aryasetiawan, A.I. Liechtenstein, J. Phys.: Condens. Matter **9**, 767 (1997)

S.L. Dudarev, G.A. Botton, S.Y. Savrasov, C.J. Humphreys, A.P. Sutton, Phys. Rev. B **57**, 1505 (1998)

W.E. Pickett, S.C. Erwin, E.C. Ethridge, Phys. Rev. B **58**, 1201 (1998)

LAD+U Concept

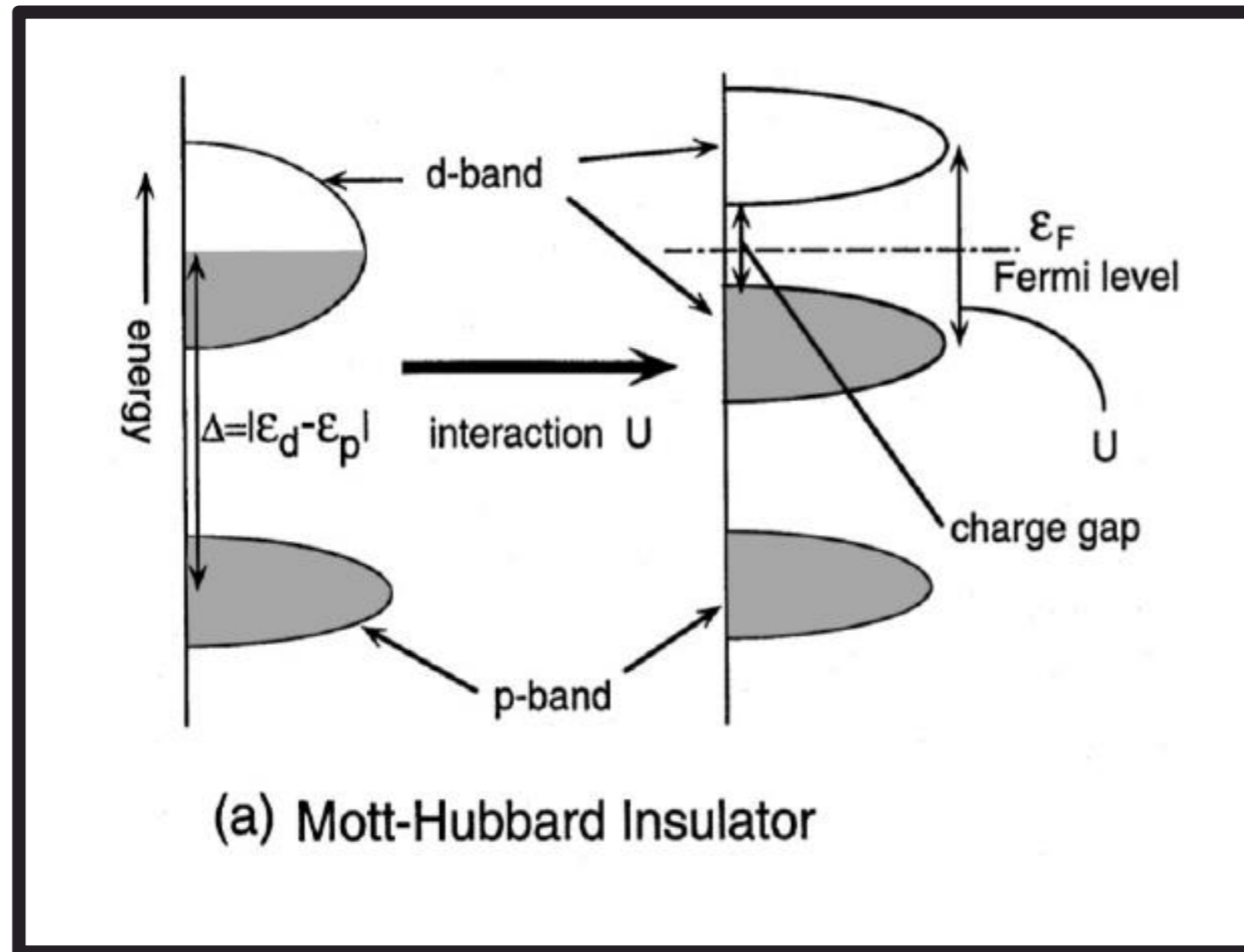
The diagram illustrates the LAD+U concept through the following equation and annotations:

$$E_{\text{DFT}+U}[\rho^\sigma(\mathbf{r}), \{n_{Im\sigma}\}] = E_{\text{DFT}}[\rho^\sigma(\mathbf{r})] + \underbrace{E_{\text{Hub}}[\{n_{Im\sigma}\}] - E_{\text{dc}}[\{n_{Im\sigma}\}]}_{E_U[\{n_{Im\sigma}\}]}$$

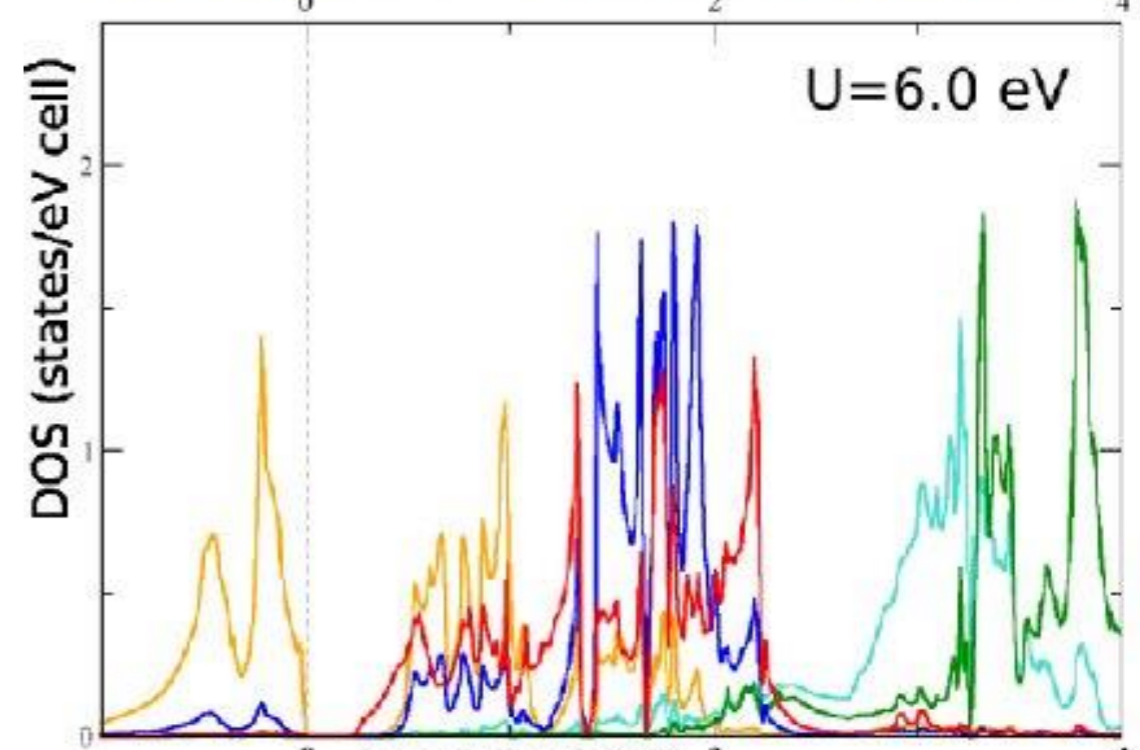
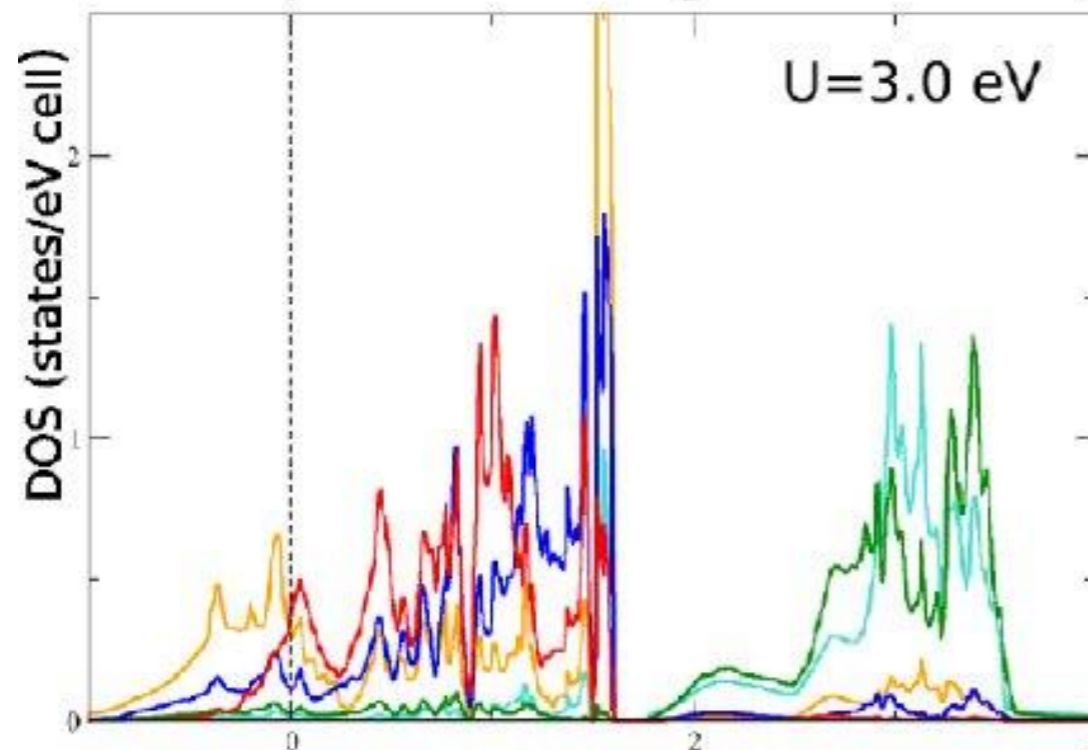
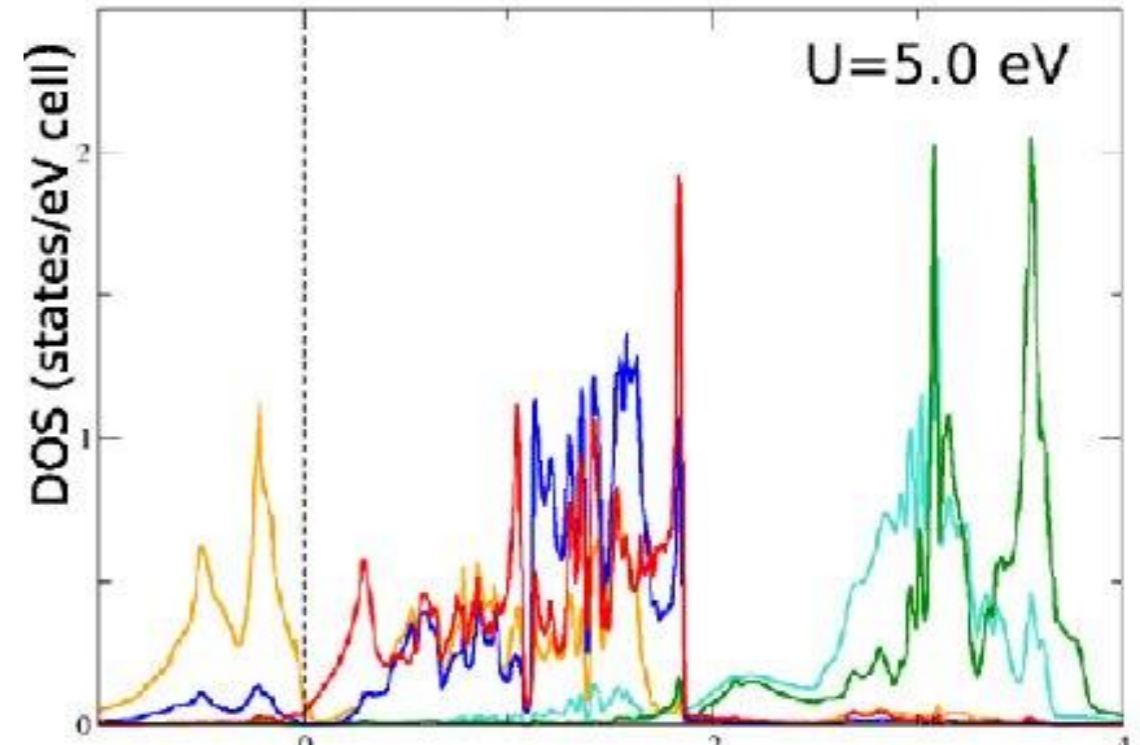
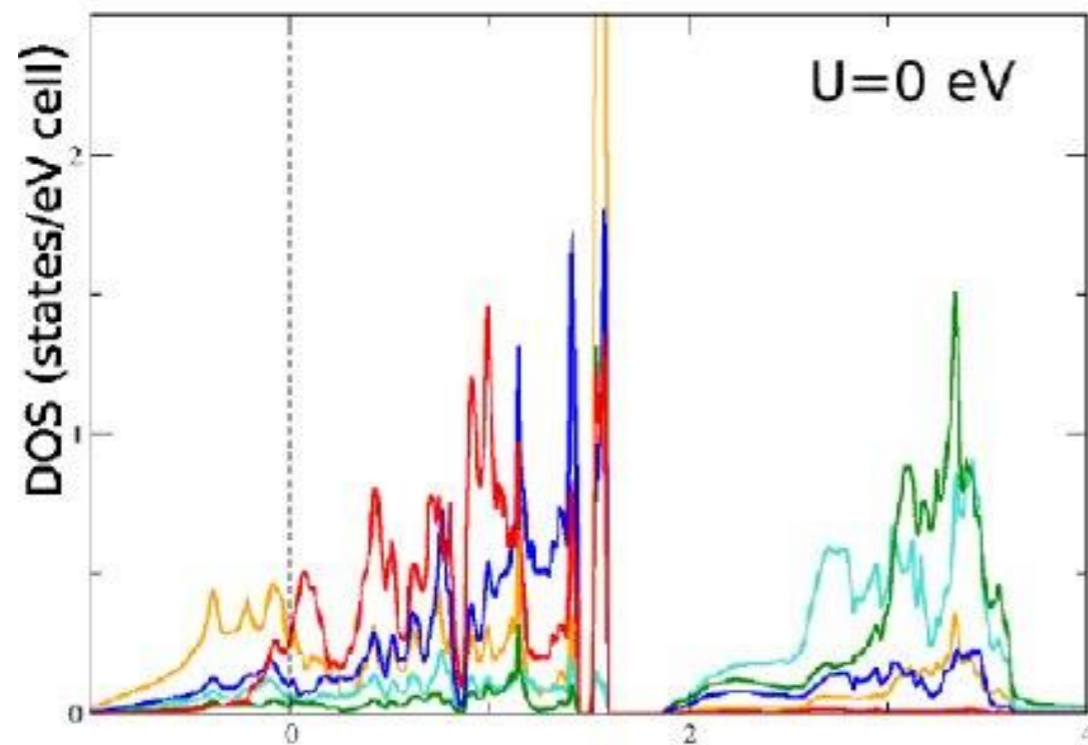
Annotations:

- DFT+U total energy:** Points to the left-hand side of the equation.
- DFT total energy:** Points to the $E_{\text{DFT}}[\rho^\sigma(\mathbf{r})]$ term.
- Hubbard-like term:** Points to the $E_{\text{Hub}}[\{n_{Im\sigma}\}]$ term.
- double-counting term:** Points to the $E_{\text{dc}}[\{n_{Im\sigma}\}]$ term.
- The resulting Hubbard correction:** Points to the bracketed term $E_U[\{n_{Im\sigma}\}]$.
- spin-charge density:** Points to $\rho^\sigma(\mathbf{r})$.
- occupation numbers:** Points to $\{n_{Im\sigma}\}$.

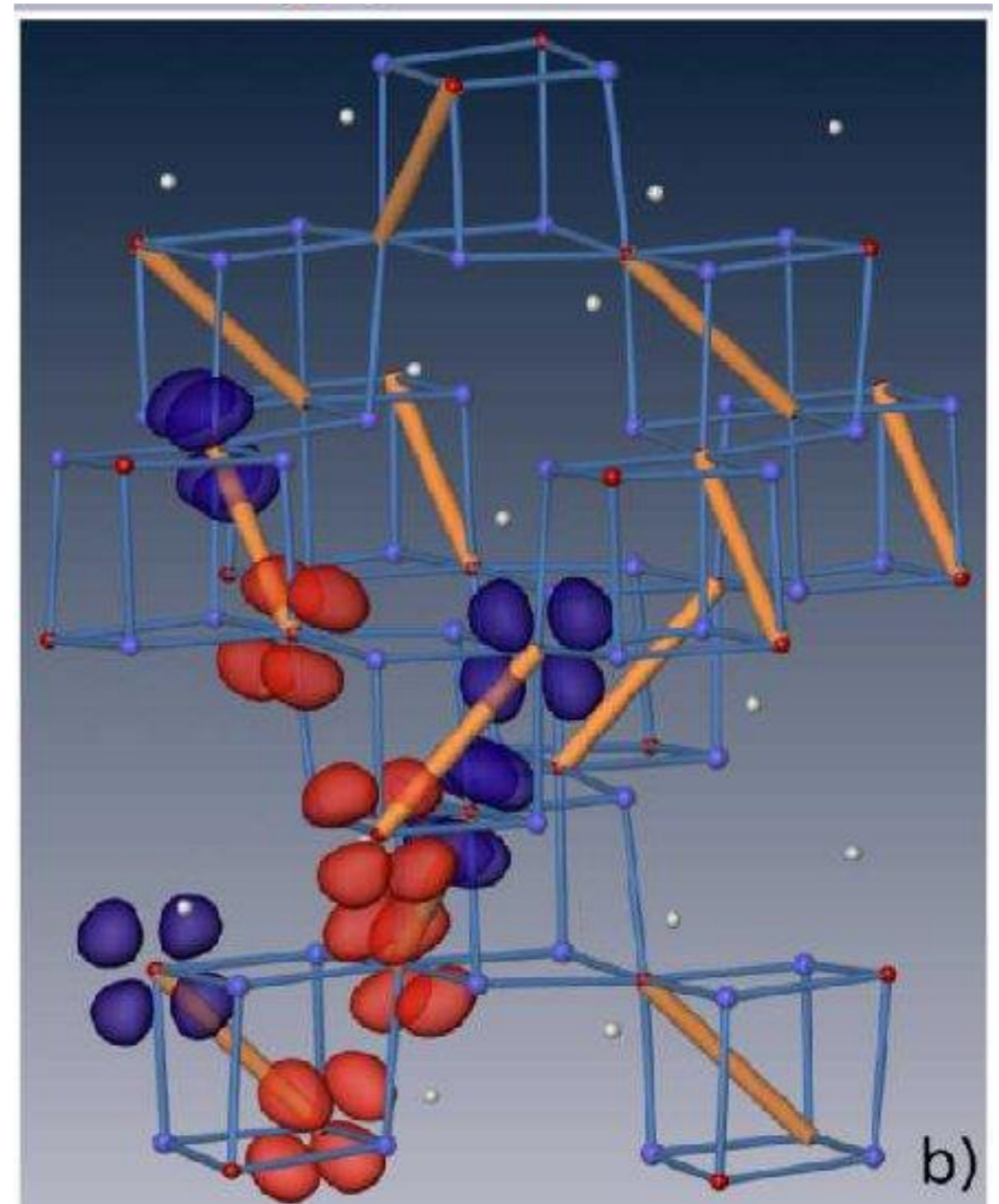
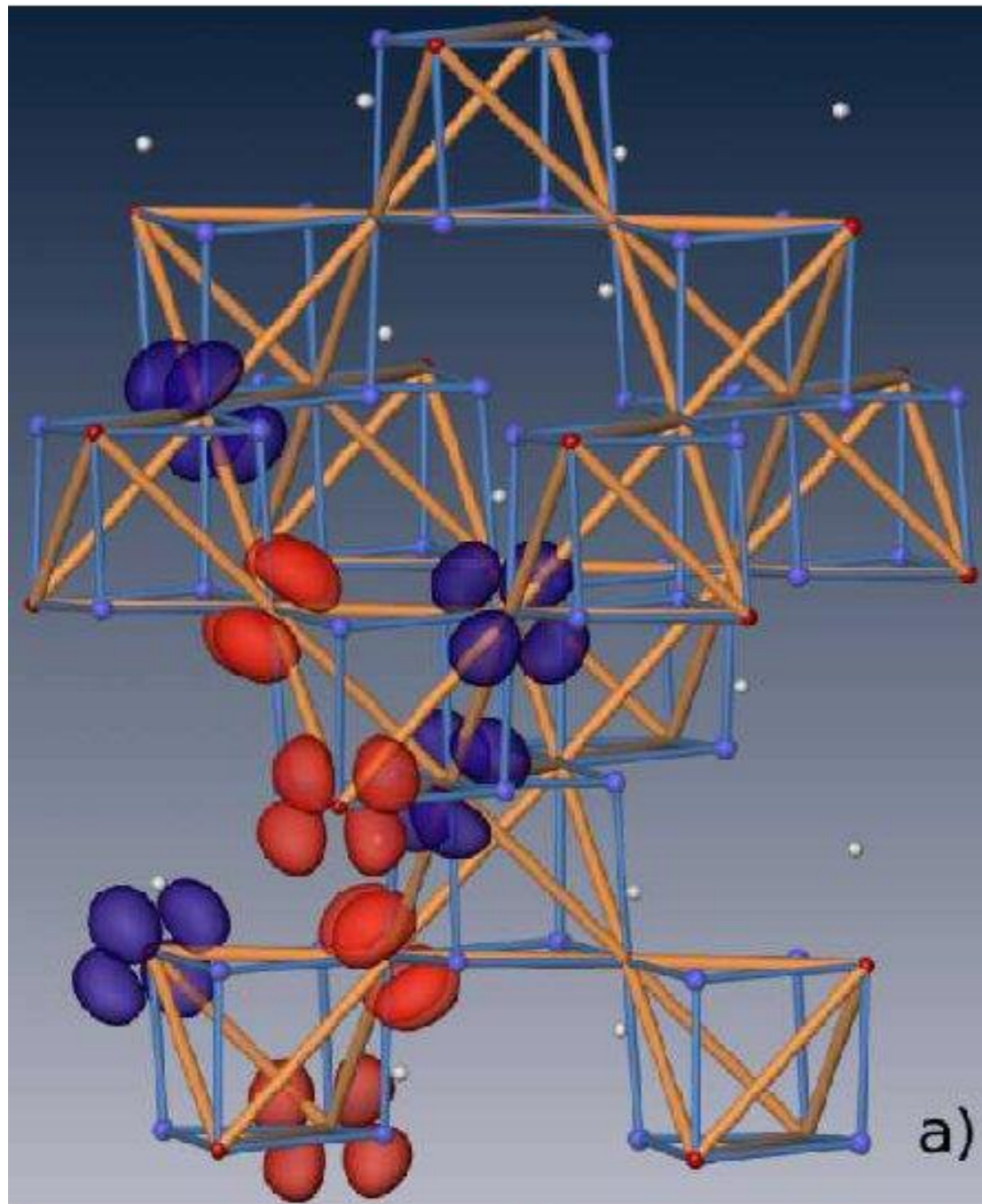
Consequences



Examples



Orbital Order



https://www.researchgate.net/publication/243439050_Orbital-spin_order_and_the_origin_of_structural_distortion_in_MgTi2O4/figures?lo=1

“ab initio” approaches - 1

Linear-response calculation of U using cDFT

The Kohn-Sham equations with a perturbing atomic potential:

$$\left[-\frac{1}{2}\nabla^2 + \hat{V}_{\text{KS}}^\sigma + \hat{V}_U^\sigma + \alpha_J \sum_m |\varphi_m^J\rangle \langle \varphi_m^J| \right] \psi_{v\mathbf{k}}^\sigma(\mathbf{r}) = \varepsilon_{v\mathbf{k}}^\sigma \psi_{v\mathbf{k}}^\sigma(\mathbf{r})$$



Evaluate the change in occupation matrices: $\Delta n_{mm'}^{I\sigma}$



Compute the interacting and non-interacting response matrices:

$$\chi_{IJ} = \sum_{m,\sigma} \frac{\Delta n_{mm}^{I\sigma}}{\Delta \alpha_J}, \quad \chi_{IJ}^0 = \sum_{m,\sigma} \frac{\Delta n_{mm}^{0I\sigma}}{\Delta \alpha_J}$$

- supercells
- finite differences

On-site Hubbard U_{eff} parameters (diagonal matrix elements):

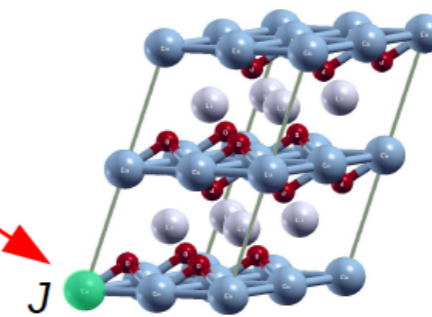
$$U_{\text{eff}}^I = \left((\chi^0)^{-1} - \chi^{-1} \right)_{II}$$

α_J is the amplitude of the perturbation of the J -th atom

All derivatives are computed using **finite differences**.

M. Cococcioni and S. de Gironcoli, Phys. Rev. B 71, 035105 (2005).

Note: \hat{V}_U^σ is fixed!



“ab initio” approaches - 2

DFPT for U_{eff} : summary

Solve (self-consistently, iteratively) the linear-response Kohn-Sham equations for every \mathbf{q} :

$$\left[-\frac{1}{2} [\nabla + i(\mathbf{k} + \mathbf{q})]^2 + \hat{V}_{\text{KS}, \mathbf{k}+\mathbf{q}}^{\sigma\circ} + \hat{V}_{U, \mathbf{k}+\mathbf{q}}^{\sigma\circ} - \varepsilon_{v\mathbf{k}}^{\sigma\circ} \right] \Delta_{\mathbf{q}}^s u_{v\mathbf{k}}^{\sigma}(\mathbf{r}) = -\hat{\mathcal{P}}_{\mathbf{k}+\mathbf{q}}^{\sigma} \left[\Delta_{\mathbf{q}}^s V_{\text{Hxc}}^{\sigma} + \sum_m |\phi_{m\mathbf{k}+\mathbf{q}}^s\rangle \langle \phi_{m\mathbf{k}}^s| \right] u_{v\mathbf{k}}^{\sigma\circ}(\mathbf{r})$$



Compute linear-response occupation matrices:

$$\Delta_{\mathbf{q}}^{s'} n_{mm'}^{s\sigma} = \frac{1}{N} \sum_{v, \mathbf{k}} \left[\langle u_{v\mathbf{k}}^{\sigma\circ} | \phi_{m'\mathbf{k}}^s \rangle \langle \phi_{m\mathbf{k}+\mathbf{q}}^s | \Delta_{\mathbf{q}}^{s'} u_{v\mathbf{k}}^{\sigma} \rangle + \langle u_{v\mathbf{k}}^{\sigma\circ} | \phi_{m\mathbf{k}}^s \rangle \langle \phi_{m'\mathbf{k}+\mathbf{q}}^s | \Delta_{\mathbf{q}}^{s'} u_{v\mathbf{k}}^{\sigma} \rangle \right]$$



Sum up over \mathbf{q} and compute the response matrices:

$$\chi_{IJ} = \sum_{m, \sigma} \frac{dn_{mm}^{I\sigma}}{d\alpha_J}, \quad \chi_{IJ}^0 = \sum_{m, \sigma} \frac{dn_{mm}^{0I\sigma}}{d\alpha_J}$$



Compute Hubbard U_{eff}^I :

$$U_{\text{eff}}^I = \left((\chi^0)^{-1} - \chi^{-1} \right)_{II}$$

Implemented in

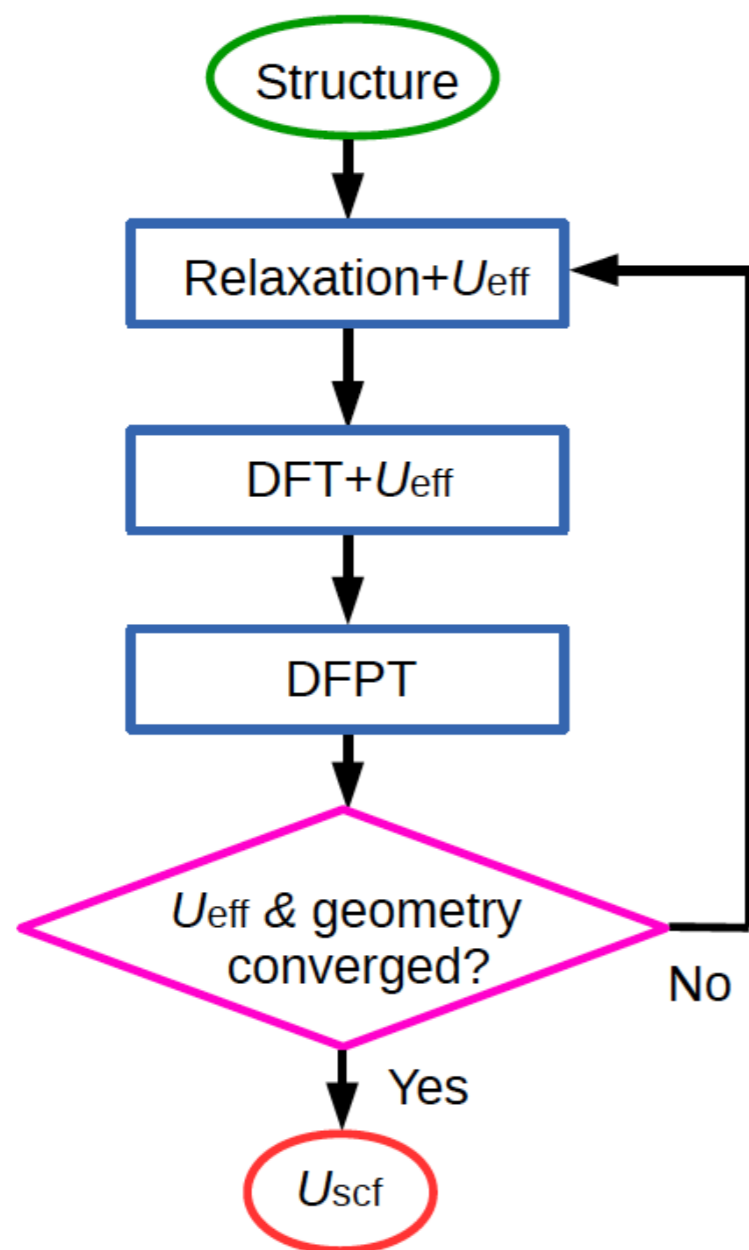


The **HP** code

Approach

Calculation of Hubbard U_{eff}

self-consistent
So far: ~~one-shot~~ calculation of U_{eff}

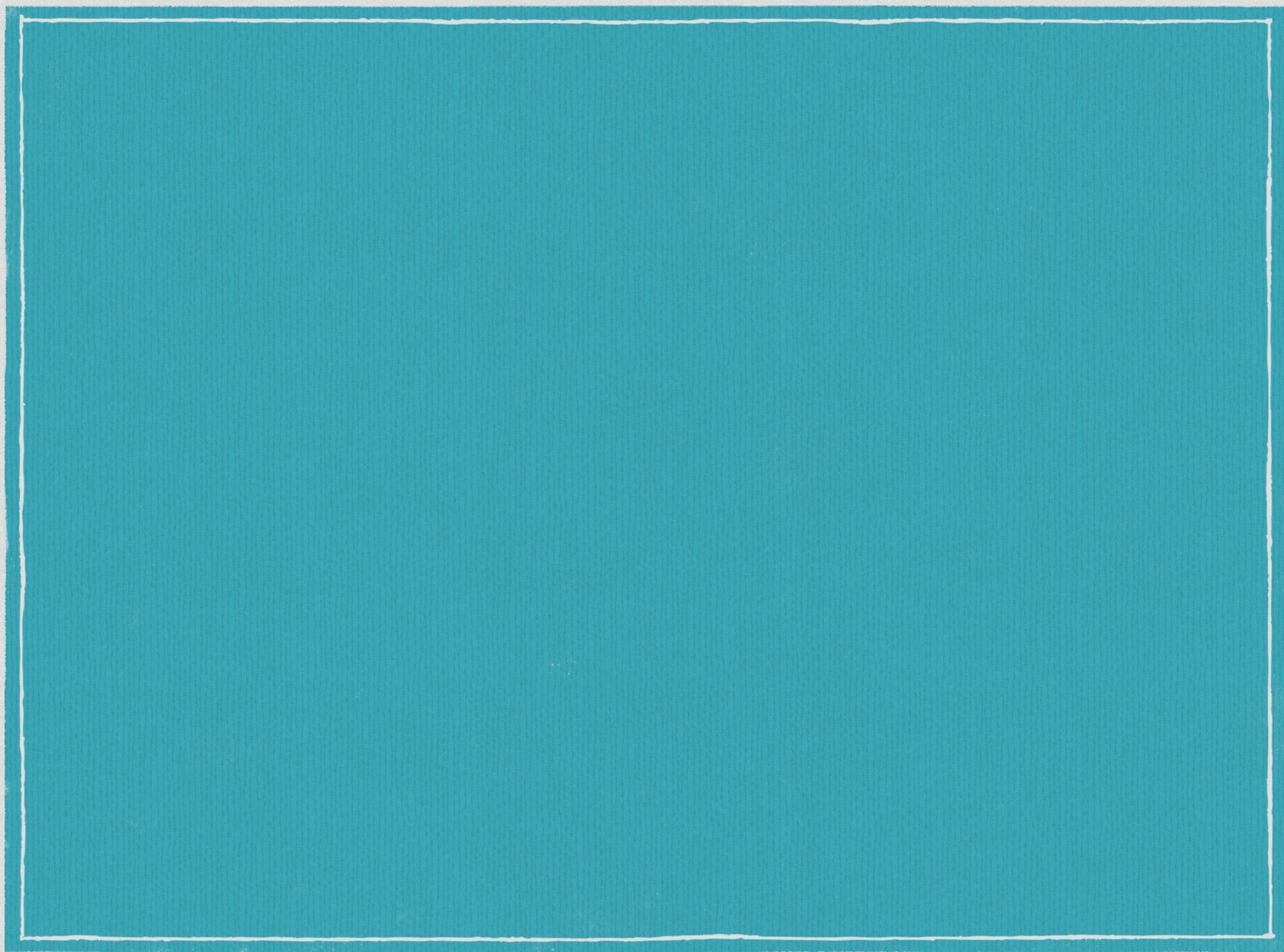


8. Repeat the cycle until convergence

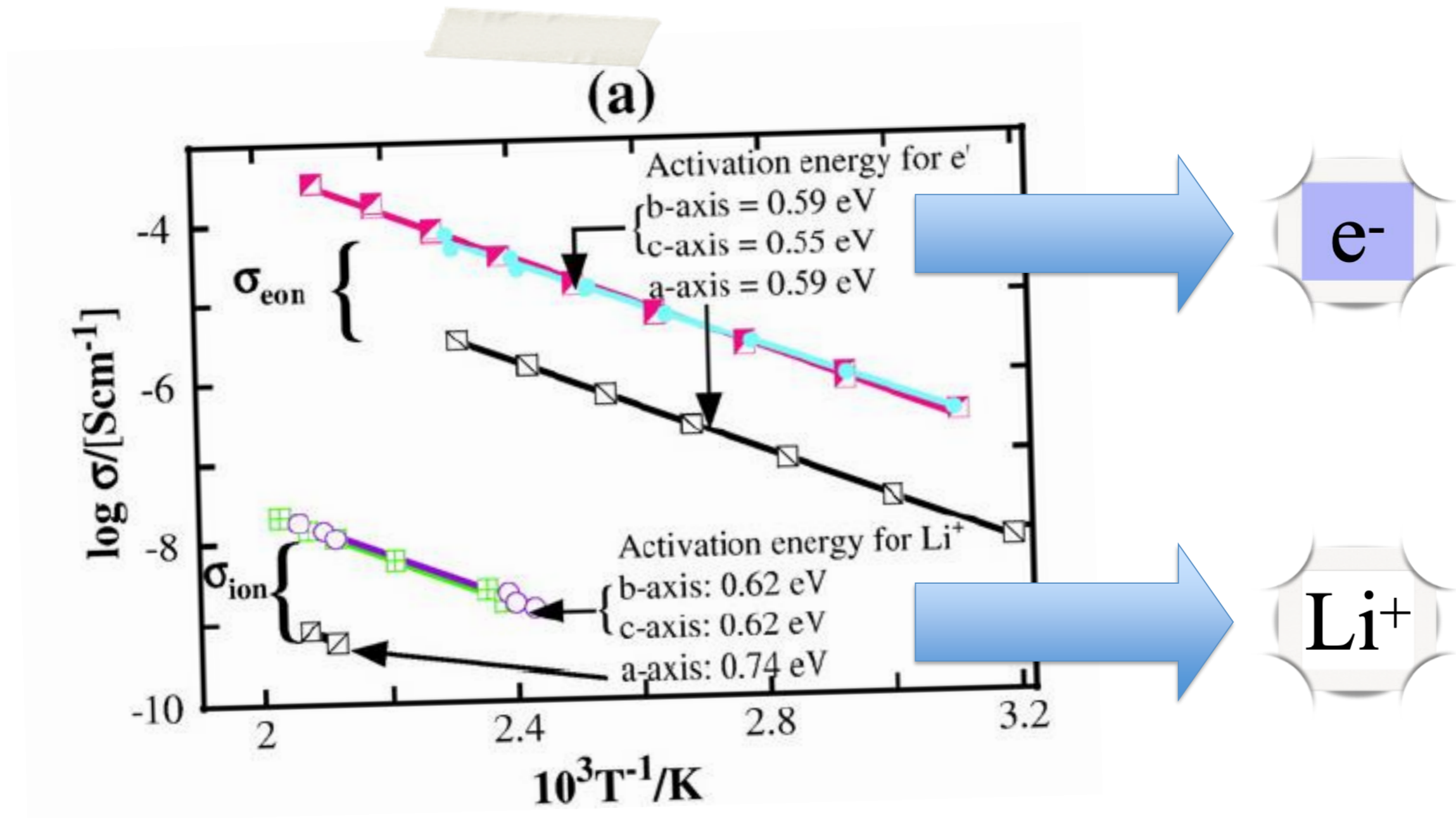
The self-consistent cycle must be continued until the geometry does not change and until U_{eff} does not change with respect to previous iterations with a desired threshold.



H. Hsu et al., Phys. Rev. B **79**, 125124 (2009).
H.J. Kulik and N. Marzari, J. Chem. Phys. **133**, 114103 (2010).
I. Timrov, N. Marzari, and M. Cococcioni, in preparation.



Which directions are relevant ?



LiFePO₄, Mobility Pathways

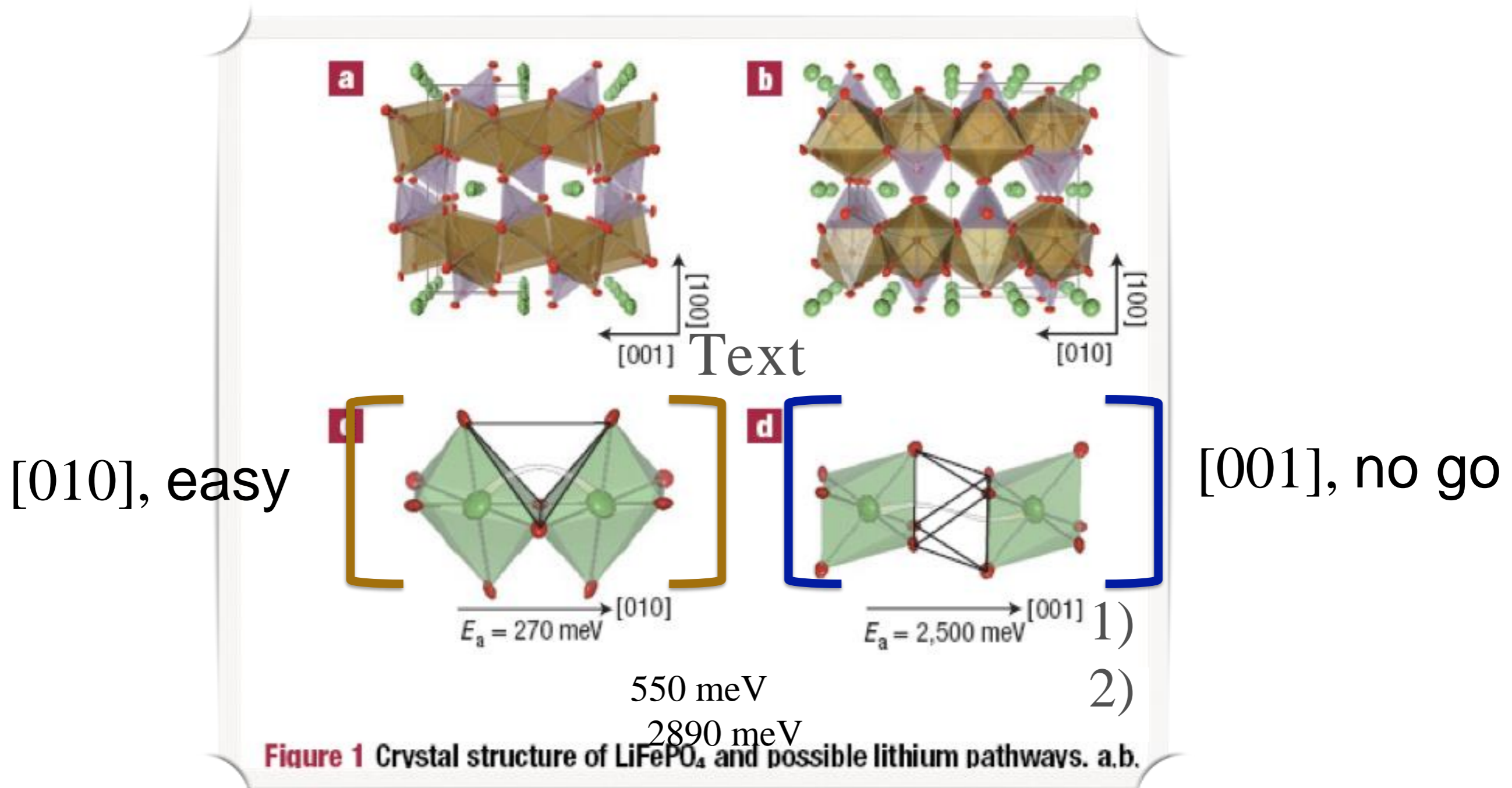
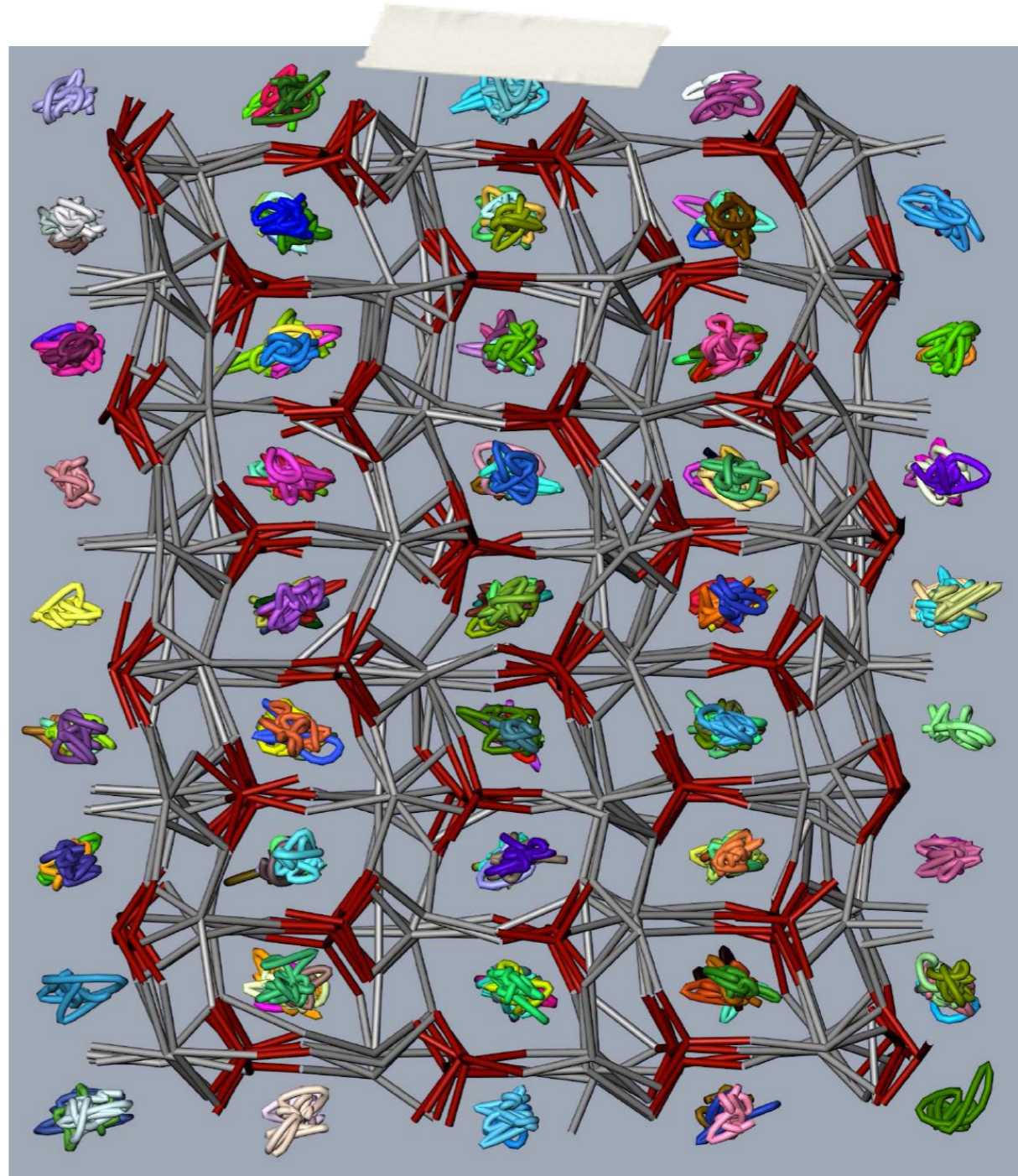


Figure 1 Crystal structure of LiFePO₄ and possible lithium pathways. a,b.

- 1) Yamada *et al.*, Nat. Mater. 7, 707 (2008)
- 2) M. S. Islam *et al.*, Chem. Mater. 17, 5085 (2005)

LiFePO₄ @ 1200 K

- Regular MD
- No jumps into adjacent voids
- Not even along [001]



spline interpolation

How do the Li cations move ?

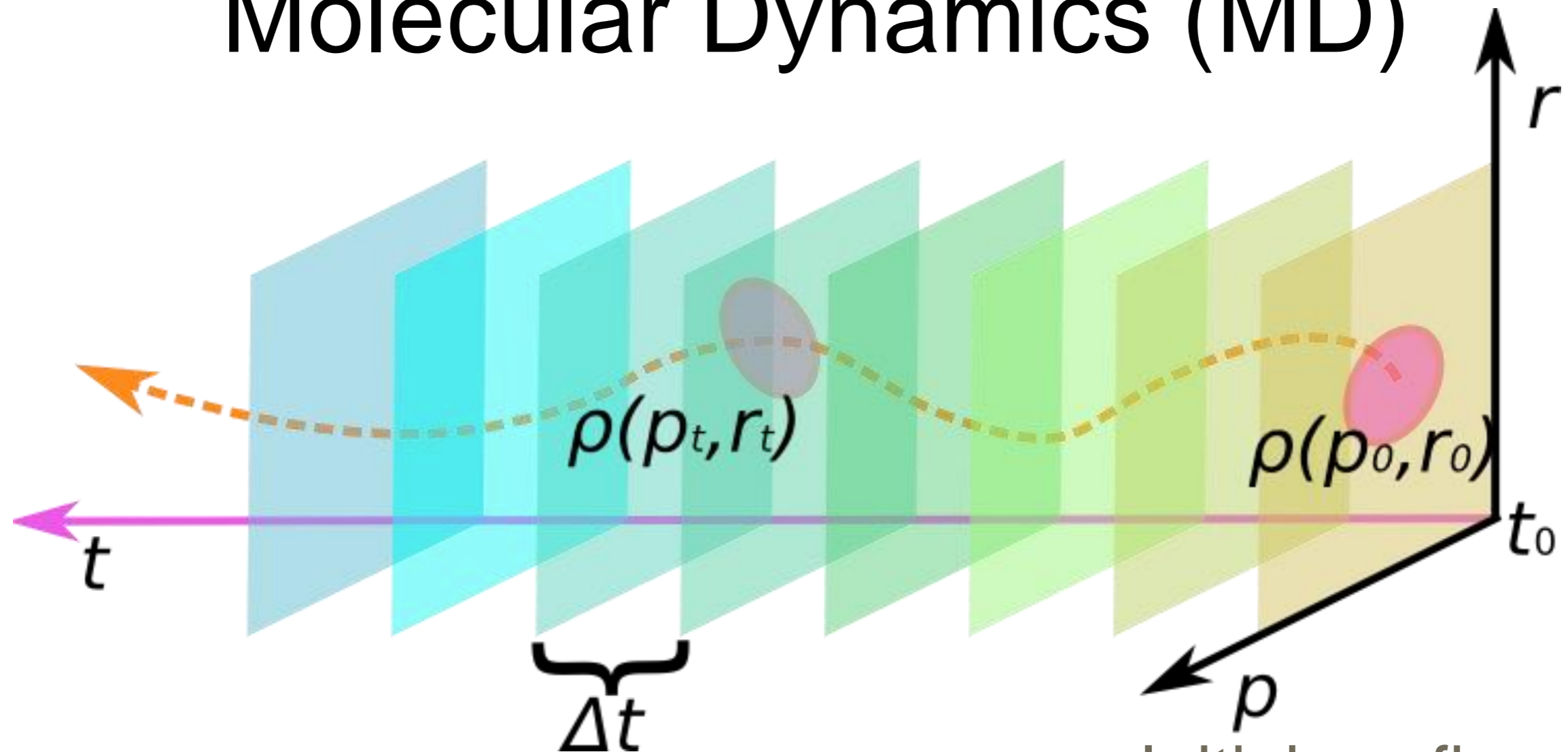
Death Valley, Devil's Golf Course



vent

Frequent events alone do not account for the complex potential energy landscape
Straightforward MD simulations typically incomplete

Time-Evolution of a System Molecular Dynamics (MD)

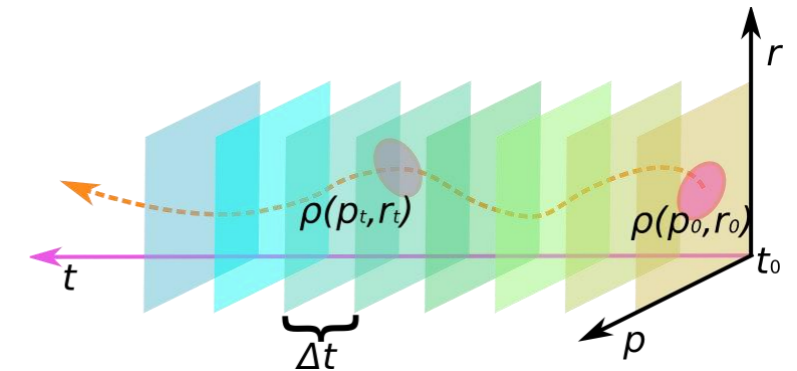
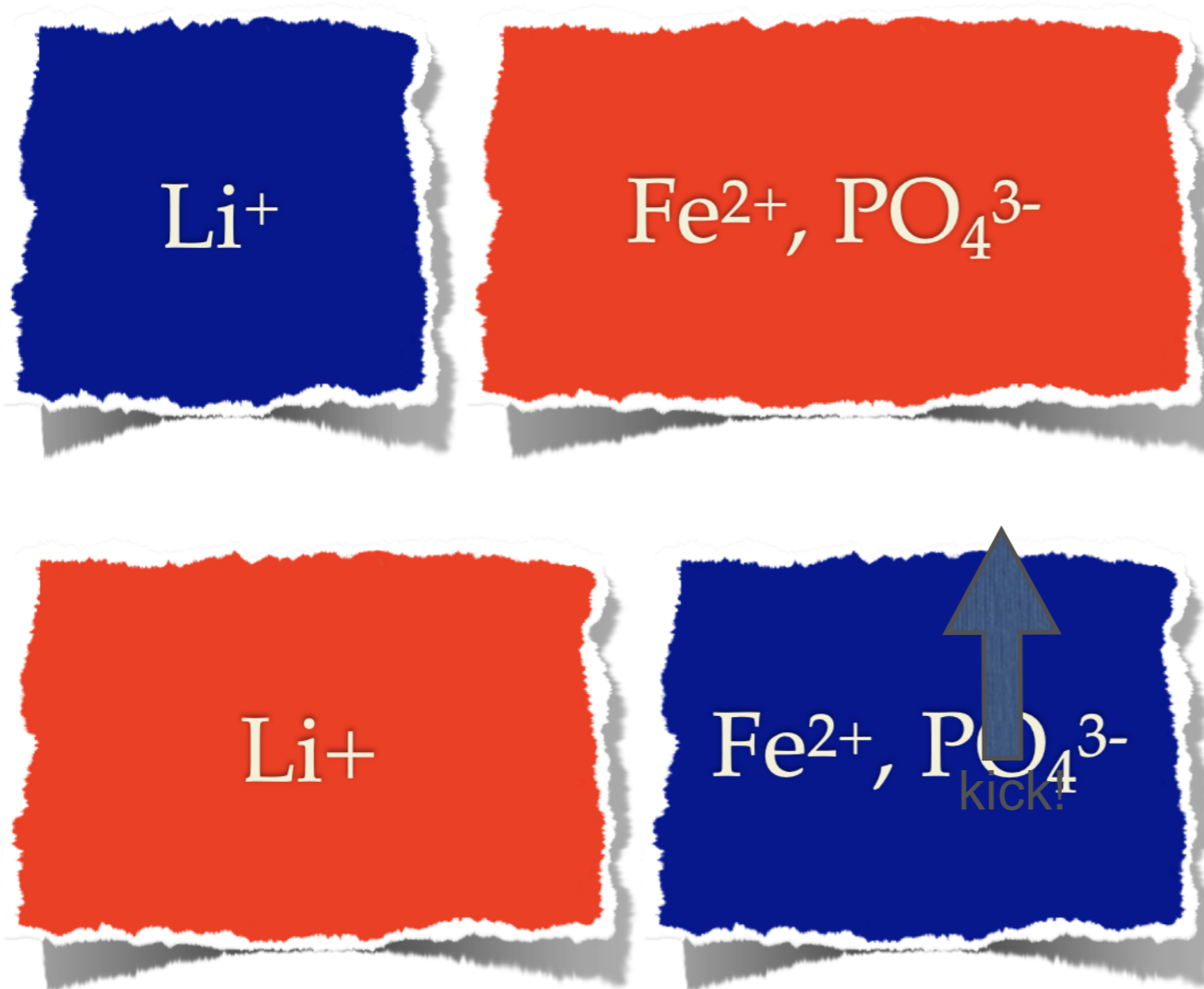


Calculate forces on atoms and
time propagate, iteratively

Initial configuration:
Specify positions and
velocity distribution
at **temperature T** .

„rare“ velocity distributions

Kinetic energy at time t



before

$$T(t) = \text{const}$$

$$\sum m(i) \cdot v(i) = 0$$

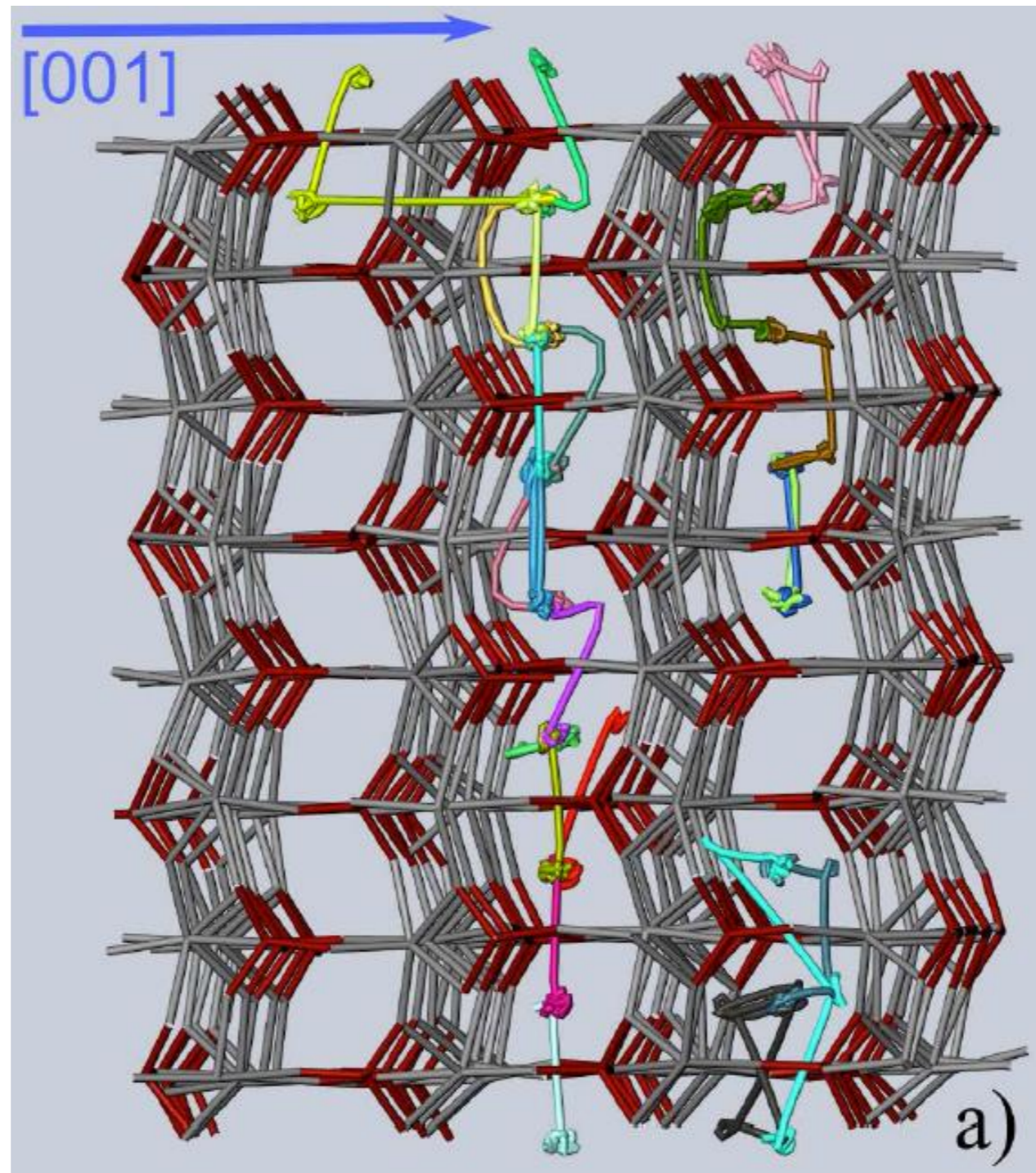
↑
kick!

after

Internal redistribution of kinetic energy, „warming up“ of Li^+ .

2D Diffusion Paths – [010] vs. [001]

500 K



Diffusion

- D is a macroscopic quantity;
- It can be related to (stepwise) microscopic displacements;
- A “time integration” of the Δr can be a way of assessing diffusion in MD simulations.

r

$$\langle Dr(t)^2 \rangle = \frac{1}{N} \sum_{i=1}^N Dr_i(t)^2$$

Mean Squared Displacement

$$D = \frac{1}{6} \frac{MSD}{Dt}$$

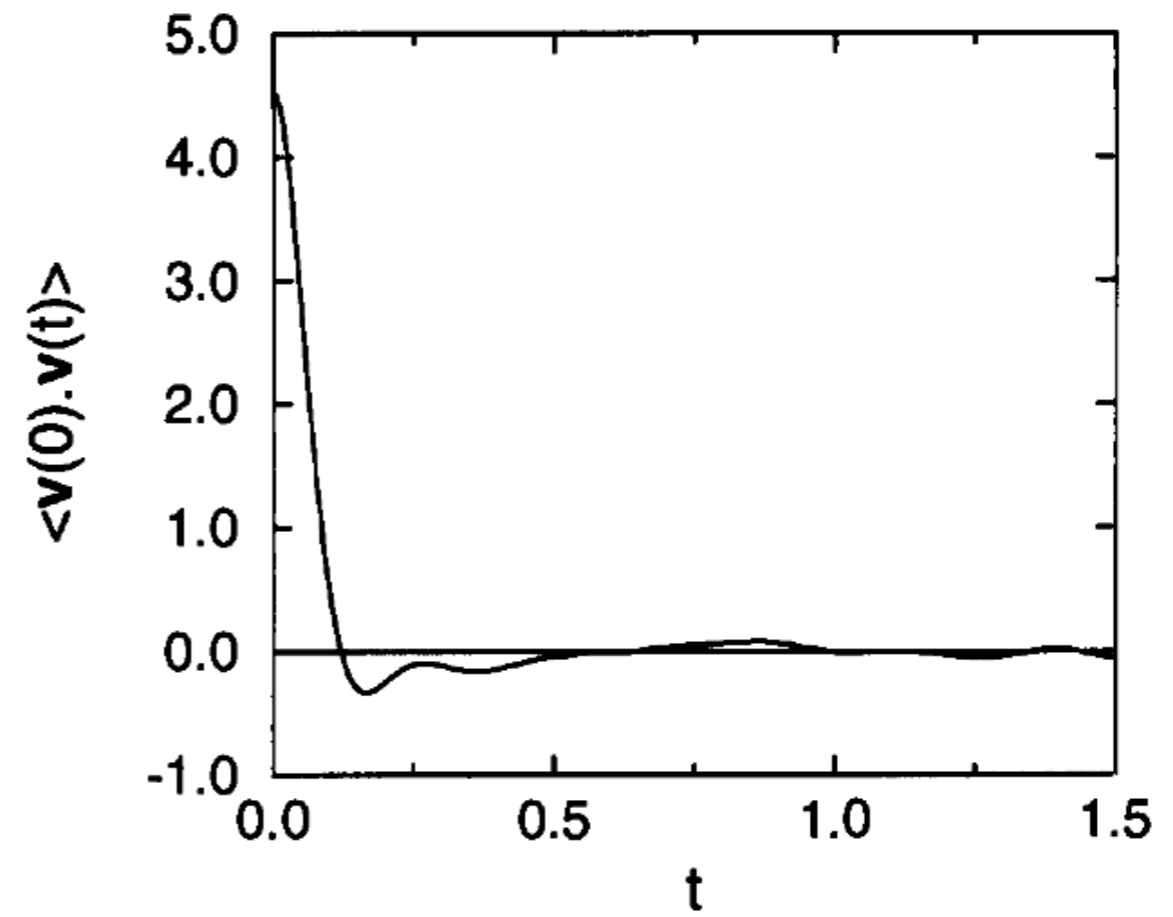
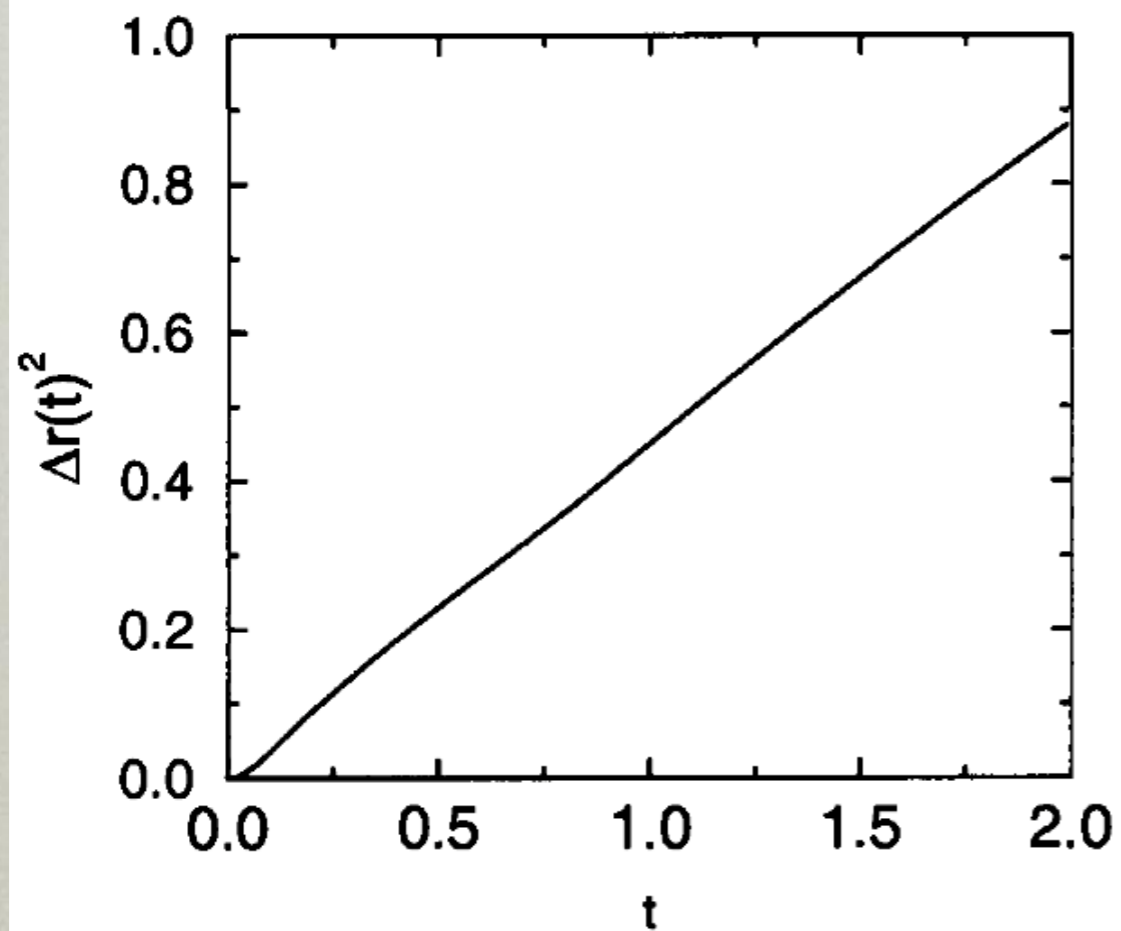
p

$$D = \int_0^{\infty} dt \langle v_x(t) v_x(0) \rangle, \quad t = Dt$$

Total elapsed time

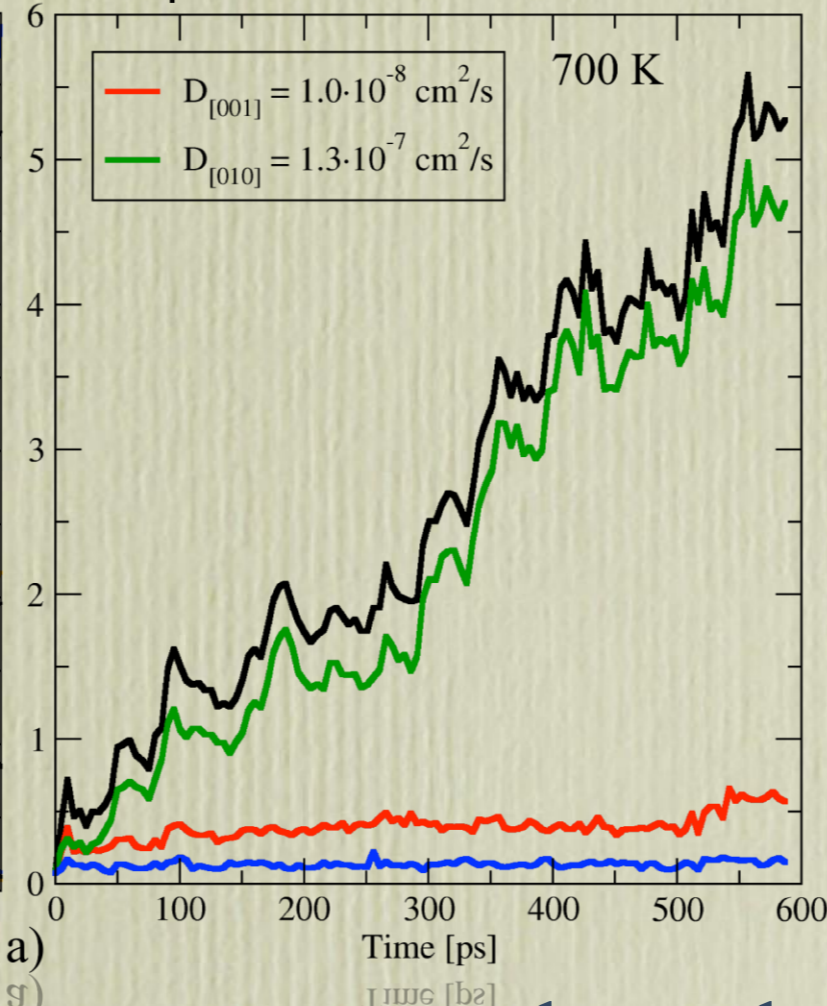
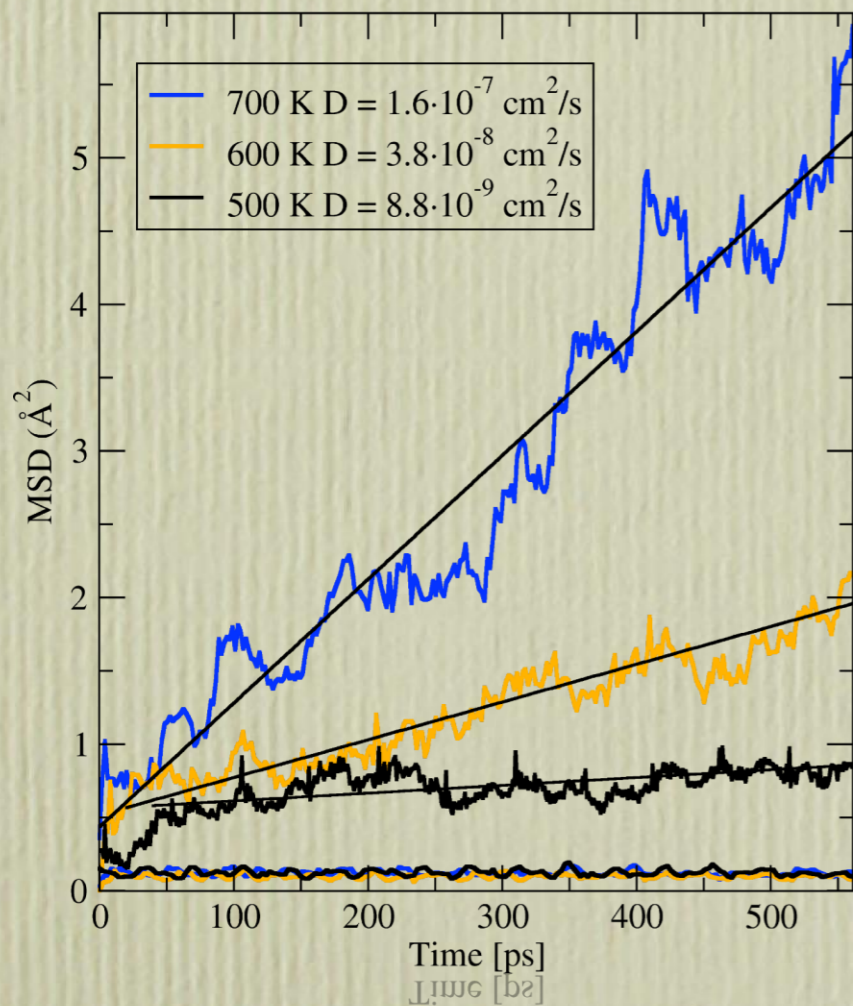
Velocity autocorrelation function

MSD vs VAC

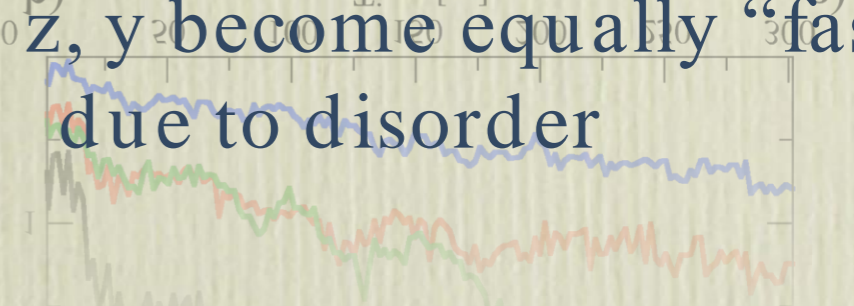
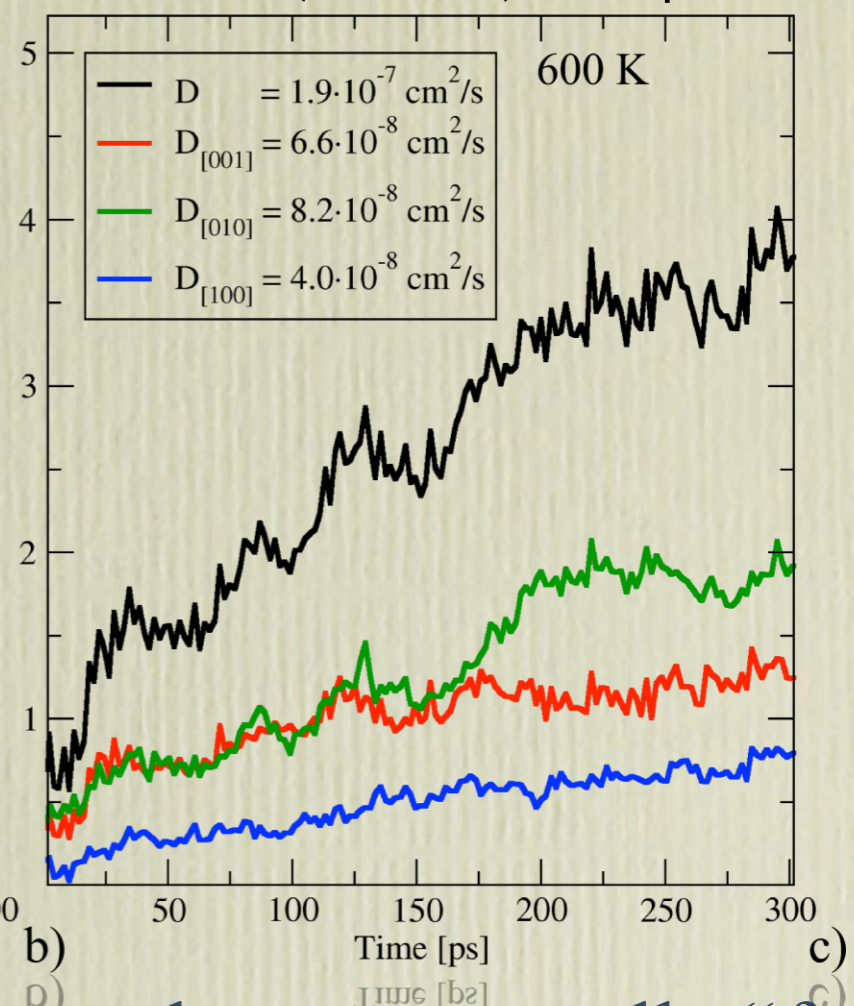


Self-Diffusion Coefficients

LiFePO₄

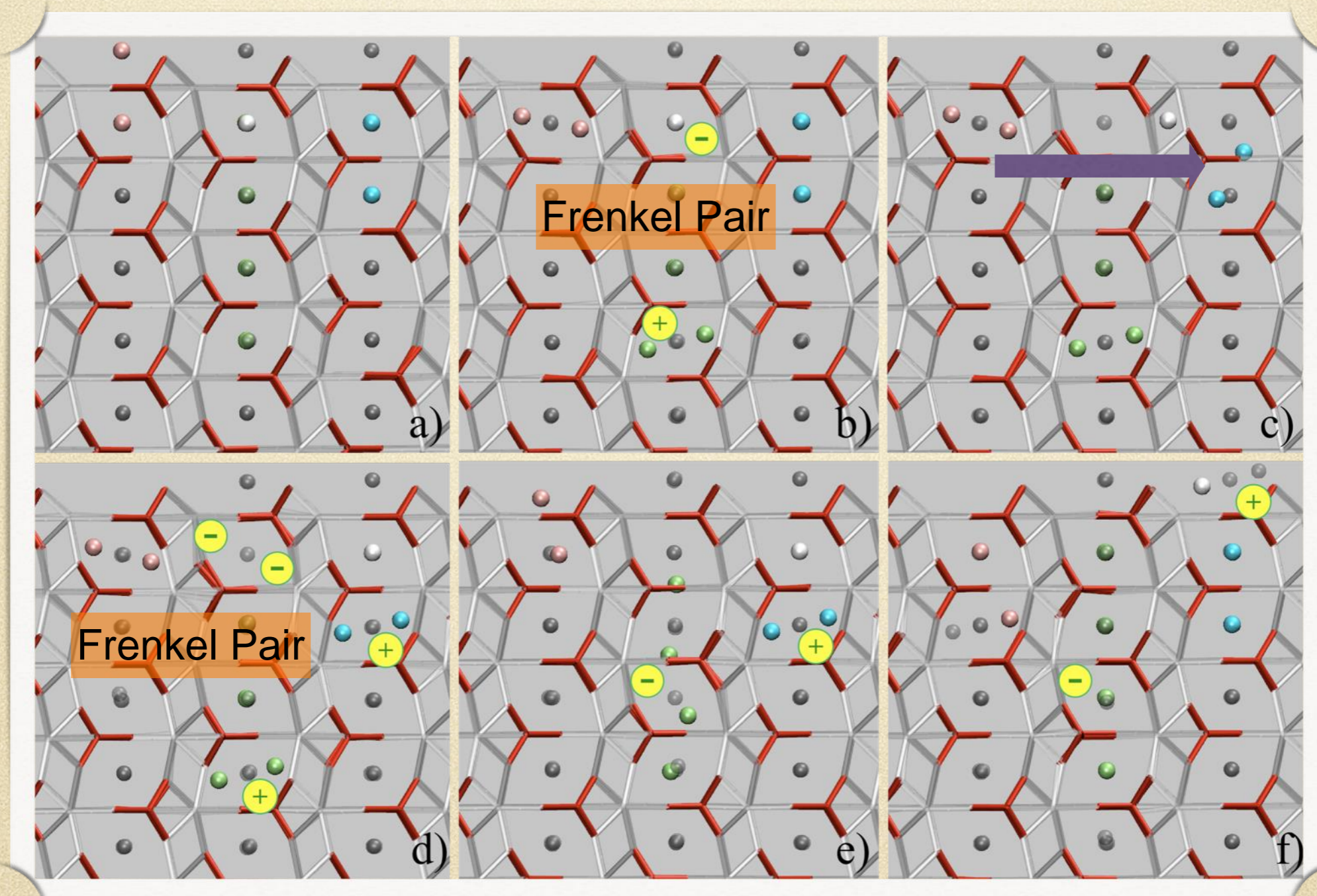


Li(Li,Fe)PO₄,



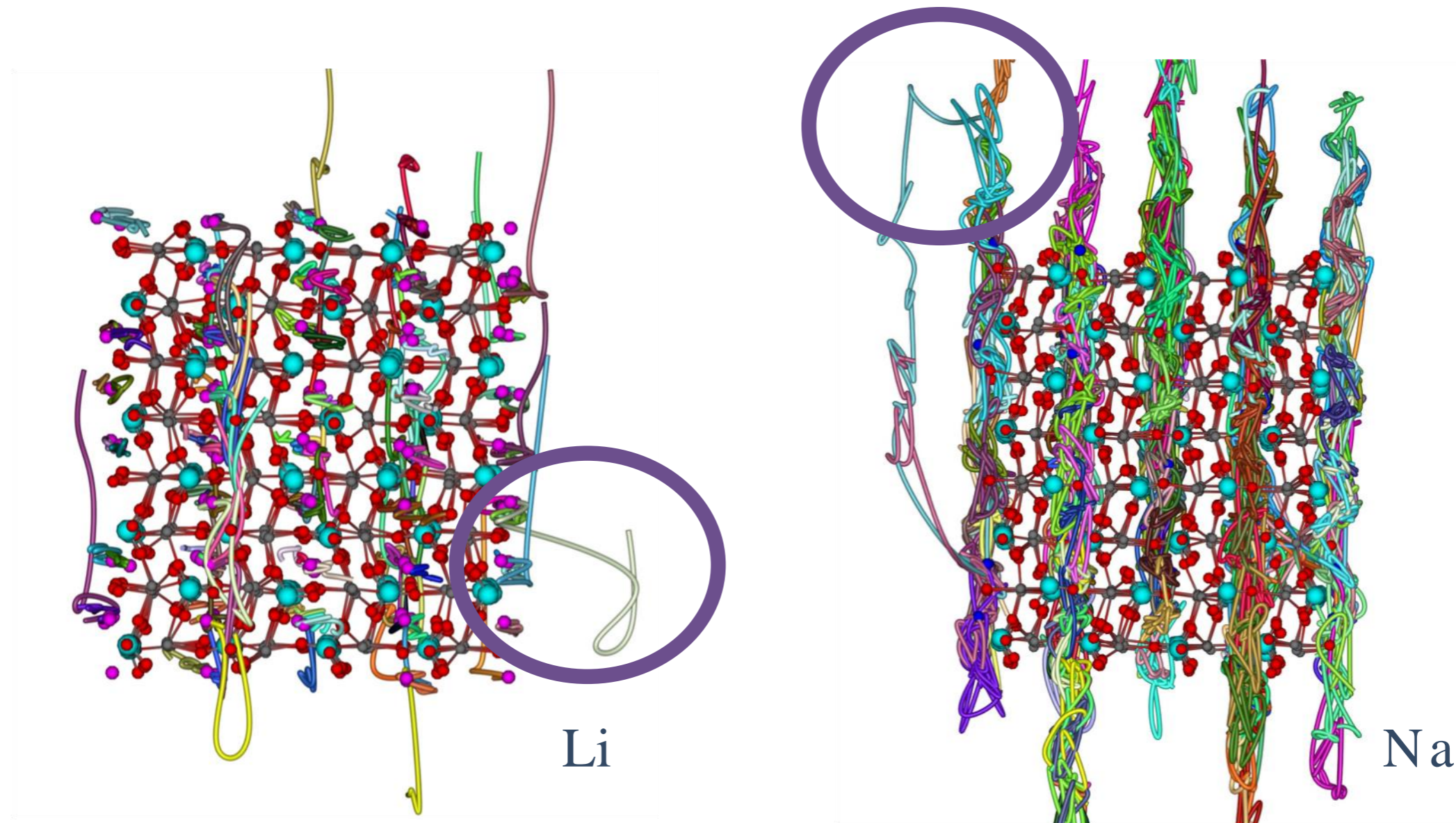
channels z, y become equally “fast”
due to disorder

What does enhance Li^+ mobility ?



a fundamental yet elusive mechanism component!

Li vs. Na mobility in FePO₄ olivine materials



CATHODE MATERIAL	DIFFUSION COEFFICIENT / CM ² S ⁻¹
	1

T. Flack et al, in preparation (2018)

What CV for batteries

- Is it really diffusion ?
- In the spirit of MetaD, enhance appropriate collective variables to affect event probability.
- For crystallisation, a measure of “entropy” based on two body correlation was recently used.

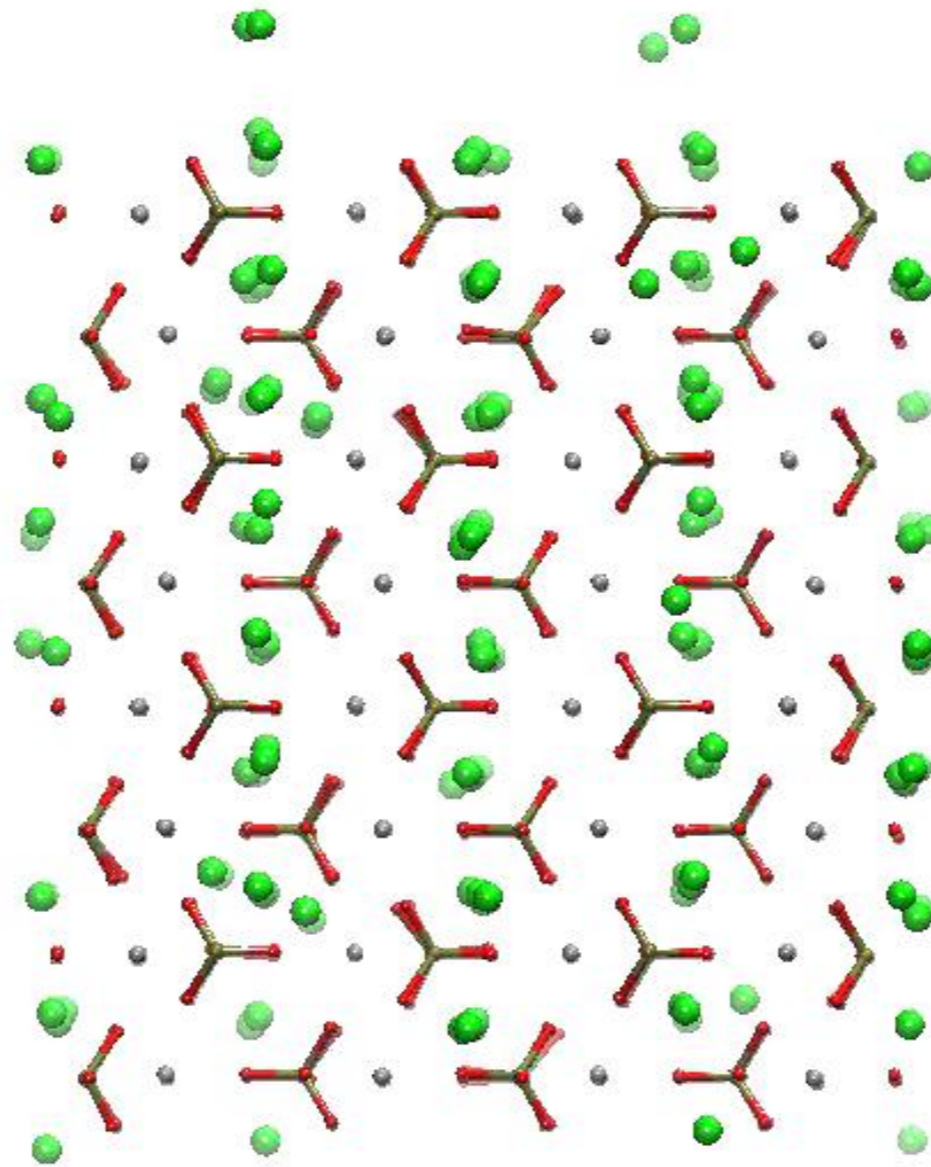
$$S = -2\pi\rho k_B \int_0^{\infty} [g(r) \ln g(r) - g(r) + 1] r^2 dr$$

R. Nettleton and M. Green, *The Journal of Chemical Physics* **29**, 1365 (1958)

P.M. Piaggi, O. Valsson, M. Parrinello “Enhancing entropy and enthalpy

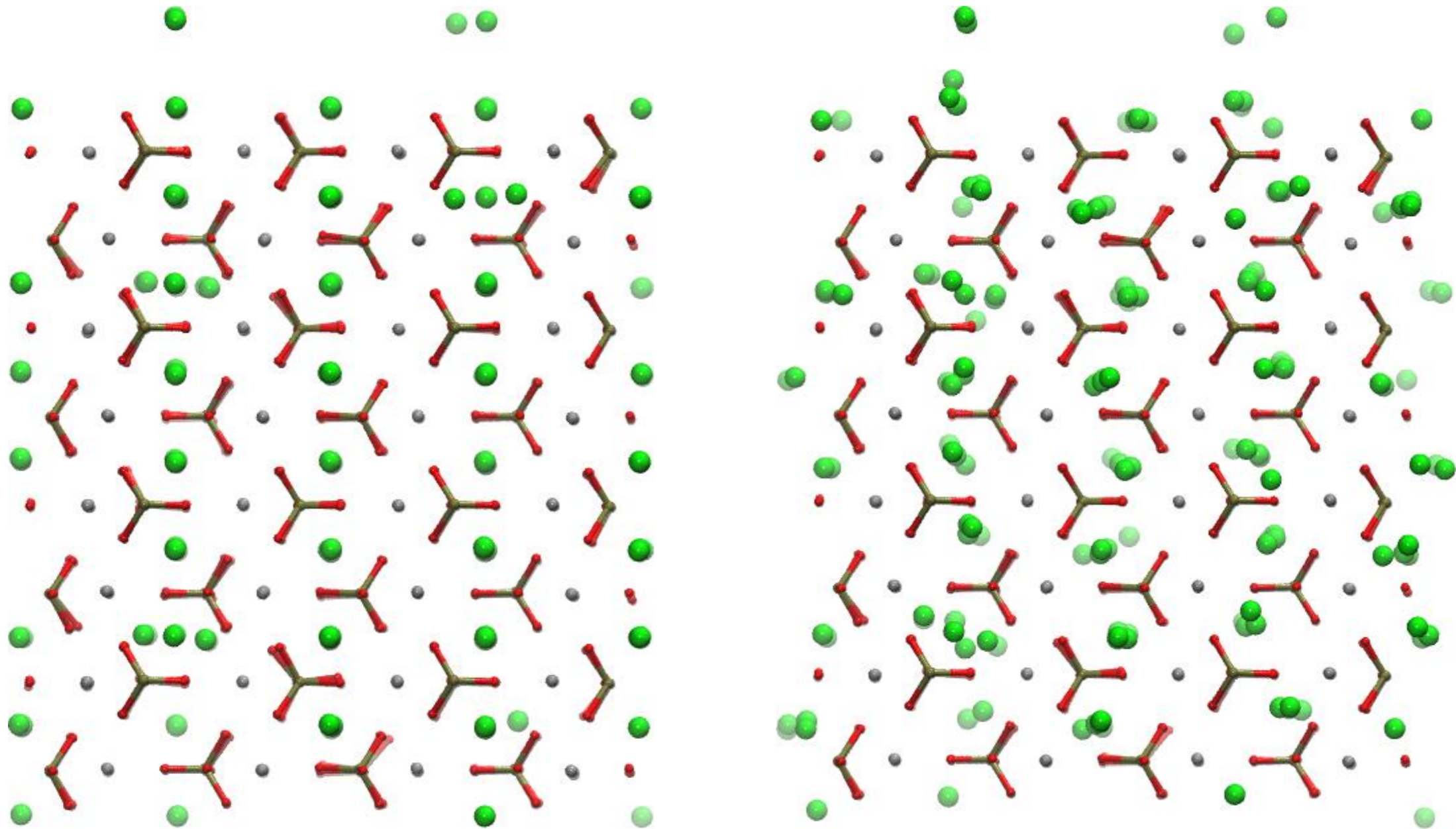
fluctuations to drive crystallization in atomistic simulations”. PRL (2017)

“Diffusion” as Solid/Liquid Transition



“entropy” as instantaneous measure of disorder

Defect formation



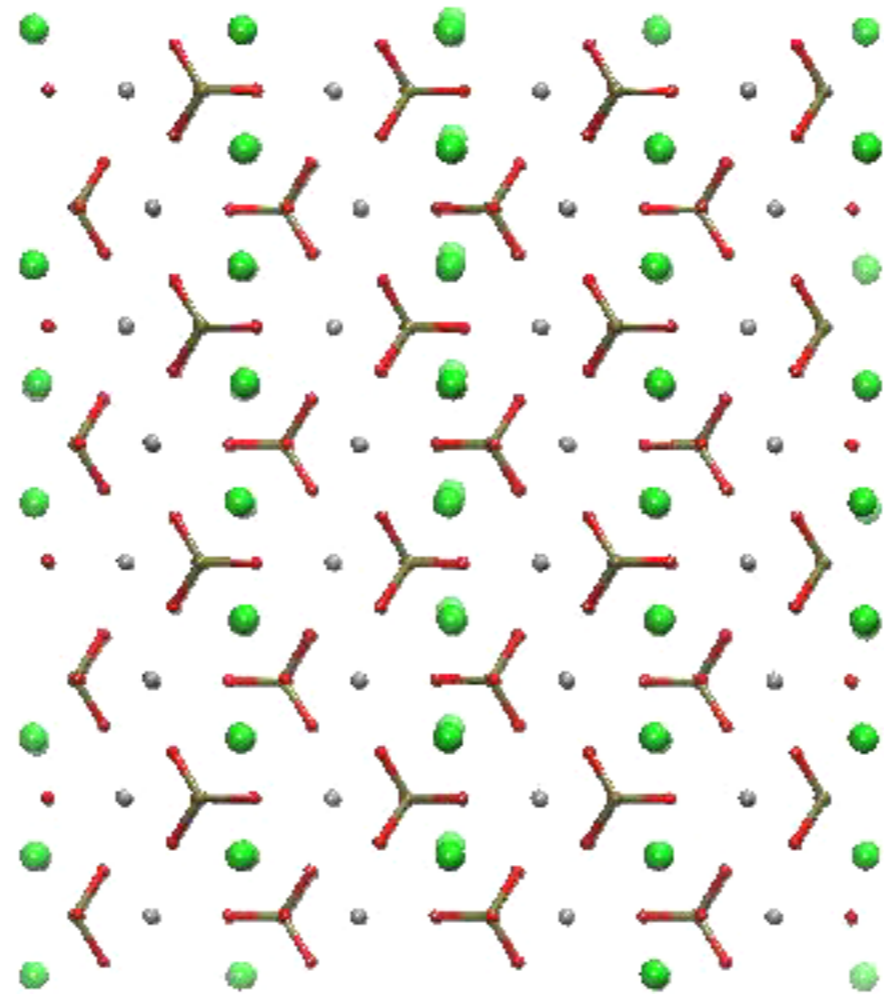
Defects promote translocation along easy axis

A different Approach

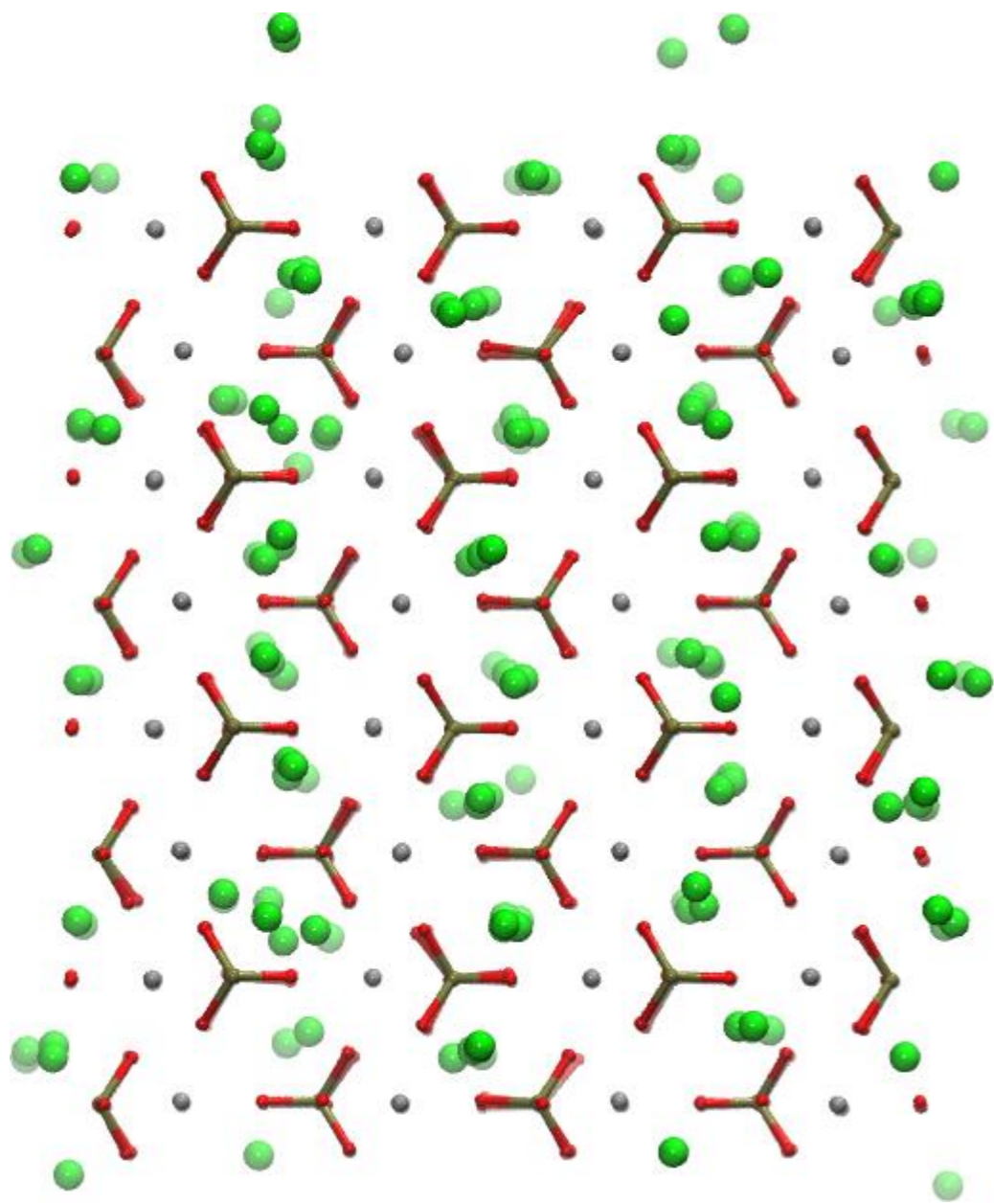
- “disorder” seems to fit the (necessary) formation of defects in battery materials.
- on the other hand, the existence of a structural scaffolding “funnels” chains of events.
- We designed a different CV with both periodicity and local order disruption in mind.

$$f(x) = \frac{\sum_{i=0}^n \sin(a\pi x_i)}{n}$$

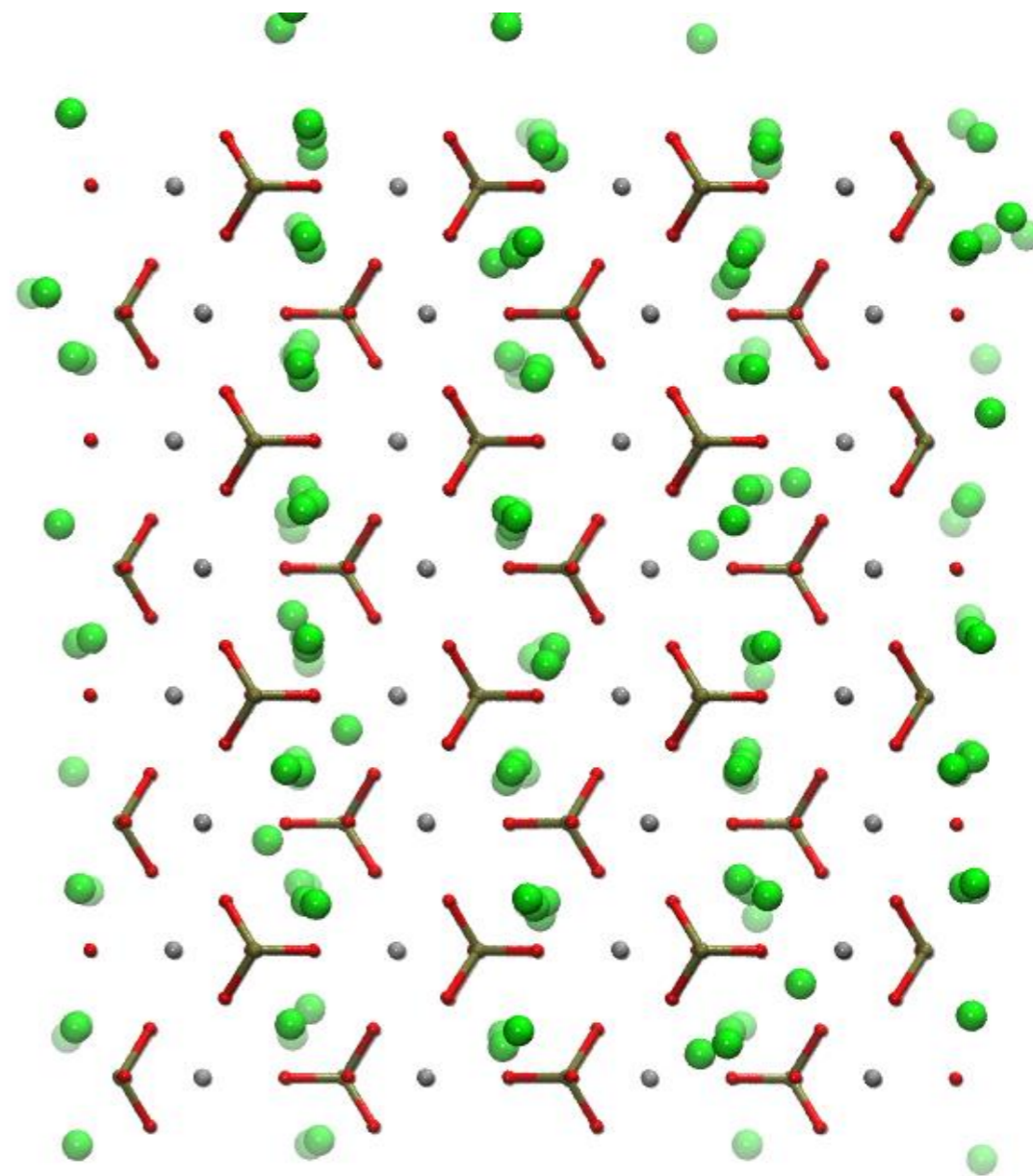
S. Jobbins, S. Leoni, in preparation



Comparison

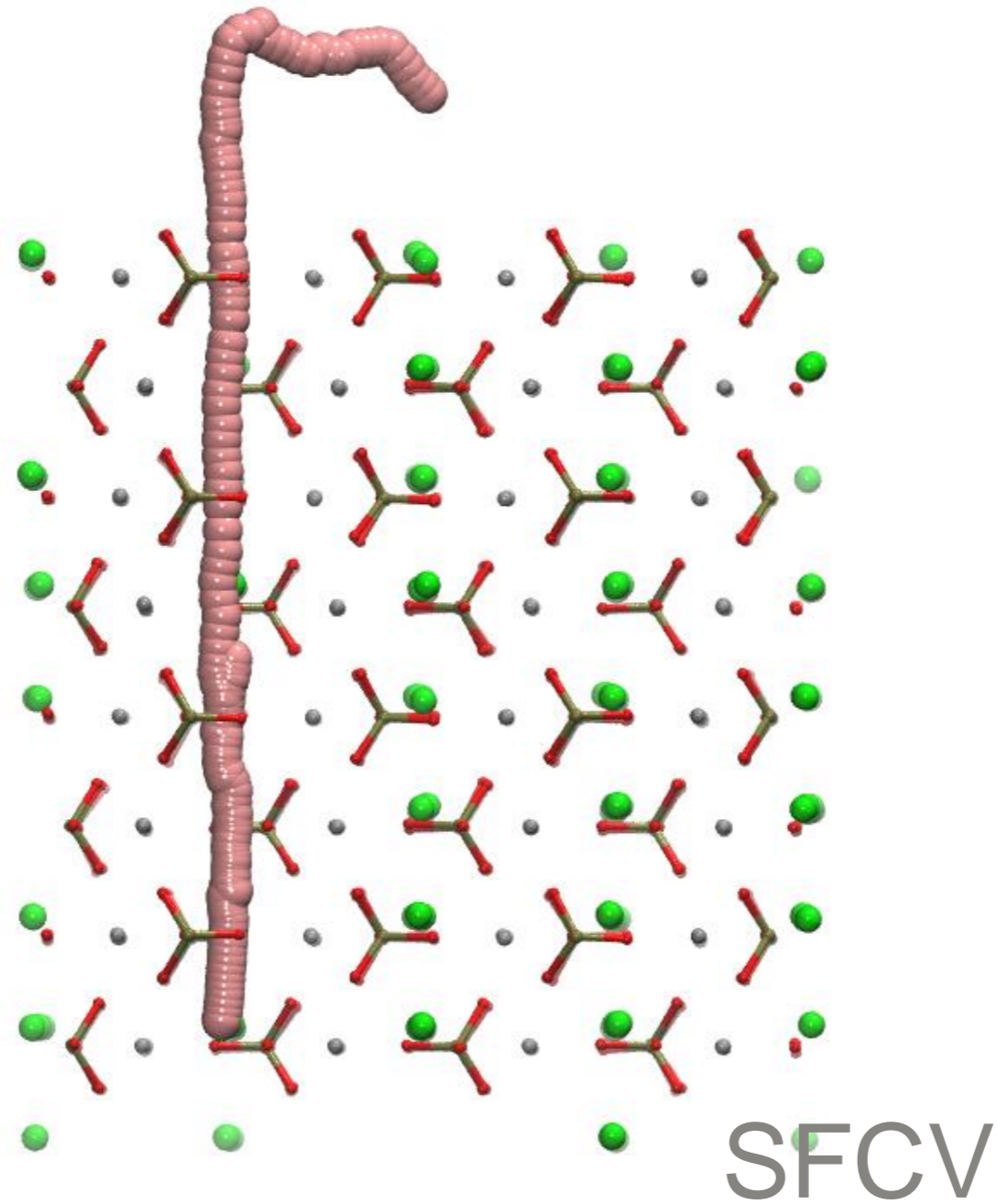


entropy



SFCV

Transversal translocations



Events of lateral translocation are key to defect creation